



DEPARTMENT OF HOUSING AND COMMUNITY AFFAIRS

Marc Elrich
County Executive

Scott Bruton
Director

July 1, 2025

Mr. Jeff Mihelich, City Manager
The Mayor and Council of Rockville
111 Maryland Avenue
Rockville, Maryland 20850-2364

RE: The City of Rockville; Year 51 (County FY26) CDBG Funded Projects
Project Nos. BG8068, BG8106, BG8107, and BG8108.
Contract No. 1184965

Dear Mr. Mihelich:

This letter is to notify you that the County has agreed to provide The Mayor and Council of Rockville, a body corporate and municipal corporation of the State of Maryland (“the City”, “Contractor”, or “Grantee”) with County FY26 (FFY25) Community Development Block Grant (CDBG) funding in an amount not to exceed **Two Hundred Sixty-Three Thousand Dollars and no cents (\$263,000)** to implement the four activities described below. The projects described herein are to be undertaken and administered by the City and are to benefit Montgomery County residents. This Grant Agreement represents a sub-award of federal funds (see Attachment VII-E) and, therefore, includes certain compliance requirements. This funding is subject to the terms and conditions of this letter and Attachments I-A, I-B, I-C, I-D, I-E, II, III, IV, V, VI, VII, VIII, and IX. The Contractor is a public entity as defined by the Montgomery County Code, Section 11B-41. Under Section 11B-41 of the Code, the County may enter a contract without competition with a public entity if the contract is in the best interests of the County. This letter and the enclosed attachments as listed above constitute the County’s Grant Agreement with you (the “Contract”). Note: reference to “Contractor” in the Attachments to this Contract refers to the City.

In the event of a conflict among the documents comprising this Contract, for purposes of resolving conflicts the order of priority is as follows: the terms contained in this letter including the General Conditions of Contract between County and Contractor (Attachment III), the Scope of Services (Attachments I-A, I-B, I-C, I-D, I-E), the Budget and Timeline (Attachment II), Mandatory Insurance Requirements (Attachment VI), the County Wage Requirements for Services Contracts (Attachment IV), the CDBG Eligibility Documentation (Attachment V), CDBG Special Conditions (Attachment VII), the Federal Labor Standards Provisions (Attachment VIII) and the Environmental Review Record (Attachment IX).

In consideration of the services to be provided to eligible clients, the County will pay an amount not to exceed \$263,000 for the approved programs and projects identified on page 2. The

Division of Finance and Administration

Asset Management

Grants Administration

Licensing and Registration

Management Services

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City agrees to reimburse the County for all expenses incurred that are determined to be ineligible for funding and for which the federal government requires reimbursement. Reasonable compensation for goods and/or services may be made quarterly upon submission of an invoice for work satisfactorily completed, as determined by the County.

The approved programs and projects are as follows:

Activity		Contractor	Amount
I-A	BG8068/26	Rockville Single Family Rehabilitation Program	\$100,000
I-B	BG8106/26	Community Reach of Montgomery County, Inc.	\$8,546
I-C	BG8107/26	Habitat for Humanity Metro Maryland, Inc.	\$60,000
I-D	BG8108/26	Rockville Housing Enterprises (RHE)	\$94,454
		Total	\$263,000

For all projects listed above: This Contract will expire when the work has been completed or 12 months from the effective date of this Contract, whichever comes first. The Contract will not become effective until the date signed by the Director of the Office of Procurement (“Director”). Work under this Contract must not begin until you receive the County’s written notice to proceed. The terms of this Contract are subject to the appropriation and availability of funds including, but not limited to, any federal, state, or local grant program or other funding mechanism.

The City's satisfactory performance does not guarantee either an extension or renewal of the Contract. The Director has the authority to extend or renew the term of this Contract if it is determined by DHCA that a renewal or extension is in the best interest of the County. Any extension or renewal of this Contract must be executed by an amendment and is contingent upon the Grantee being on the recommended FY26 budget publication list. Any funds not committed and/or expended consistent with such extension plans will be subject to immediate recapture and reallocation by the County to other projects. Recapture of CDBG funds by the County may impact future annual CDBG allocations for the City.

All subcontracts awarded by the City for the approved programs and projects enumerated on page 2 must be reviewed and approved by DHCA prior to execution by the City. The County will reimburse the City for satisfactorily completed work and services, as reasonably determined by DHCA, upon periodic receipt of invoices from the City. Expenses for all projects and programs under this Contract must be incurred during the Contract term.

All invoices must be received by DHCA no later than 30 days after the expiration of the quarter in which the costs are incurred, except for those expenses incurred during the April through June quarter, which must be received no later than July 6. Invoices received by DHCA after the applicable period has expired will not be approved for payment, and the dollar value of this Contract will be reduced accordingly.

Funds must be drawn down according to the draw schedule found as Attachment I-E of this Contract. Should the rate of draw down fall below the amount indicated for any quarter, the

City must provide the County with a written explanation and a plan for ensuring that all funds are drawn down within the term of this Contract with the invoice.

The City must verify and document that at least 70% of all clients assisted with CDBG funds are income eligible. If CDBG funds are not used to assist all clients, CDBG-assisted clients must be tracked and reported separately. Adequate CDBG income documentation includes copies of federal tax returns or proof of receipt of other government benefits, such as Housing Choice Vouchers, SSI, SSDI, FARMS, TANF, Medical Assistance, and similar programs. "No-income" attestation forms are not acceptable for activities under this Contract.

Activities funded through this Contract are subject to all applicable non-discrimination laws, including laws concerning discrimination against any person based on race, color, religious creed, ancestry, national origin, sex, marital status, disability, the presence of children, source of income, sexual orientation, or age. Failure to comply with these non-discrimination requirements may result in termination of this Contract, restitution of funds to the County, and all other remedies available to the County. The City must bind its subcontractors to these non-discrimination requirements.

Please sign the following page of this Contract and return the entire Contract to me (please note that this cover letter is a part of this Contract). One fully executed copy, a Purchase Order, and a Notice to Proceed will then be returned to you for your files.

Sincerely,

Pofen Salem, Chief
Division of Finance and Administration

**MAYOR AND COUNCIL
OF ROCKVILLE**

**MONTGOMERY COUNTY,
MARYLAND**

Jeff Mihelich, City Manager

Avinash G. Shetty, Director
Office of Procurement

Date: _____

Date: _____

Federal I.D. No. 52-6001573
UEI: XZGJXFPKJ9E7

Reviewed for Legal Sufficiency by
The Office of the City Attorney

Recommended by:

Cynthia Walters, Acting City Attorney

Scott Bruton, Director
Department of Housing and Community Affairs

Date: _____

Approved as to Form

Assistant County Attorney

Date: _____

ATTACHMENT I-A

SCOPE OF SERVICES – ROCKVILLE SINGLE FAMILY REHABILITATION PROGRAM

The City's Single Family Rehab Program must provide technical assistance and deferred loan subsidies and/or reduced interest loans to an estimated four to six (4 to 6) low- and moderate-income households within the City of Rockville to make necessary home improvements ("Single Family Program").

Community Development Block Grant (CDBG) regulations require clients served by programs funded with CDBG monies meet income eligibility criteria. The City must verify and document that all clients assisted with CDBG funds for the Single-Family Program are income eligible. If CDBG funds are not used to assist all clients, CDBG assisted clients must be tracked and reported separately. Adequate CDBG income documentation consists of copies of federal tax returns or documentation of receipt of other government income-determined benefits (Housing Choice Voucher or other rental assistance, SSI, SSDI, FARMS, TANF, Medical Assistance, etc.).

Title IV of the Personal Responsibility and Work Opportunity Reconciliation Act (PRWORA) of 1996, as amended, codified at 8 U.S.C. §§ 1601-1646, governs the eligibility of noncitizens for federal, state, and local public benefits. Accordingly, upon request from the County, the City must collect and provide to the County appropriate immigration documentation of each recipient of this federal award to enable the County to verify the recipient's immigration status using the Systematic Alien Verification for Entitlements (SAVE) program or another County-approved system. The County may ask the City to assist with the verification process.

The City agrees that its compliance in all respects with all applicable federal anti-discrimination laws is material to the U.S. Government's payment decisions for purposes of section 3729(b)(4) of title 31, United States Code. The Contractor will not operate any programs that violate any applicable federal anti-discrimination laws, including Title VI of the Civil Rights Act of 1964.

REPORTING

The Contractor must submit DHCA Federally Funded Loan Set Up Form and the Request for Environmental Review Form once at the same time at the beginning of the project. Payments will not be made if any required reports have not been submitted.

ACTIVITIES

The Contractor must provide fully qualified staff to operate the Single-family Program to serve an estimated four to six households that qualify as low- to moderate-income and who lack sufficient resources to maintain their homes in accordance with applicable codes. The funds must be used to correct existing and incipient housing deficiencies and health and safety hazards. Typical repair needs are for new furnaces, roofs, broken or inefficient appliances and plumbing fixtures, dangerous tree removal, or repairs resulting from water damage or the age of the home. Almost half of the recipients are elderly and cannot physically or financially maintain their homes as a safe environment. All projects must be undertaken in full compliance with all federal, state,

and local program requirements, including ensuring all participants are appropriately licensed to perform activities, including lead paint and other environmental compliance activities. All homes constructed prior to 1978 must be tested for the presence of lead paint by a certified contractor and these test results must be addressed in scope of work write ups and included in each case file. The City must contact DHCA with any questions regarding the requirements below. NOTE: Activities under the Single-Family Rehabilitation Program are not subject to Davis-Bacon requirements. These activities must include, but are not limited to:

- Conduct outreach as necessary to ensure adequate response by eligible clients;
- Evaluate and document verification of all applicants for eligibility and include copies of verification in file;
- Request historic clearance from Maryland Historic Trust;
- Forward Historic Trust approval to DHCA so that environmental review may be completed (no work may begin until DHCA has advised the City that the environmental review has been approved);
- Work with DHCA staff to ensure that a programmatic environmental review is conducted that meets all federal and local requirements;
- Submit proposed projects to authorized City representative for approval and authorization;
- Create an individual case file for each applicant;
- Conduct a home inspection of the applicant’s property and develop a detailed scope of work addressing existing and incipient code violations, energy improvements and accessibility modifications where indicated;
- Assist the applicant in soliciting competitive bids for the work;
 - Assist the applicant in drafting and executing a contract with the selected contractor which maintains a minimum of 10% retainage until the last payment for each item completed under the contract's scope of work;
- Inspect the work as it is performed and assist the applicant in approving draw payments when appropriate;
- Conduct a final inspection of the property when completed and verify all work was completed properly;
- Ensure all lien releases and permit approvals are provided before approving the final payments. Provide technical assistance, mediation and problem resolution assistance throughout the process as needed; and
- Submit DHCA Federally Funded Loan Set Up Form and final narrative summary report.

OUTCOMES / DELIVERABLES

ACTIVITY	DELIVERABLES	DOCUMENTATION
Outreach	Adequate to achieve projected completions	Copy of waiting list of eligible clients
Write-ups	Estimated 4-6	Owner/applicant write up acceptance letters
Contracts executed	Estimated 4-6	Copy of contracts

Projects Completed – provide the services in the “Activities” section above.	Estimated 4-6 projects completed during the contract term.	List of properties receiving maintenance, income eligibility and brief list of repairs at each and total cost.
Reporting	DHCA Federally Funded Loan Set Up Form, final narrative summary report, and any other federal compliance documentation requested	Reports

BUDGET

All funding provided under this Contract must be used for the specific expenses enumerated below. All funding, if any, remaining undistributed at the end of the Contract will be recaptured by DHCA and reallocated to other eligible projects. The Contractor must provide any additional funding that may be required from other sources to ensure full delivery of the services described herein.

All services under this Contract must be performed during the active term of the Contract. The Contractor must provide DHCA with the final invoice no later than 30 days following the end of the Contractor term, unless the expiration is between June 15 and June 30, in which case requests for payment must be received no later than July 6. (NOTE: No entertainment related costs maybe paid with CDBG funds). The Contractor must submit regular invoices to DHCA documenting the expenses for which reimbursement is requested. Under no circumstances may invoices be submitted less frequently than quarterly.

All invoiced costs must be supported by a copy of the original vendor invoice. All payment requests must include the following clause signed by the authorized signatory of the Contract:

"By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the federal award. I understand that receipt of this federal CDBG from the County is contingent upon the award of the federal CDBG to the County. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise."

Reimbursement of construction costs. An estimated four households at an estimated cost of \$16,666 +/- per unit	\$100,000
Total CDBG Funds	\$100,000

All invoices must be accompanied by copies of original vendor invoices. The bidding material and Home Repair contract(s) must include all federal requirements, and the City is responsible for Rockville Single Family Rehab Program’s compliance with all requirements. In the event HUD determines Rockville Single Family Rehab Program has not achieved compliance, the City will be directly responsible for paying all restitution, penalties, and/or fees determined by HUD or its designee. Rockville Single Family Rehab Program must provide the City with all contractor invoices with all requests for payment for the Home Repair and copies of lien releases before any invoice may be approved. Note: no administrative costs are included under this

Contract.

TIMELINE

The activities under this Contract must be performed during the term of the Contract, which begins on the date it is executed by the Director of the Office of Procurement. Time is of the essence. No activities that occur before the Notice to Proceed is issued, or after the expiration date of the Contract will be paid.

END OF ATTACHMENT I-A

ATTACHMENT I-B

SCOPE OF SERVICES – COMMUNITY REACH OF MONTGOMERY COUNTY

Community Reach of Montgomery County, Inc. (CRM) must complete repairs and maintenance (Rehab Project) at Rockland House located at 2004 Rockland Avenue to continue providing a suitable living environment for individuals experiencing homelessness and in need of access to supportive services.

The subcontract between the City and Community Reach of Montgomery County, Inc. must be reviewed and approved by DHCA prior to execution. Community Development Block Grant (CDBG) regulations require clients served by programs funded with CDBG monies meet income eligibility criteria. Community Reach of Montgomery County, Inc. must verify and document that all clients assisted with CDBG funds through this activity are income eligible. Adequate CDBG income documentation consists of copies of federal tax returns or documentation of receipt of other government income-determined benefits (Housing Choice Voucher or other rental assistance, SSI, SSDI, FARMS, TANF, Medical Assistance, etc.).

Title IV of the Personal Responsibility and Work Opportunity Reconciliation Act (PRWORA) of 1996, as amended, codified at 8 U.S.C. §§ 1601-1646, governs the eligibility of noncitizens for federal, state, and local public benefits. Accordingly, upon request from the County, the City must collect and provide to the County appropriate immigration documentation of each recipient of this federal award to enable the County to verify the recipient's immigration status using the Systematic Alien Verification for Entitlements (SAVE) program or another County-approved system. The County may ask the City to assist with the verification process.

The City agrees that its compliance in all respects with all applicable federal anti-discrimination laws is material to the U.S. Government's payment decisions for purposes of section 3729(b)(4) of title 31, United States Code. The Contractor will not operate any programs that violate any applicable federal anti-discrimination laws, including Title VI of the Civil Rights Act of 1964.

REPORTING

The City must submit DHCA Federally Funded Loan Set Up Form and the Request for Environmental Review Form once at the same time at the beginning of the Rehabilitation Project. Payments will not be made if any required reports have not been submitted.

ACTIVITIES

CRM must contract for repairs and maintenance to be made at their permanent supportive housing property at Rockland House located at 2004 Rockland Avenue in the Twinbrook neighborhood of Rockville. The contract should include improvements to the ventilation system and the replacement of the HVAC units. All work will be in compliance with federal, state, and local requirements. The City must contact DHCA with any questions regarding the requirements below. Specific work items include but are not limited to:

- Verify and document all residents are CDBG income eligible;
- Conduct lead testing of all surfaces to be disturbed by certified contractor and provide test results to the City prior to any bidding;
- If necessary, address all lead paint issues identified by inspection (if any) using a lead-certified contractor;
- Radon testing is not required, but mitigation is required if the method used to consider radon shows levels of 4.0 pCi/L or greater;
- Request historic clearance from Maryland Historic Trust (MHT);
- Submit request for environmental review, including MHT approval form, to DHCA for approval (no work may begin until DHCA has advised Community Ministries that the environmental review has been approved);
- Comply with the City’s historic preservation requirements as outlined in the Rockville City Code and as implemented by the Historic District Commission;
- Develop a scope of work and bid documents and provide such documents to the City and DHCA prior to soliciting bids. The scope of work must be approved by Rockville Historic District Commission and include:
 - Removal, restoration, and reinstallation of all window sash and trims, including air sealing as necessary; and
 - Installation of new storm windows.
- Competitively solicit bids in compliance with all CDBG federal requirements including MBE participation;
- Ensure all contractors have a valid Maryland Home Improvement Contractor License (MHIC) and lead-safe certificate if indicated;
- Award contract to lowest responsive and responsible bidder, and enter into a contract or contracts for this work which maintain(s) a minimum of 10% retainage until the last payment for each unit;
- Verify all required permits are obtained and approved;
- Monitor construction progress and approve quality of work and periodic payments, ensuring adequate retainage is maintained throughout the project to complete unfinished work if the selected contractor fails to perform;
- Verify all work included in the contract has been satisfactorily completed prior to final payment; and
- Provide DHCA Federally Funded Loan Set Up Form and final narrative reports to the City of Rockville for submission to the County.

OUTCOMES / DELIVERABLES

ACTIVITY	DELIVERABLES	DOCUMENTATION
Income Eligibility	Verify and document client income	Copy of source documentation
Bidding	Clear scope of work, minimum of 3 bids, MBE participation	Copy of bid docs and bids received

Project Administration	Monitor progress of work and verify quality and completion	Documentation of inspections
Final inspection	Conduct final inspection and get City sign off before final invoice	Copy of signoff
Reporting	DHCA Federally Funded Loan Set Up Form submitted to the City and final narrative report	Reports

BUDGET

All funding provided under this Contract must be used for the specific expenses enumerated below. All funding, if any, remaining undistributed at the end of the Contract will be recaptured by DHCA and reallocated to other eligible projects. The Contractor must provide any additional funding that may be required from other sources to ensure full delivery of the services described herein.

All services under this Contract must be performed during the active term of the Contract. The Contractor must provide DHCA with the final invoice no later than 30 days following the end of the Contract term, unless the expiration is between June 15 and June 30, in which case requests for payment must be received no later than July 6. (NOTE: No entertainment related costs may be paid with CDBG funds). The Contractor must submit regular invoices to DHCA documenting the expenses for which reimbursement is requested. Under no circumstances may invoices be submitted less frequently than quarterly.

All invoiced costs must be supported by a copy of the original vendor invoice. All payment requests must include the following clause signed by the authorized signatory of the Contract:

"By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the federal award. I understand that receipt of this federal CDBG from the County is contingent upon the award of the federal CDBG to the County. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise."

Rockland House maintenance and improvement projects	\$8,546
Total CDBG Funds	\$8,546

All invoices must be accompanied by copies of original vendor invoices. The bidding material and contract(s) for the Rehab Project must include all federal requirements and the City is responsible for CRM's compliance with all requirements. In the event HUD determines CRM has not achieved compliance, the City will be directly responsible for paying all restitution, penalties and/or fees determined by HUD or its designee. CRM must provide the City with

contractor invoices for the Rehab Project which must be included with all requests for payment, other compliance documentation, and copies of lien releases before any invoice may be approved.

TIMELINE

The activities under this Contract must be performed during the term of the Contract, which begins on the date it is executed by the Director of the Office of Procurement. Time is of the essence. No activities that occur before the execution of this Contract or after the expiration date of this Contract will be paid.

END OF ATTACHMENT I-B

ATTACHMENT I-C

SCOPE OF SERVICES – HABITAT FOR HUMANITY METRO MARYLAND, INC.

Habitat for Humanity Metro Maryland, Inc. must provide a “silent second” mortgage to two homebuyers to make their mortgage more affordable when purchasing one of the homes at 107 Fleet Street, Rockville. Each \$30,000 mortgage will be interest-free and without payment unless a home is sold within the first 30 years. The funds will provide down payment/gap funding for homebuyers below 65% AMI.

The subcontract between the City and Habitat for Humanity must be reviewed and approved by DHCA prior to execution. Community Development Block Grant (CDBG) regulations require clients served by programs funded with CDBG monies meet income eligibility criteria. Habitat for Humanity must verify and document that all clients assisted with CDBG funds through this activity are income-eligible. Adequate CDBG income documentation consists of copies of federal tax returns or documentation of receipt of other government income-determined benefits (Housing Choice Voucher or other rental assistance, SSI, SSDI, FARMS, TANF, Medical Assistance, etc.).

Title IV of the Personal Responsibility and Work Opportunity Reconciliation Act (PRWORA) of 1996, as amended, codified at 8 U.S.C. §§ 1601-1646, governs the eligibility of noncitizens for federal, state, and local public benefits. Accordingly, upon request from the County, the City must collect and provide to the County appropriate immigration documentation of each recipient of this federal award to enable the County to verify the recipient’s immigration status using the Systematic Alien Verification for Entitlements (SAVE) program or another County-approved system. The County may ask the City to assist with the verification process.

The City agrees that its compliance in all respects with all applicable federal anti-discrimination laws is material to the U.S. Government’s payment decisions for purposes of section 3729(b)(4) of title 31, United States Code. The Contractor will not operate any programs that violate any applicable federal anti-discrimination laws, including Title VI of the Civil Rights Act of 1964.

REPORTING

The City must submit DHCA Federally Funded Loan Set Up Form and the Request for Environmental Review Form once at the same time at the beginning of the Project. Payments will not be made if any required reports have not been submitted.

ACTIVITIES

Habitat for Humanity must verify that one homebuyer earns below 50% AMI and the other below 65% AMI. Habitat for Humanity must ensure a 50-year deed restriction is recorded to ensure long-term affordability. The City must contact DHCA with any questions regarding the requirements below. Specific activities include, but are not limited to:

- Verify and document income eligibility in accordance with HUD guidelines;

- Maintain eligibility and underwriting documentation in each homebuyer’s file;
- Execute second mortgage and lien;
- Maintain mortgage and lien documentation;
- Ensure homebuyers complete homebuyer education and training;
- Provide DHCA Federally Funded Loan Set Up Form and a final narrative report to the City of Rockville for submission to the County.

DELIVERABLES

The City must provide documentation acceptable to DHCA of having achieved the following quantified levels of activity through the ACTIVITIES section above. In addition to submitting DHCA Federally Funded Loan Set Up Form, the City must provide DHCA with a final one-page narrative accomplishment summary, including a paragraph indicating whether the described levels of accomplishments have been reached and if not, the reason(s) why. This narrative report must be provided with the final invoice. No invoices will be paid if reports are overdue.

ACTIVITY	DELIVERABLES	DOCUMENTATION
Income Eligibility	Verify two (2) homebuyers and document client income eligibility	Copy of source documentation
Home Purchase	Two newly-built homes will be sold to income-eligible households (one at 50% AMI and one at 65% AMI)	Closing Disclosures and transaction documentation
Mortgage Assistance	Provide downpayment/gap financing to two (2) homebuyers	Loan agreements, lien documentation
Reporting	DHCA Federally Funded Loan Set Up Form submitted to the City and final narrative report	Reports

BUDGET

All funding provided under this Contract must be used for the specific expenses enumerated below. All funding, if any, remaining undistributed at the end of the Contract will be recaptured by DHCA and reallocated to other eligible projects. The Contractor must provide any additional funding that may be required from other sources to ensure full delivery of the services described herein.

All services under this Contract must be performed during the active term of the Contract. The Contractor must provide DHCA with the final invoice no later than 30 days following the end of the Contract term. The Contractor must submit regular invoices to DHCA documenting the expenses for which reimbursement is requested. Under no circumstances can invoices be submitted less frequently than quarterly.

All invoiced costs must be supported by a copy of the original vendor invoice. All payment requests must include the following clause signed by the authorized signatory of the Contract:

"By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the federal award. I understand that receipt of this federal CDBG from the County is contingent upon the award of the federal CDBG to the County. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise."

Down Payment/Gap Assistance for two (2) LMI homebuyers	\$60,000
Total CDBG funds	\$60,000

All invoices must be accompanied by copies of original vendor invoices. The associated expenses must include all federal requirements and the City is responsible for Habitat for Humanity's compliance with all requirements. In the event HUD determines Habitat for Humanity has not achieved compliance, the City will be directly responsible for paying all restitution, penalties and/or fees determined by HUD or its designee. Habitat for Humanity must provide the City with invoices for the Project, which must be included with all requests for payment, other compliance documentation, and copies of related documentation before any invoice may be approved.

TIMELINE

The activities under this Contract must be performed during the term of the Contract, which begins on the date it is executed by the Director of the Office of Procurement. Time is of the essence. No activities that occur before the execution of this Contract or after the expiration date of this Contract will be paid.

END OF ATTACHMENT I-C

ATTACHMENT I-D
SCOPE OF SERVICES – ROCKVILLE HOUSING ENTERPRISES

Rockville Housing Enterprises (RHE) must complete roof replacements (the Project) at 17 units at its public housing site, the David Scull Courts community (1232-1327 First Street). Because RHE is a Public Housing Agency, Davis-Bacon and Section 3 requirements apply to all phases of the Project including bidding, contractor selection, construction and close out activities. A previous environmental review for this project has already been completed and is on file at DHCA. However, additional environmental review may be necessary, and the City must contact DHCA to determine the requirements.

The subcontract between the City and RHE must be reviewed and approved by DHCA prior to execution. Community Development Block Grant (CDBG) regulations require clients served by programs funded with CDBG monies meet income eligibility criteria. RHE must verify and document that all clients assisted with CDBG funds through this activity are income eligible. Adequate CDBG income documentation consists of copies of federal tax returns or documentation of receipt of other government income-determined benefits (Housing Choice Voucher or other rental assistance, SSI, SSDI, FARMS, TANF, Medical Assistance, etc.)

Title IV of the Personal Responsibility and Work Opportunity Reconciliation Act (PRWORA) of 1996, as amended, codified at 8 U.S.C. §§ 1601-1646, governs the eligibility of noncitizens for federal, state, and local public benefits. Accordingly, upon request from the County, the City must collect and provide to the County appropriate immigration documentation of each recipient of this federal award to enable the County to verify the recipient's immigration status using the Systematic Alien Verification for Entitlements (SAVE) program or another County-approved system. The County may ask the City to assist with the verification process.

The City agrees that its compliance in all respects with all applicable federal anti-discrimination laws is material to the U.S. Government's payment decisions for purposes of section 3729(b)(4) of title 31, United States Code. The Contractor will not operate any programs that violate any applicable federal anti-discrimination laws, including Title VI of the Civil Rights Act of 1964.

REPORTING

The City must submit DHCA Federally Funded Loan Set Up Form and the Request for Environmental Review Form once at the same time at the beginning of the Project. Payments will not be made if any required reports have not been submitted.

ACTIVITIES

RHE must complete roof replacements at 17 public housing units in the David Scull Courts community in compliance with federal, state and local requirements. The City must contact DHCA with any questions regarding the requirements below. Activities include, but are not limited to:

- Verify and document all property residents are CDBG income eligible;

- Solicit competitive bids including all federal requirements including, but not limited to, Davis-Bacon, MBE/MFD, Section 3, environmental review etc.;
- Provide the City with the scope of work and bid documents prior to soliciting bids;
- Award and administer the contract in a manner to ensure compliance with federal requirements;
- Award contract to the lowest responsive and responsible bidder;
- Enter into and administer a contract which maintains a minimum of 10% retainage until the last payment for each unit, including verification of:
 - Quality and amount of work in place;
 - Lien releases; and
 - Compliance with Davis-Bacon, Section 3, MBE, environmental review and all other federal requirements.
- Ensure all Davis-Bacon payrolls are provided to Rockville within seven days of the payroll reporting period for all weeks in which work is done;
- Ensure all required permits are obtained and approved;
- Inspect all work in place prior to approving payments; and
- Submit DHCA Federally Funded Loan Set Up Form and semi-annual narrative summaries.

DELIVERABLES

The Contractor must provide documentation acceptable to DHCA of having achieved the following quantified levels of activity through the ACTIVITIES section above. The Contractor must provide DHCA with a final one-page narrative accomplishment summary, including a paragraph indicating whether the described levels of accomplishments have been reached and if not, the reason(s) why. This narrative report must be provided with the final invoice. No invoices will be paid to a Contractor with outstanding overdue reports.

ACTIVITY	DELIVERABLES	DOCUMENTATION
Income eligibility	Verify and document income eligibility of all client households	Documentation in client file
Roof replacements	Replacement at 17 units	Before and after photos, copies of scopes of work, summary of total final cost per unit
Beneficiary Reporting	DHCA Federally Funded Loan Set Up Form to the City	Reports
Contractor Reporting	Timely Davis Bacon, Section 3, MBE and other required federal compliance documentation	Reports

BUDGET

All funding provided under this Contract must be used for the specific expenses enumerated below. All funding, if any, remaining undistributed at the end of the Contract will be

recaptured by DHCA and reallocated to other eligible projects. The City must provide any additional funding that may be required from other sources to ensure full delivery of the services described herein.

All services under this Contract must be performed during the active term of the Contract. The City must provide DHCA with the final invoice no later than 30 days following the end of the Contract term, unless the expiration is between June 15 and June 30, in which case requests for payment must be received no later than July 6. The City must submit regular invoices to DHCA documenting the expenses for which reimbursement is requested. Under no circumstances may invoices be submitted less frequently than quarterly.

All invoiced costs must be supported by a copy of the original vendor invoice. All payment requests must include the following clause signed by the authorized signatory of the Contract:

"By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the federal award. I understand that receipt of this federal CDBG from the County is contingent upon the award of the federal CDBG to the County. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise."

Roof replacements at 17 units	\$94,454
Total CDBG Funds	\$94,454

All invoices must be accompanied by copies of original vendor invoices. The bidding material and Project Contract(s) must include all federal requirements, and the City is responsible for RHE's compliance with all requirements. In the event HUD determines RHE has not achieved compliance, the City will be directly responsible for paying all restitution, penalties and/or fees determined by HUD or its designee. RHE must provide the City with all contractor invoices with all requests for payment for the Project with all requests for payments, copies of Davis Bacon payrolls, other compliance documentation, and copies of lien releases before any invoice may be approved.

TIMELINE

The activities under this Contract must be performed during the term of the Contract, which begins on the date it is executed by the Director of the Office of Procurement. Time is of the essence. No activities that occur before the execution of this Contract or after the expiration date of this Contract will be paid.

END OF ATTACHMENT I-D

ATTACHMENT I-E

DRAW SCHEDULE

	Project	TOTAL	Quarter 1	Quarter 2	Quarter 3	Quarter 4
I-A	Rockville Single Family Rehabilitation Program	100,000	25,000	25,000	25,000	25,000
I-B	Community Reach of Montgomery County, Inc.	8,546	2,136.50	2,136.50	2,136.50	2,136.50
I-C	Habitat for Humanity Metro Maryland, Inc.	60,000	15,000	15,000	15,000	15,000
I-D	Rockville Housing Enterprises (RHE)	94,454	23,613.50	23,613.50	23,613.50	23,613.50
Totals		263,000	65,750	65,750	65,750	65,750

Funds must be drawn in accordance with the above draw schedule.

All funds must be drawn down in substantial compliance with this schedule. Drawing funds within a shorter time span is encouraged, provided all required work has been performed. Should the rate of drawdown fall below the amount indicated for any quarter, within 14 days of the end of the quarter, the City must provide the County with a written explanation and a plan for ensuring that all work required under this Contract will be accomplished and all funds drawn down within the term of this Contract.

END OF ATTACHMENT I-E

ATTACHMENT II

BUDGET AND TIMELINE

All funding provided under this contract must be used for reimbursement of the construction contractor for the above-described activities. All CDBG funding, if any, remaining undistributed at the expiration of this Contract will be recaptured by DHCA. The City must provide all additional funding as required from other sources to ensure full delivery of the services described. The City agrees to reimburse DHCA for all expenses incurred which are determined to be ineligible for CDBG funding and for which the federal government requires reimbursement.

All CDBG expenses funded for this project(s) must be incurred within the term of this Contract. All invoices must be received by DHCA no later than 30 calendar days past the expiration of the Contract term, unless the expiration is between June 15 and June 30, in which case invoices must be received no later than July 6.

All invoices must include the following signed statement:

“By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the federal award. I understand that receipt of this federal CDBG from the County is contingent upon the award of the federal CDBG to the County. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties for fraud, false statements, false claims or otherwise.”

Activity		Contractor	Amount
I-A	BG8068/26	Rockville Single Family Rehabilitation Program	\$100,000
I-B	BG8106/26	Community Reach of Montgomery County, Inc.	\$8,546
I-C	BG8107/26	Habitat for Humanity Metro Maryland, Inc.	\$60,000
I-D	BG8108/26	Rockville Housing Enterprises (RHE)	\$94,454
		Total	\$263,000

*All costs invoiced must be supported by copies of original vendor invoices(s).

TIMELINE

All activities must be performed during the term of the Contract. Time is of the essence. No activities that occur before the Notice to Proceed is issued, or after the expiration date of the Contract will be reimbursed.

CONTACTS

The City's contact person for these projects will be (Note: Notice to either will be deemed notice to both parties):

Ryan Trout, Director
Housing and Community Development
City of Rockville
111 Maryland Avenue
Rockville, MD 20850
(240) 314-8302
rtrout@rockvillemd.gov

Charles R. Dunn, CDBG Grant Administrator
Housing and Community Development
City of Rockville
111 Maryland Avenue
Rockville, MD 20850
(240) 314-8260
cdunn@rockvillemd.gov

The DHCA project monitor for these projects will be:

Katherine Canales, Senior Planning Specialist
Grants
Department of Housing & Community Affairs
1401 Rockville Pike, 4th Floor
Rockville, MD 20852
(240) 777-3631
Katherine.Canales@montgomerycountymd.gov

GENERAL CONDITIONS OF CONTRACT BETWEEN COUNTY & CONTRACTOR

1. ACCOUNTING SYSTEM AND AUDIT, ACCURATE INFORMATION

The contractor certifies that all information the contractor has provided or will provide to the County is true and correct and can be relied upon by the County in awarding, modifying, making payments, or taking any other action with respect to this contract including resolving claims and disputes. Any false or misleading information is a ground for the County to terminate this contract for cause and to pursue any other appropriate remedy. The contractor certifies that the contractor's accounting system conforms with generally accepted accounting principles, is sufficient to comply with the contract's budgetary and financial obligations, and is sufficient to produce reliable financial information.

The County may examine the contractor's and any first tier subcontractor's records to determine and verify compliance with the contract and to resolve or decide any claim or dispute arising under this contract. The contractor and any first tier subcontractor must grant the County access to these records at all reasonable times during the contract term and for 3 years after final payment. If the contract is supported to any extent with federal or state funds, the appropriate federal or state authorities may also examine these records. The contractor must include the preceding language of this paragraph in all first tier subcontracts.

2. AMERICANS WITH DISABILITIES ACT

The contractor agrees to comply with the nondiscrimination requirements of Titles II and III, and other provisions, of the Americans with Disabilities Act of 1990, Pub. Law 101-336, and ADA Amendments Act of 2008, Pub. Law 110-325, as amended, currently found at 42 U.S.C., § 12101, et seq., and 47 U.S.C., ch. 5.

3. APPLICABLE LAWS

This contract must be construed in accordance with the laws and regulations of Maryland and Montgomery County. The Montgomery County Procurement Regulations are incorporated by reference into, and made a part of, this contract. In the case of any inconsistency between this contract and the Procurement Regulations, the Procurement Regulations govern. The contractor must, without additional cost to the County, pay any necessary fees and charges, obtain any necessary licenses and permits, and comply with applicable federal, state and local laws, codes and regulations. Through signature of this contract, the contractor certifies that the contractor has filed an initial statement with the Maryland State Board of Elections in compliance with MD Code Ann., Election Law, §14-104(b)(1), or is not required to file an initial statement as per MD Code Ann., Election Law, §14-104(c)(2).

For purposes of litigation involving this contract, except for contract Disputes discussed in paragraph 8 below, exclusive venue and jurisdiction must be in the Circuit Court for Montgomery County, Maryland or in the District Court of Maryland for Montgomery County.

The County's prevailing wage law, as found at §11B-33C of the County Code, applies to certain construction and mechanical systems service contracts. To the extent applicable, the County's prevailing wage requirements are enumerated within this solicitation/contract in the "Prevailing Wage Requirements for Construction Contract Addendum to the General Conditions of Contract between County and Contractor." If applicable to this contract, the Addendum will be attached to the contract, and will be incorporated herein by reference, and made a part thereof.

Furthermore, certain non-profit and governmental entities may purchase supplies and services, similar in scope of work and compensation amounts provided for in a County contract, using their own contract and procurement laws and regulations, pursuant to the Md. State Finance and Procurement Article, Section 13-101, et. seq.

Contractor and all of its subcontractors must comply with the provisions of County Code §11B-35A and must not retaliate against a covered employee who discloses an illegal or improper action described in §11B-35A. Furthermore, an aggrieved covered employee under §11B-35A is a third-party beneficiary under this Contract, who may by civil action recover compensatory damages including interest and reasonable attorney's fees, against the contractor or one of its subcontractors for retaliation in violation of that Section.

The contractor agrees to comply with the requirements of the Displaced Service Workers Protection Act, which appears in County Code, Chapter 27, Human Rights and Civil Liberties, Article X, Displaced Service Workers Protection Act, §§ 27-64 through 27-66.

Montgomery County's Earned Sick and Safe Leave Law, found at Sections 27-76 through 27-82 of the County Code, became effective October 1, 2016. An employer doing business in the County, as defined under the statute, must comply with this law. This includes an employer vendor awarded a County contract. A vendor may obtain information regarding this law at <http://www.montgomerycountymd.gov/humanrights/>

4. ASSIGNMENTS AND SUBCONTRACTS

The contractor must not assign or transfer this contract, any interest herein or any claim hereunder, except as expressly authorized in writing by the Director, Office of Procurement. Unless performance is separately and expressly waived in writing by the Director, Office of Procurement, an assignment does not release the contractor from responsibility for performance of this contract. Unless otherwise provided in the contract, the contractor may not contract with any other party for furnishing any of the materials or services herein contracted for without the written approval of the Director, Office of Procurement. Any subcontract for any work hereunder must comport with the terms of this Contract and County law, and must include any other terms and conditions that the County deems necessary to protect its interests. The contractor must not employ any subcontractor that is a debarred or suspended person under County Code §11B-37. The contractor is fully responsible to the County for the acts and omissions of itself, its subcontractors and any persons either directly or indirectly employed by them. Nothing contained in the contract documents shall create any contractual relation between any subcontractor and the County, and nothing in the contract documents is intended to make any subcontractor a beneficiary of the contract between the County and the contractor.

5. CHANGES

The Director, Office of Procurement, may unilaterally change the work, materials and services to be performed. The change must be in writing and within the general scope of the contract. The contract will be modified to reflect any time or money adjustment the contractor is entitled to receive. Contractor must bring to the Contract Administrator, in writing, any claim about an adjustment in time or money resulting from a change, within 30 days from the date the Director, Office of Procurement, issued the change in work, or the claim is waived. Any failure to agree upon a time or money adjustment must be resolved under the "Disputes" clause of this contract. The contractor must proceed with the prosecution of the work as changed, even if there is an unresolved claim. No charge for any extra work, time or material will be allowed, except as provided in this section.

6. CONTRACT ADMINISTRATION

A. The contract administrator, subject to paragraph B below, is the Department representative designated by the Director, Office of Procurement, in writing and is authorized to:

- (1) serve as liaison between the County and the contractor;
- (2) give direction to the contractor to ensure satisfactory and complete performance;
- (3) monitor and inspect the contractor's performance to ensure acceptable timeliness and quality;
- (4) serve as records custodian for this contract, including wage and prevailing wage requirements;

- (5) accept or reject the contractor's performance;
- (6) furnish timely written notice of the contractor's performance failures to the Director, Office of Procurement, and to the County Attorney, as appropriate;
- (7) prepare required reports;
- (8) approve or reject invoices for payment;
- (9) recommend contract modifications or terminations to the Director, Office of Procurement;
- (10) issue notices to proceed; and
- (11) monitor and verify compliance with any MFD Performance Plan.

B. The contract administrator is NOT authorized to make determinations (as opposed to recommendations) that alter, modify, terminate or cancel the contract, interpret ambiguities in contract language, or waive the County's contractual rights.

7. COST & PRICING DATA

Chapter 11B of the County Code and the Montgomery County Procurement Regulations require that cost & pricing data be obtained from proposed awardees/contractors in certain situations. The contractor guarantees that any cost & pricing data provided to the County will be accurate and complete. The contractor grants the Director, Office of Procurement, access to all books, records, documents, and other supporting data in order to permit adequate evaluation of the contractor's proposed price(s). The contractor also agrees that the price to the County, including profit or fee, may, at the option of the County, be reduced to the extent that the price was based on inaccurate, incomplete, or noncurrent data supplied by the contractor.

8. DISPUTES

Any dispute arising under this contract that is not disposed of by agreement must be decided under the Montgomery County Code and the Montgomery County Procurement Regulations. Pending final resolution of a dispute, the Contractor must proceed diligently with contract performance. Subject to subsequent revocation or alteration by the Director, Office of Procurement, the head of the County department, office or agency ("Department Head") of the contract administrator is the designee of the Director, Office of Procurement, for the purpose of dispute resolution. The Department Head, or his/her designee, must forward to the Director, Office of Procurement, a copy of any written resolution of a dispute. The Department Head may delegate this responsibility to another person (other than the contract administrator). A contractor must notify the contract administrator of a claim in writing, and must attempt to resolve a claim with the contract administrator prior to filing a dispute with the Director, Office of Procurement or designee. The contractor waives any dispute or claim not made in writing and received by the Director, Office of Procurement, within 30 days of the event giving rise to the dispute or claim, whether or not the contract administrator has responded to a written notice of claim or resolved the claim. The Director, Office of Procurement, must dismiss a dispute that is not timely filed. A dispute must be in writing, for specific relief, and any requested relief must be fully supported by affidavit of all relevant calculations, including cost and pricing information, records, and other information. At the County's option, the contractor agrees to be made a party to any related dispute involving another contractor.

9. DOCUMENTS, MATERIALS, AND DATA

All documents materials or data developed as a result of this contract are the County's property. The County has the right to use and reproduce any documents, materials, and data, including confidential information, used in the performance of, or developed as a result of, this contract. The County may use this information for its own purposes, including reporting to state and federal agencies. The contractor warrants that it has title to or right of use of all documents, materials or data used or developed in connection with this contract. The contractor must keep confidential all documents, materials, and data prepared or developed by the contractor or supplied by the County.

10. DURATION OF OBLIGATION

The contractor agrees that all of contractor's obligations and warranties, including all requirements imposed by the Minority Owned Business Addendum to these General Conditions, if any, which directly or indirectly are intended by their nature or by implication to survive contractor performance, do survive the completion of performance, termination for default, termination for convenience, or termination by mutual consent of the contract.

11. ENTIRE AGREEMENT

There are no promises, terms, conditions, or obligations other than those contained in this contract. This contract supersedes all communications, representations, or agreements, either verbal or written, between the parties hereto, with the exception of express warranties given to induce the County to enter into the contract.

12. ETHICS REQUIREMENTS/POLITICAL CONTRIBUTIONS

The contractor must comply with the ethics provisions contained in Chapters 11B and 19A, Montgomery County Code, which include the following:

- (a) a prohibition against making or offering to make certain gifts. Section 11B-51(a).
- (b) a prohibition against kickbacks. Section 11B-51(b).
- (c) a prohibition against a person engaged in a procurement from employing or offering to employ a public employee. Section 11B-52 (a).
- (d) a prohibition against a contractor that is providing a recommendation to the County from assisting another party or seeking to obtain an economic benefit beyond payment under the contract. Section 11B-52 (b).
- (e) a restriction on the use of confidential information obtained in performing a contract. Section 11B-52 (c).
- (f) a prohibition against contingent fees. Section 11B-53.

Furthermore, the contractor specifically agrees to comply with Sections 11B-51, 11B-52, 11B-53, 19A-12, and/or 19A-13 of the Montgomery County Code. In addition, the contractor must comply with the political contribution reporting requirements currently codified under the Election Law at Md. Code Ann., Title 14.

13. GUARANTEE

- A. Contractor guarantees for one year from acceptance, or for a longer period that is otherwise expressly stated in the County's written solicitation, all goods, services, and construction offered, including those used in the course of providing the goods, services, and/or construction. This includes a guarantee that all products offered (or used in the installation of those products) carry a guarantee against any and all defects for a minimum period of one year from acceptance, or for a longer period stated in the County's written solicitation. The contractor must correct any and all defects in material and/or workmanship that may appear during the guarantee period, or any defects that occur within one (1) year of acceptance even if discovered more than one (1) year after acceptance, by repairing, (or replacing with new items or new materials, if necessary) any such defect at no cost to the County and to the County's satisfaction.
- B. Should a manufacturer's or service provider's warranty or guarantee exceed the requirements stated above, that guarantee or warranty will be the primary one used in the case of defect. Copies of manufacturer's or service provider's warranties must be provided upon request.
- C. All warranties and guarantees must be in effect from the date of acceptance by the County of the goods, services, or construction.
- D. The contractor guarantees that all work shall be accomplished in a workmanlike manner, and the contractor must observe and comply with all Federal, State, County and local laws, ordinances and regulations in providing the goods, and performing the services or construction.

E. Goods and materials provided under this contract must be of first quality, latest model and of current manufacture, and must not be of such age or so deteriorated as to impair their usefulness or safety. Items that are used, rebuilt, or demonstrator models are unacceptable, unless specifically requested by the County in the Specifications.

14. HAZARDOUS AND TOXIC SUBSTANCES

Manufacturers and distributors are required by federal "Hazard Communication" provisions (29 CFR 1910.1200), and the Maryland "Access to Information About Hazardous and Toxic Substances" Law, to label each hazardous material or chemical container, and to provide Material Safety Data Sheets to the purchaser. The contractor must comply with these laws and must provide the County with copies of all relevant documents, including Material Safety Data Sheets, prior to performance of work or contemporaneous with delivery of goods.

15. HEALTH INSURANCE PORTABILITY AND ACCOUNTABILITY ACT (HIPAA) COMPLIANCE

In addition to the provisions stated above in Section 3. "Applicable Laws," contractor must comply with all requirements in the federal Health Insurance Portability and Accountability Act (HIPAA), to the extent that HIPAA is applicable to this contract. Furthermore, contractor must enter into the County's standard Business Associate Agreement or Qualified Service Organization Agreement when contractor or the County, as part of this contract, may use or disclose to one another, to the individual whose health information is at issue, or to a third-party, any protected health information that is obtained from, provided to, made available to, or created by, or for, the contractor or the County.

16. IMMIGRATION REFORM AND CONTROL ACT

The contractor warrants that both the contractor and its subcontractors do not, and shall not, hire, recruit or refer for a fee, for employment under this contract or any subcontract, an alien while knowing the alien is an unauthorized alien, or any individual without complying with the requirements of the federal Immigration and Nationality laws, including any verification and record keeping requirements. The contractor further assures the County that, in accordance with those laws, it does not, and will not, discriminate against an individual with respect to hiring, recruitment, or referral for a fee, of an individual for employment or the discharge of an individual from employment, because of the individual's national origin or, in the case of a citizen or prospective citizen, because of the individual's citizenship status.

17. INCONSISTENT PROVISIONS

Notwithstanding any provisions to the contrary in any contract terms or conditions supplied by the contractor, this General Conditions of Contract document supersedes the contractor's terms and conditions, in the event of any inconsistency.

18. INDEMNIFICATION

The contractor is responsible for any loss, personal injury, death and any other damage (including incidental and consequential) that may be done or suffered by reason of the contractor's negligence or failure to perform any contractual obligations. The contractor must indemnify and save the County harmless from any loss, cost, damage and other expenses, including attorney's fees and litigation expenses, suffered or incurred due to the contractor's negligence or failure to perform any of its contractual obligations. If requested by the County, the contractor must defend the County in any action or suit brought against the County arising out of the contractor's negligence, errors, acts or omissions under this contract. The negligence of any agent, subcontractor or employee of the contractor is deemed to be the negligence of the contractor. For the purposes of this paragraph, County includes its boards, agencies, agents, officials and employees.

19. INDEPENDENT CONTRACTOR

The contractor is an independent contractor. The contractor and the contractor's employees or agents are not agents of the County.

20. INSPECTIONS

The County has the right to monitor, inspect and evaluate or test all supplies, goods, services, or construction called for by the contract at all reasonable places (including the contractor's place of business) and times (including the period of preparation or manufacture).

21. INSURANCE

Prior to contract execution by the County, the proposed awardee/contractor must obtain at its own cost and expense the minimum insurance specified in the applicable table (See Tables A and B) or attachment to these General Conditions, with one or more insurance company(s) licensed or qualified to do business in the State of Maryland and acceptable to the County's Division of Risk Management. The minimum limits of coverage listed shall not be construed as the maximum as required by contract or as a limitation of any potential liability on the part of the proposed awardee/contractor to the County, nor shall failure by the County to request evidence of this insurance in any way be construed as a waiver of proposed awardee/contractor's obligation to provide the insurance coverage specified. Contractor must keep this insurance in full force and effect during the term of this contract, including all extensions. Unless expressly provided otherwise, Table A is applicable to this contract. The insurance must be evidenced by one or more Certificate(s) of Insurance and, if requested by the County, the proposed awardee/contractor must provide a copy of any and all insurance policies to the County. At a minimum, the proposed awardee/contractor must submit to the Director, Office of Procurement, one or more Certificate(s) of Insurance prior to award of this contract, and prior to any contract modification extending the term of the contract, as evidence of compliance with this provision. The contractor's insurance must be primary. Montgomery County, MD, including its officials, employees, agents, boards, and agencies, must be named as an additional insured on all liability policies. Contractor must provide to the County at least 30 days written notice of a cancellation of, or a material change to, an insurance policy. In no event may the insurance coverage be less than that shown on the applicable table, attachment, or contract provision for required insurance. After consultation with the Department of Finance, Division of Risk Management, the Director, Office of Procurement, may waive the requirements of this section, in whole or in part.

Please disregard TABLE A. and TABLE B., if they are replaced by the insurance requirements as stated in an attachment to these General Conditions of Contract between County and Contractor.

TABLE A. INSURANCE REQUIREMENTS
(See Paragraph #21 under the General Conditions of Contract
between County and Contractor)

CONTRACT DOLLAR VALUES (IN \$1,000's)

	<u>Up to 50</u>	<u>Up to 100</u>	<u>Up to 1,000</u>	<u>Over 1,000</u>
Workers Compensation (for contractors with employees) Bodily Injury by				

Accident (each)	100	100	100	See
Disease (policy limits)	500	500	500	Attachment
Disease (each employee)	100	100	100	
Commercial General Liability for bodily injury and property damage per occurrence, including contractual liability, premises and operations, and independent contractors	300 Attachment	500	1,000	See
Minimum Automobile Liability (including owned, hired and non owned automobiles)				
Bodily Injury				
each person	100	250	500	See
each occurrence	300	500	1,000	Attachment
Property Damage				
each occurrence	300	300	300	
Professional Liability* for errors, omissions and negligent acts, per claim and aggregate, with one year discovery period and maximum deductible of \$25,000	250	500	1,000	See Attachment
Certificate Holder Montgomery County Maryland (Contract #) Office of Procurement 27 Courthouse Square, Ste 330 Rockville, Maryland 20850				

*Professional services contracts only

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TABLE B. INSURANCE REQUIREMENTS
 (See Paragraph #21 under the General Conditions of Contract
 between County and Contractor)

	<u>Up to 50</u>	<u>Up to 100</u>	<u>Up to 1,000</u>	<u>1,000</u>
Commercial General Liability minimum combined single limit for bodily injury and property damage per occurrence, including contractual liability, premises and operations, independent contractors, and product liability	300	500	1,000	See Attachment

Certificate Holder
 Montgomery County Maryland (Contract #)
 Office of Procurement
 27 Courthouse Square, Ste 330
 Rockville, Maryland 20850

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22. INTELLECTUAL PROPERTY APPROVAL AND INDEMNIFICATION - INFRINGEMENT

If contractor will be preparing, displaying, publicly performing, reproducing, or otherwise using, in any manner or form, any information, document, or material that is subject to a copyright, trademark, patent, or other property or privacy right, then contractor must: obtain all necessary licenses, authorizations, and approvals related to its use; include the County in any approval, authorization, or license related to its use; and indemnify and hold harmless the County related to contractor's alleged infringing or otherwise improper or unauthorized use. Accordingly, the contractor must protect, indemnify, and hold harmless the County from and against all liabilities, actions, damages, claims, demands, judgments, losses, costs, expenses, suits, or actions, and attorneys' fees and the costs of the defense of the County, in any suit, including appeals, based upon or arising out of any allegation of infringement, violation, unauthorized use, or conversion of any patent, copyright, trademark or trade name, license, proprietary right, or other related property or privacy interest in connection with, or as a result of, this contract or the performance by the contractor of any of its activities or obligations under this contract.

23. INFORMATION SECURITY

A. Protection of Personal Information by Government Agencies:

In any contract under which Contractor is to perform services and the County may disclose to Contractor personal information about an individual, as defined by State law, Contractor must implement and maintain reasonable security procedures and practices that: (a) are appropriate to the nature of the personal information disclosed to the Contractor; and (b) are reasonably designed to help protect the personal information from unauthorized access, use, modification, disclosure, or destruction. Contractor's requirement to implement and maintain reasonable security practices and procedures must include requiring any third-party to whom it discloses personal information that was originally disclosed to Contractor by the County to also implement and maintain reasonable security practices and procedures related to protecting the personal information. Contractor must notify the County of a breach of the security of a system if the unauthorized acquisition of an individual's personal information has occurred or is reasonably likely to occur, and also must share with the County all information related to the breach. Contractor must provide the above notification to the County as soon as reasonably practicable after Contractor discovers or is notified of the breach of the security of a system. Md. Code Ann., State Gov't. § 10-1301 through 10-1308 (2013).

B. Payment Card Industry Compliance:

In any contract where the Contractor provides a system or service that involves processing credit card payments (a "Payment Solution"), the Payment Solution must be Payment Card Industry Data Security Standard Compliant ("PCI-DSS Compliant"), as determined and verified by the Department of Finance, and must (1) process credit card payments through the use of a Merchant ID ("MID") obtained by the County's Department of Finance by and in the name of the County as merchant of record, or (2) use a MID obtained by and in the name of the Contractor as merchant of record.

24. NON-CONVICTION OF BRIBERY

The contractor hereby declares and affirms that, to its best knowledge, none of its officers, directors, or partners or employees directly involved in obtaining contracts has been convicted of bribery, attempted bribery, or conspiracy to bribe under any federal, state, or local law.

25. NON-DISCRIMINATION IN EMPLOYMENT

The contractor agrees to comply with the non-discrimination in employment policies and/ or provisions prohibiting unlawful employment practices in County contracts as required by Section 11B 33 and Section 27 19 of the Montgomery County Code, as well as all other applicable state and federal laws and regulations regarding employment discrimination.

The contractor assures the County that, in accordance with applicable law, it does not, and agrees that it will not, discriminate in any manner on the basis of race, color, religious creed, ancestry, national origin, age, sex, marital status, disability, or sexual orientation.

The contractor must bind its subcontractors to the provisions of this section.

26. PAYMENT AUTHORITY

No payment by the County may be made, or is due, under this contract, unless funds for the payment have been appropriated and encumbered by the County. Under no circumstances will the County pay the contractor for legal fees, late fees, or shipping fees that are not provided for in the contract. The contractor must not proceed to perform any work (provide goods, services, or construction) prior to receiving written confirmation that the County has appropriated and encumbered funds for that work. If the contractor fails to obtain this verification from the Office of Procurement prior to performing work, the County has no obligation to pay the contractor for the work.

If this contract provides for an additional contract term for contractor performance beyond its initial term, continuation of contractor's performance under this contract beyond the initial term is contingent upon, and subject to, the appropriation of funds and encumbrance of those appropriated funds for payments under this contract. If funds are not appropriated and encumbered to support continued contractor performance in a subsequent fiscal period, contractor's performance must end without further notice from, or cost to, the County. The contractor acknowledges that the County Executive has no obligation to recommend, and the County Council has no obligation to appropriate, funds for this contract in subsequent fiscal years. Furthermore, the County has no obligation to encumber funds to this contract in subsequent fiscal years, even if appropriated funds may be available. Accordingly, for each subsequent contract term, the contractor must not undertake any performance under this contract until the contractor receives a purchase order or contract amendment from the County that authorizes the contractor to perform work for the next contract term.

27. P-CARD OR SUA PAYMENT METHODS

The County is expressly permitted to pay the vendor for any or all goods, services, or construction under the contract through either a procurement card ("p-card") or a Single Use Account ("SUA") method of payment, if the contractor accepts the noted payment method from any other person. In that event, the County reserves the right to pay any or all amounts due under the contract by using either a p-card (except when a purchase order is required) or a SUA method of payment, and the contractor must accept the County's p-card or a SUA method of payment, as applicable. Under this paragraph, contractor is prohibited from charging or requiring the County to pay any fee, charge, price, or other obligation for any reason related to or associated with the County's use of either a p-card or a SUA method of payment.

28. PERSONAL PROPERTY

All furniture, office equipment, equipment, vehicles, and other similar types of personal property specified in the contract, and purchased with funds provided under the contract, become the property of the County upon the end of the contract term, or upon termination or expiration of this contract, unless expressly stated otherwise.

29. TERMINATION FOR DEFAULT

The Director, Office of Procurement, may terminate the contract in whole or in part, and from time to time, whenever the Director, Office of Procurement, determines that the contractor is:

- (a) defaulting in performance or is not complying with any provision of this contract;
- (b) failing to make satisfactory progress in the prosecution of the contract; or
- (c) endangering the performance of this contract.

The Director, Office of Procurement, will provide the contractor with a written notice to cure the default. The termination for default is effective on the date specified in the County's written notice. However, if the County determines that default contributes to the curtailment of an essential service or poses an immediate threat to life, health, or property, the County may terminate the contract immediately upon issuing oral or written notice to the contractor without any prior notice or opportunity to cure. In addition to any other remedies provided by law or the contract, the contractor must compensate the County for additional costs that foreseeably would be incurred by the County, whether the costs are actually incurred or not, to obtain substitute performance. A termination for default is a termination for convenience if the termination for default is later found to be without justification.

30. TERMINATION FOR CONVENIENCE

This contract may be terminated by the County, in whole or in part, upon written notice to the contractor, when the County determines this to be in its best interest. The termination for convenience is effective on the date specified in the County's written notice. Termination for convenience may entitle the contractor to payment for reasonable costs allocable to the contract for work or costs incurred by the contractor up to the date of termination. The contractor must not be paid compensation as a result of a termination for convenience that exceeds the amount encumbered to pay for work to be performed under the contract.

31. TIME

Time is of the essence.

32. WORK UNDER THE CONTRACT

Contractor must not commence work under this contract until all conditions for commencement are met, including execution of the contract by both parties, compliance with insurance requirements, encumbrance of funds, and issuance of any required notice to proceed.

33. WORKPLACE SAFETY

The contractor must ensure adequate health and safety training and/or certification, and must comply with applicable federal, state and local Occupational Safety and Health laws and regulations.

THIS FORM MUST NOT BE MODIFIED WITHOUT THE PRIOR APPROVAL OF THE OFFICE OF THE COUNTY ATTORNEY.

Requirements for Services Contract
Addendum to The General Conditions of Contract Between County and Contractor

- A. This contract is subject to the Wage Requirements Law, found at Section 11B-33A of the Montgomery County Code (“WRL” or “11B-33A”). A County contract for the procurement of services must require the contractor and any of its subcontractors to comply with the WRL, subject to the exceptions for particular contractors noted in 11B-33A (b) and for particular employees noted in 11B-33A (f).
- B. Conflicting requirements (11B-33A (h)): If any federal, state, or County law or regulation requires payment of a higher wage, that law or regulation controls. For an existing County Contract, if an applicable collective bargaining agreement (CBA) that existed prior to May 10, 2016, governs the parties, then that CBA controls. If the term of the CBA mentioned in the preceding sentence ends during the Contract, the WRL will then control.
- C. A nonprofit organization that is exempt from the WRL under 11B-33A (b)(3), must specify, in each bid or proposal, the wage the organization intends to pay to those employees who will perform direct, measurable work under the contract, and any health insurance coverage the organization intends to provide to those employees. Section 11B-33A (c)(2).
- D. A contractor must not split or subdivide a contract, pay an employee through a third party, or treat an employee as a subcontractor or independent contractor, to avoid the imposition of any requirement in 11B-33A. Section 11B-33A (c)(3).
- E. Each contractor and subcontractor covered under the WRL must: certify that it is aware of and will comply with the applicable wage requirements; keep and submit any records necessary to show compliance; and conspicuously post notices approved and/or supplied by the County, informing employees of the requirements in 11B-33A. Section 11B-33A (i).
- F. An employer must comply with the WRL during the initial term of the contract and all subsequent renewal periods, and must pay the adjusted wage rate increase required under 11B-33A (e)(2), if any, which is effective July 1 of each year. The County will adjust the wage rate by the annual average increase in the Consumer Price Index for all urban consumers for the Washington-Baltimore metropolitan area, or successor index, for the previous calendar year and must calculate the adjustment to the nearest multiple of 5 cents. Section 11B-33A (e)(2).
- G. An employer must not discharge or otherwise retaliate against an employee for asserting any right, or filing a complaint of a violation, under the WRL. Section 11B-33A (i)(3).
- H. The sanctions under Section 11B-33 (b), which apply to noncompliance with nondiscrimination requirements, apply with equal force and scope to noncompliance with the wage requirements of the WRL. Section 11B-33A (i)(4).
- I. In the event of a breach of this contract as a result of a contractor’s or subcontractor’s violation of the WRL, the County may seek its available remedies, which include but are not limited to liquidated damages, withholding of payment, and recoupment of audit costs that are described below. The Contractor is jointly and severally liable for any noncompliance by a subcontractor. An aggrieved employee, as a third-party beneficiary, may, by civil action against the violating Contractor or subcontractor, enforce the payment of wages due under the WRL and recover from the Contractor or subcontractor any unpaid wages with interest, a reasonable attorney’s fee, and damages for any retaliation by the Contractor or subcontractor arising from the employee asserting any right, including filing a complaint under the WRL. Section 11B-33A (i)(5). Furthermore, the contractor expressly acknowledges that the County may assess liquidated damages against the Contractor in the event that it, as a covered employer, fails to pay the required wage, or violates the wage reporting or payroll records reporting requirement found at 11B-33A (g), including providing late or inaccurate payroll records.

(i) Liquidated Damages

The County may assess liquidated damages for any noncompliance by contractor or its subcontractor at the rate of 1% per day of the total contract amount, or the estimated annual contract value of a requirements contract, for each day of the violation. This liquidated damages amount in addition to the amount of any unpaid wages, with interest. The Contractor must pay to the County liquidated damages noted above, in addition to any other remedies available to the County. Contractor and County acknowledge that damages that would result to the County as a result of a breach under the WRL are difficult to reasonably ascertain, and that the liquidated damages provided for in this paragraph is a fair and reasonable estimate of damages the County would incur as a result of contractor's or subcontractor's violation of the WRL.

(ii) Withholding of Payment

If the Director determines that a provision of the WRL has been violated, the Director must issue a written decision, including imposing appropriate sanctions and assessing liquidated damages (as outlined above) and audit costs (as outlined below), and may withhold from payment due the contractor, pending a final decision, an amount sufficient to: (a) pay each employee of the contractor or subcontractor the full amount of wages due under the WRL; (b) reimburse the County for audit costs; and (c) satisfy a liability of a contractor or subcontractor for liquidated damages.

(iii) Audit Costs

If the County determines, as a result of a WRL audit, that the Contractor has violated requirements of the WRL, the Contractor must reimburse to the County the cost incurred by the County in conducting the audit. Section 11B-33A (i)(2)(C).

- J. The County must conduct, and the contractor or subcontractor must comply with, random or regular audits to assure compliance with the WRL. Section 11B-33A (i)(2). The Director may conduct an on-site inspection(s) for the purpose of determining compliance. Some of the documents that may be required during an audit are listed on the Wage Requirements Law FAQ web page: <https://www.montgomerycountymd.gov/PRO/DBRC/wage-requirements-law.html>
- K. The Contractor is in breach of this Contract if the Contractor fails to submit timely documentation demonstrating compliance with the WRL to the satisfaction of the Director, including: the Wage Requirements Law Payroll Report Form (PMMD-183), which is required to be submitted by the 14th day of the month following the end of each quarter (January, April, July, October); documents requested in conjunction with a random or regular audit by the County; or, documents otherwise requested by the Director. Section 11B-33A (g)(2).

If a contractor or subcontractor fails to submit, or is late in submitting, copies of any payroll record or other report required to be submitted under the WRL, the County may deem invoices unacceptable until the contractor or subcontractor provides the required records or reports, and may postpone processing payments due under the contract or under an agreement to finance the contract.

For any questions, please contact the Wage Requirements Law Program Manager at 240-777-9920 or WRL@montgomerycountymd.gov.

Wage Requirements Law Certification

(Montgomery County Code, Section 11B-33A)

Business Name	City of Rockville				
Address	111 Maryland Ave				
City	Rockville	State	MD	Zip Code	20850
Phone Number	240-314-8302	Fax Number			
E-Mail Address	RTROUT@ROCKVILLEMD.GOV				

Provide, in the spaces below, the contact name and information of the individual designated by your firm to monitor your compliance with the County’s Wage Requirements Law, unless exempt under Section 11B-33A (b) (see Section B. below):

Contact Name	Ryan Trout	Title	Director of the Department of Housing and Community Development
Phone Number	240-314-8302	Fax Number	
E-mail Address	RTROUT@ROCKVILLEMD.GOV		

In the event that you, the “Offeror,” are awarded the contract and become a Contractor, please check the box(es) below that apply, and leave all of the other boxes blank.

A. Wage Requirements Compliance

This Contractor, as a “covered employer”, must comply with the requirements under Montgomery County Code Section 11B-33A, “Wage Requirements” (“Wage Requirements Law” or “WRL”). Contractor and its subcontractors must pay all employees not exempt under the WRL, and who perform direct measurable work for the County, the required gross wage rate effective at the time the work is performed. For employees who are not paid an hourly wage, Contractor’s compliance with the WRL must be measured by dividing the amount paid to the employee each pay period by the number of hours worked by that employee during each pay period. A covered employer must not make any deduction for any item necessary for an employee to perform the essential job function unless the deduction is permitted by Executive Regulation. The offer price(s) submitted under this solicitation include(s) sufficient funds to meet the requirements of the WRL. A “covered employer” must submit, within 14 days after the end of each quarter (by the 14th of January, April, July, and October, for the quarter ending the preceding month), certified payroll records for each payroll period and for all employees of the contractor or a subcontractor performing services under the County contract governed by the WRL. The payroll records must contain a statement signed by the contractor or subcontractor certifying that the payroll records are correct and the wage rates paid are not less than those required by the WRL. These payroll records must include the following: name, address and telephone number of the contractor or subcontractor; the name and location of the job; and each employee’s name, current home address, daily straight time and overtime hours, total straight time and overtime hours for the payroll period, rate of pay, fringe benefits by type and amount, gross wages, race and gender of the employee, and the employer and the employee share of any health insurance premium provided to the employee. The Contractor must ensure that **NO** Social Security number of any person, other than the last four digits, is included on the quarterly report. A sample, blank Payroll Report Form, for your use and completion, can be found at: <https://www.montgomerycountymd.gov/PRO/DBRC/wage-requirements-law.html>. The above must be submitted to the Division of Business Relations and Compliance, Attn: Wage Requirements Law Program Manager (preferably via email to WRL@montgomerycountymd.gov),

Each Contractor must: keep payroll records covering work performed on a contract covered by the WRL for not less than 5 years after the work is completed; and, subject to reasonable notice, permit the County to inspect the payroll records at any reasonable time and as often as the County deems necessary. If the Contractor or subcontractor fails to submit, or is late in submitting, copies of any payroll record or other report required to be submitted under the WRL, the County may deem invoices unacceptable until the Contractor or subcontractor provides the required records or reports, and may postpone processing payments due under the contract or under an agreement to finance the contract. A violation of the WRL, including the late submission or non-submission of the information noted above, may result in action by the County, including: (a) withholding contract payments, reducing payment amounts, or otherwise assessing damages against Contractor, in an amount sufficient to: (i) pay each employee of the Contractor or subcontractor the full amount of wages due under the WRL; (ii) reimburse the County for audit costs; or (iii) satisfy a liability of a contractor or subcontractor for liquidated damages; (b) terminating the contract; or, (c) otherwise taking action to enforce the contract or the WRL. Violation of the WRL may also result in a finding of non-responsibility for a future contract, or may form the basis for debarment or suspension.

B. Exemption Status (if applicable)

This Contractor is exempt from Section 11B-33A, "Wage Requirements," because it is:

- 1. Reserved – [Intentionally left blank].
- 2. a contractor who, at the time a contract is signed, has received less than \$50,000 from the County in the most recent 12-month period, and will be entitled to receive less than \$50,000 from the County under that contract in the next 12-month period. Section 11B-33A (b)(1).
- 3. a public entity. Section 11B-33A (b)(2).
- 4. a non-profit organization that has qualified for an exemption from federal income taxes under Section 501(c)(3) of the Internal Revenue Code. Section 11B-33A (b)(3) (**must also complete item C below**).
- 5. an employer expressly precluded from complying with the WRL by the terms of any federal or state law, contract, or grant. Section 11B-33A (b)(7) (**must specify the law, or furnish a copy of the contract or grant**).

C. Nonprofit Wage & Health Information

This Contractor is a non-profit organization that is exempt from coverage under Section 11B-33A (b)(3). The contractor must provide proof of its 501(c)(3) status (i.e.

Letter from the IRS). Accordingly, the contractor has completed the 501(c)(3) Non-profit Organization's Employee's Wage and Health Insurance Form which is attached. See Section 11B-33A(c)(2). (**must also complete box B.4. above**)

D. Sole Proprietorship

Sole Proprietorships are subject to the WRL. In order to be excused from the posting and reporting requirements of the WRL, the individual who is the sole proprietor must sign the certifications below in order to attest to the fact that the Sole Proprietorship:

- (1) is aware of, and will comply with, the WRL, as applicable;
- (2) has no employee other than the sole proprietor; and
- (3) will inform the Montgomery County Division of Business Relations and Compliance if the sole proprietor employs any worker other than the sole proprietor.

Note: A schedule C from the employer's federal tax return may be required for verification purposes.

E. Sub-Contractors

It is the prime contractor's responsibility to ensure all of its subcontractors adhere to the WRL. All subcontractors are required to submit quarterly payroll reports. It is the prime contractor's responsibility to collect these payroll reports and submit them to wrl@montgomerycountymd.gov on a quarterly basis.

I intend to use Sub-Contractors if I am awarded a contract as a result of this solicitation.

I do **NOT** intend to use Sub-Contractors if I am awarded a contract as a result of this solicitation. If at any time during the course of the contract I use Sub-Contractors, I understand that I am responsible for their quarterly payroll reporting.

F. Independent Contractors

I intend to use Independent Contractors if I am awarded a contract as a result of this solicitation.

If this box is checked, you must complete the Wage Requirements Law Independent Contractor Certification (PMMD193) in order for your bid/offer to be considered. It can be found at: <https://www.montgomerycountymd.gov/PRO/Resources/Files/SolForm/PMMD-193.pdf>

I do **NOT** intend to use Independent Contractors if I am awarded a contract as a result of this solicitation. If at any time during the course of the contract I use Independent Contractors, I understand and agree that I must complete the Wage Requirements Law Independent Contractor Certification (PMMD193). See above link.

Contractor Certification

CONTRACTOR SIGNATURE: Contractor submits this certification form in accordance with Section 11B-33A of the Montgomery County Code. Contractor certifies that it, and any and all of its subcontractors that perform services under the resultant contract with the County, adhere to Section 11B-33A of the Montgomery County Code.

Authorized Signature		Title of Authorized Person	
Typed or Printed Name	Jeff Mihelich	Date	

ATTACHMENT V
CDBG ELIGIBILITY DOCUMENTATION

**DHCA Guideline Documentation Requirements for Eligibility
Determinations of Households and Individuals who are Beneficiaries of CDBG-Funded
Activities or Programs**

BACKGROUND

1. CDBG programs must benefit at least 70 percent low- and moderate-income households. According to HUD regulations, low- and moderate-income households are defined as those whose incomes do not exceed the applicable Section 8 housing program low-income limit.
2. HUD establishes the Section 8 income limits for the Washington, D.C. Metropolitan Statistical Area (MSA) and advises DHCA. These income limits are periodically revised.
3. Although HUD sets income limits, HUD does not define income. HUD allows jurisdictions participating in the CDBG program to make the determination as to what should be defined as income.
4. In certain cases, strict application of Section 8 Housing Program moderate-income limits without any adjustments would cause families and household in need of assistance to be unable to make use of CDBG resources. Consequently, Montgomery County has determined that certain adjustments for medical and day care expenses associated with these households can be allowed when determining income.
5. It should be clearly understood that the majority of applicants will probably qualify based on the gross income criteria alone. The adjustable income method need only be used for those applicants who do not qualify using gross income.

PURPOSE

The following Guideline describes DHCA's definition of income for eligibility of households participating directly or benefiting directly from CDBG funded programs. This definition excludes housing programs that have different eligibility criteria.

Guidelines

1. Applicable Income Limits: For all CDBG programs that provide direct benefits, excluding housing programs, at least 70 percent of all persons or households participating in the activity must have gross or adjusted incomes that are equal to or less than the Section 8 income eligibility limits for moderate income families, as promulgated by HUD. The current Section 8/CDBG income limits are shown in the table below.
2. Definition of Household Income: A household consists of all related and unrelated persons living together in one housing unit. Roomers and boarders of any age, and related individuals who are 18 years of age or older who pay rent for rooming or boarding privileges,

will not be considered members of the household but are instead considered separate households. Where the number of persons in a household cannot be identified, the household size will be determined by counting those persons listed as members of the household on income tax returns for the last taxable year.

CDBG INCOME LIMITS (6/2025)			
Family Size	30% AMI	50% AMI	"80%" (~64% AMI)
	Very Low Income	Low Income	Moderate Income (capped)
1	\$34,450	\$57,400	\$74,800
2	\$39,350	\$65,600	\$85,450
3	\$44,250	\$73,800	\$96,150
4	\$49,150	\$81,950	\$106,800
5	\$53,100	\$88,550	\$115,350
6	\$57,050	\$95,100	\$123,900
7	\$60,950	\$101,650	\$132,450
8	\$64,900	\$108,200	\$141,000

3. Definition of Gross Income: Gross income is all income received, both taxable and non-taxable, for employed persons without additional sources of income, such as income from rental properties, etc. Gross income normally will be verified by using the latest federal income tax return filed and using the “total income” shown on the tax return. For self-employed persons receiving income from other sources such as partnerships, rental properties, etc., gross income is all income received, both taxable and non-taxable, minus direct expenses, excluding tax credits, depreciation and other forms of “sheltered” income. Gross Income may be verified by other means available to the subgrantee or DHCA.

4. Documentation for Gross Income: Proof of gross income may be established by:

- (a) Copy of the latest federal income tax return; or
- (b) Completing an Affidavit of Income, (See Sample Below); or
- (c) Evidence establishing that person assisted qualifies under another program having income qualification criteria at least as restrictive as that used for the CDBG program.

5. Definition of Adjusted Income: **This method is only to be used if the gross income test cannot be met.** Adjusted Income is gross income minus medical expenses and childcare expenses as follows:

- (a) Those medical expenses claimed on federal income tax returns over and above the 10 percent which is not allowed to be deducted by the IRS.

- (b) Day care expenses associated with a disabled child or adult that are claimed for childcare credit on the household's federal income tax return. The actual amount of day care expenses claimed will be deducted.
6. **Documentation of Adjusted Income: This method is only to be used if the gross income test cannot be met.** Proof of medical expenses and day care expenses are:
- (a) A certified federal income tax return and schedules for the last taxable year. Where day care expenses exceed the maximum allowable under Federal law, proof of actual day care expenses acceptable to DHCA must be provided.
 - (b) Copy of federal income tax return and schedules for the last taxable year with attached notarized affidavit, stating that the tax return is a true copy of the tax return and schedules filed with the IRS for the last taxable year.
 - (c) Where no federal income tax return was filed for the last taxable year or where income has changed substantially, a notarized affidavit stating the amount of income and sources for the past year, including a statement that present income does not exceed the applicable income limit will be used. A sample is attached.
7. **Monitoring:** When monitoring CDBG projects, DHCA staff will review files to assure that worksheets with attachments or other forms of documentation acceptable to DHCA have been completed for all persons or households participating in the activity whose gross income exceeds the Section 8 Moderate Income Limits. For all households or persons whose income is at or below the Section 8 Moderate Income Limit, a copy of the latest federal income tax return or an affidavit of income or proof that the household/person qualifies under other programs (such as, AFDC, Public Housing, Section 8, Lunch Program and Medicaid) is required. At least 70 percent of the persons or households must meet the criteria as outlined above.

Note: Sample AFFIDAVIT OF INCOME FOLLOWS:

AFFIDAVIT OF INCOME

I (PRINT NAME)		residing at
(PRINT ADDRESS)		

Swear and attest that the following information is accurate:

INSTRUCTIONS: Find your family size and check the box next to it. Go across THAT row and check the box that describes your HOUSEHOLD INCOME from ALL ADULT RESIDENTS.

CDBG INCOME LIMITS (6/2025)			
Family Size	30% AMI	50% AMI	"80%" (~64% AMI)
	Very Low Income	Low Income	Moderate Income (capped)
1	\$34,450	\$57,400	\$74,800
2	\$39,350	\$65,600	\$85,450
3	\$44,250	\$73,800	\$96,150
4	\$49,150	\$81,950	\$106,800
5	\$53,100	\$88,550	\$115,350
6	\$57,050	\$95,100	\$123,900
7	\$60,950	\$101,650	\$132,450
8	\$64,900	\$108,200	\$141,000

I have checked that there are _____ residents in my household. The ADULT residents are:

NAME	RELATIONSHIP	ANNUAL INCOME	SOURCE

(Check **ONE** box)

1. I **did not** file a federal income tax form for the most recent year.

2. I **did** file a federal income tax form for the most recent year and the income reported shows the information above.

I swear, under penalty of perjury, that this information is complete and accurate.

Sworn and Signed by: _____ Date: _____

Print Signer's Name: _____ Date: _____

Witness: _____ Date: _____

FRM ATT V CDBG ELIGIB
6-11-25

MANDATORY MINIMUM INSURANCE REQUIREMENTS – *Community Development Block Grant Projects*
I-A BG8068/26 Rockville Single Family Rehabilitation Program; I-B BG8106/26 Community Reach of Montgomery County, Inc.; I-C;BG8107/26 Habitat for Humanity Metro Maryland, Inc.; I-D BG8108/26 Rockville Housing Enterprises (RHE)

Prior to the execution of the Contract by the County, the Contractor must obtain, at their own cost and expense, the following *minimum* (not maximum) insurance coverage with an insurance company/companies licensed to conduct business in the State of Maryland and acceptable to the Division of Risk Management. This insurance must be kept in full force and effect during the term of this Contract, including all extensions. The insurance must be evidenced by a certificate of insurance, and if requested by the County, the Contractor shall provide a copy of the insurance policies and additional insured endorsements. The minimum limits of coverage listed below shall not be construed as the maximum as required by contract or as a limitation of any potential liability on the part of the Contractor to the County nor shall failure to request evidence of this insurance in any way be construed as a waiver of Contractor's obligation to provide the insurance coverage specified. The Contractor's insurance shall be primary with the County's being non-contributory. In lieu of the Contractor maintaining pollution liability coverage directly, the Contractor may satisfy this requirement by ensuring that any subcontractor performing construction or environmental work maintains the required pollution liability insurance and provide its subcontractors' certificate(s) of insurance evidencing such coverage.

Commercial General Liability (all projects)

A minimum limit of liability of **one million dollars (\$1,000,000) per occurrence and two million dollars (\$2,000,000) in the aggregate** for bodily injury, personal injury and property damage coverage per occurrence including the following coverages:

- Contractual Liability
- Premises and Operations
- Independent Contractors & Subcontractors
- Products and Completed Operations

Business Automobile Liability (I-A BG8068/26; I-B BG8106/26; I-D BG8108/26)

A minimum limit of liability of **one million dollars (\$1,000,000) combined single limit**, for bodily injury and property damage coverage per occurrence including the following:

- Owned automobiles
- Hired automobiles
- Non-owned automobiles
- Loading and unloading

Workers' Compensation/Employers' Liability

Meeting all statutory requirements of the State of Maryland Law and with the following minimum Employers' Liability limits:

- Bodily Injury by Accident - \$100,000 each accident**
- Bodily Injury by Disease - \$500,000 policy limits**
- Bodily Injury by Disease - \$100,000 each employee**

Professional Liability

The Contractor must maintain professional liability insurance with limits of not less than **one million dollars (\$1,000,000) per claim**, covering errors, omissions, and negligent acts arising out of program administration, underwriting, income eligibility determinations, mortgage and lien documentation, construction scope development, inspection services, environmental and historic compliance activities, Davis-Bacon or federal labor standards monitoring, and approval of contractor payments performed under this Contract. Coverage must remain in effect for a minimum of three (3) years after completion of the Contract.

Cyber Liability

The Contractor must maintain cyber liability insurance with limits of not less than **one million dollars (\$1,000,000) per claim**, covering data breaches, unauthorized access, or other security incidents involving personally identifiable information and confidential information collected, maintained, or transmitted in connection with this Contract, including but not limited to federal tax returns, income verification documentation, mortgage and lien records, immigration verification documentation, payroll records, and federal compliance documentation, whether maintained in electronic or physical form. The policy shall expressly provide, but not be limited to coverage for the following: (i) the unauthorized use or access of a computer system; (ii) the defense of any regulatory action involving a breach of privacy; (iii) failure to protect confidential information (personal and commercial information) from disclosure; (iv) notification costs, whether or not required by statute; (v) network security liability; (vi) defense costs; and (vii) privacy liability.

Pollution Liability (I-A BG8068/26; I-B BG8106/26; I-D BG8108/26)

The Contractor must ensure that any contractor or subcontractor performing construction, rehabilitation, roof replacement, lead testing, lead remediation, or other environmental compliance activities maintains pollution liability insurance with limits of not less than **one million dollars (\$1,000,000) per occurrence**. Coverage must include bodily injury, property damage, environmental contamination, lead-based paint exposure, mold, asbestos (if encountered), and costs associated with cleanup, remediation, and disposal arising out of construction or rehabilitation activities performed under this Contract.

Crime Insurance (Employee Dishonesty / Theft of Funds)

The Contractor must maintain crime insurance, including employee dishonesty coverage, with limits not less than the amounts specified below, each stated as **per occurrence**, covering loss of County or federal grant funds, including Community Development Block Grant (CDBG) funds, resulting from theft, fraud, embezzlement, forgery, or other dishonest acts of the Contractor's employees or agents. **The policy must name Montgomery County, Maryland as loss payee.**

Minimum required limits by project:

- I-A BG8068/26 – Rockville Single Family Rehabilitation Program: **one hundred thousand dollars (\$100,000) per occurrence**
- I-B BG8106/26 – Community Reach of Montgomery County, Inc.: **eight thousand five hundred forty-six dollars (\$8,546) per occurrence**
- I-C BG8107/26 – Habitat for Humanity Metro Maryland, Inc.: **sixty thousand dollars (\$60,000) per occurrence**
- I-D BG8108/26 – Rockville Housing Enterprises (RHE): **ninety-four thousand four hundred fifty-four dollars (\$94,454) per occurrence**

If a single policy is provided covering all projects, the minimum limit must be not less than **two hundred sixty-three thousand dollars (\$263,000) per occurrence**.

Subcontractor Requirements

Contractor shall require all subcontractors to obtain, and maintain, insurance with limits equal to, or greater than, those limits required within the Contract as applicable to the Project.

Additional Insured

Montgomery County, Maryland, its elected and appointed officials, officers, consultants, agents and employees, must be included as an additional insured on an endorsement to Contractor's **commercial general, automobile insurance**, and **contractor's excess/umbrella** insurance policies, if used to satisfy the Contractor's minimum insurance requirements under this contract, for liability arising out of contractor's products, goods and services provided under this Contract. The stipulated limits of coverage above shall not be construed as a limitation of any potential liability of the Contractor. Coverage pursuant to this Section shall not include any provision that would bar, restrict, or preclude coverage for claims by Montgomery County against Contractor, including but not limited to "cross-liability" or "insured vs insured" exclusion provisions.

Policy Cancellation

Should any of the above policies be cancelled before the expiration date thereof, written notice must be delivered to the County in accordance with the policy provisions.

Certificate Holder

Montgomery County, Maryland
Department of Housing and Community Affairs / Katherine Canales
1401 Rockville Pike, 4th Floor
Rockville, MD 20852

CERTIFICATE OF INSURANCE REVIEW FORM

Date: _____

PLEASE REVIEW THE FOLLOWING INFORMATION AND ATTACHMENTS TO THIS FORM FOR (CHECK ONE):

<input type="checkbox"/> PRE-BID REQUIREMENTS	<input checked="" type="checkbox"/> CONTRACT REQUIREMENTS
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ATTACHMENTS: (CHECK AS APPROPRIATE)

<input type="checkbox"/> CERTIFICATE OF INSURANCE				
<input checked="" type="checkbox"/> INSURANCE REQUIREMENTS SPECIFIED IN:	<input type="checkbox"/> RFP	<input type="checkbox"/> IFB	<input checked="" type="checkbox"/> CONTRACT	<input type="checkbox"/> AMENDMENT
RFP/IFB/CONTRACT #:	1184965	CONTRACT VALUE:	\$263K	
NAME OF CONTRACTOR:	The Mayor and Council of Rockville			
SERVICES PROVIDED:	Community Development Block Grant Projects - I-A, BG8068/26, Rockville Single Family Rehabilitation Program; I-B, BG8106/26, Community Reach of Montgomery County, Inc.; I-C, BG8107/26, Habitat for Humanity Metro Maryland, Inc.; I-D, BG8108/26, Rockville Housing Enterprises (RHE)			
AGENCY/DIVISION:	Housing & Community Development			
CONTRACT MANAGER:	Katherine Canales	PHONE #:	FAX:	

<input checked="" type="checkbox"/> THE ATTACHED SCOPE OF SERVICES REQUIRES THE FOLLOWING INSURANCE COVERAGE REQUIREMENTS:	<input type="checkbox"/> THE ATTACHED CERTIFICATE OF INSURANCE:		
	MEETS REQUIREMENTS	DOES NOT MEET REQUIREMENTS	RECOMMEND WAIVER
<input checked="" type="checkbox"/> COMMERCIAL GENERAL LIABILITY \$1,000,000 / \$2,000,000	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/> AUTOMOBILE LIABILITY \$1,000,000	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/> WORKERS' COMPENSATION & EMPLOYERS' LIABILITY	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/> PROFESSIONAL LIABILITY \$1,000,000	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/> CYBER LIABILITY \$1,000,000	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/> POLLUTION LIABILITY (I-A BG8068/26; I-B BG8106/26; I-D BG8108/26) \$1,000,000	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/> CRIME \$263,000 (or individual per project)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/> ADDITIONAL INSURED	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input checked="" type="checkbox"/> NOTICE OF CANCELLATION PER POLICY PROVISIONS	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

PLEASE LIST THE REASON(S) FOR WAIVER, IF APPLICABLE, IN THE COMMENTS SECTION BELOW.

Comments:

PREPARED/APPROVED: Molly Banks _____ Date _____

INSURANCE SECTION, DIVISION OF RISK MANAGEMENT

Based on Risk Management's recommendation, I approve a waiver of the above-checked items.

Approved by Contracting Officer, Procurement: _____ Date: _____

PMMD-62R

Copy to Procurement

ATTACHMENT VII
CDBG SPECIAL CONDITIONS

NOTE: This Grant Agreement represents a subaward of federal funds (see Attachment VII-E) and therefore includes certain compliance requirements. This Attachment must be included in all Grant Agreements and all Sub awards within them.

1. COMPENSATION AND METHOD OF PAYMENT

Notwithstanding estimated budget figure categories appearing in Attachments I and II, the Grantee must be reimbursed only for actual work completed and expenses incurred, which are supported by documentation in a form acceptable to the Department of Housing and Community Affairs (DHCA). In all cases, these budget amounts represent the maximum amount to be paid and must not be exceeded without prior written authorization from DHCA. Attachment VII-A describes the type of documentation required for invoicing.

The Grantee must request payment by submitting to DHCA a monthly invoice that describes each item of completed work in detail, accompanied by supporting backup documentation. DHCA may withhold payment to Grantees whose performance or data reporting is not in compliance with the Grant Agreement terms.

The Grantee may request in writing that DHCA transfer funds among the budget categories reflected in Attachments I and II, provided the total dollar value of the Grant Agreement is not altered and that there is no significant change in the scope of services provided. The total amount payable under this Grant Agreement, and the dollar value, must not be increased unless approved and amended in writing in advance by the Director of DHCA.

Expenses for all projects and programs under this Grant Agreement must be incurred during the Grant Agreement term. All requests for payment must be received by DHCA no later than 30 days after the expiration of this Grant Agreement, except for those expenses incurred between June 15 and June 30 of the year of expiration, in which case payment requests must be received by DHCA no later than July 7. Invoices received by DHCA after the applicable period has expired will not be approved for payment, and the dollar value of this Grant Agreement will be reduced accordingly.

If salaries are invoiced, a timesheet indicating the number of hours billed for each day of the billing period must be provided and must be signed by both the employee and their supervisor (or other Grantee-designated second Signatory). If the employee is partially paid with any other public funds during the billing period, such time billed for such funds must be indicated on the time sheets. All other invoiced costs must be supported by a copy of the original vendor invoice. All payments must include the following clause, signed by the Signatory of the Grant Agreement:

“By signing this report, I certify to the best of my knowledge and belief that the report is true, complete, and accurate, and the expenditures, disbursements and cash receipts are for the purposes and objectives set forth in the terms and conditions of the federal award. I understand that receipt of this federal CDBG from the County is contingent upon the award of the federal CDBG to the County. I am aware that any false, fictitious, or fraudulent information, or the omission of any material fact, may subject me to criminal, civil or administrative penalties

for fraud, false statements, false claims or otherwise.”

2. TERM

The term of this Grant Agreement is set forth in the Memorandum or letter agreement that is a part of this agreement. Unless otherwise specified, the term is one year, commencing on the date of signature by the Montgomery County Office of Procurement. In extraordinary circumstances beyond the control of the Grantee, if DHCA determines it is in the County's best interest to do so, the Director of the Office of Procurement has the authority to extend the term of this Grant Agreement. Extensions of this Grant Agreement must be executed by an amendment and are contingent upon the Grantee being on the Grantee list.

Requests for such Grant Agreement period extensions must be made in writing prior to the Grant Agreement's expiration and must thoroughly describe the necessity for the delay. If DHCA requires it, such requests must specify in detail the steps that will be taken and establish measurable milestones that may be monitored to ensure the project will be completed during the requested time extension. Such extensions will be granted only for compelling causes and at the sole discretion of the County. Any funds not committed and/or expended as scheduled under such a plan, subject to a 30-day right for the Grantee to cure the defect, are subject to immediate recapture and reallocation by DHCA to other projects. Requests for modifications to Grant Agreement terms may adversely impact recommendations for future CDBG funding for the Grantee.

3. PROJECT INCOME

Certain income derived from this, and prior, CDBG-funded activities and projects, is classified as program income and is defined at [24 C.F.R. §570.500\(a\)](#). Program income includes, but is not limited to, interests, rents, user fees, loan repayments, funds derived from the sale of property acquired or improved with CDBG funds, assessments, as well as other types of contributions.

In accordance with [24 C.F.R. §570.504](#), unless otherwise agreed in writing in advance by the parties, the Grantee must reflect all program income received during the invoiced period on each invoice submitted for work performed under this agreement. The Grantee, by presenting an invoice to the County, certifies that it has disclosed all program income received to that date. Any outstanding program income incurred, but not yet received, must be described with specificity in the documentation accompanying such invoice. Such program income must be applied first against the costs included in the invoice, unless an alternative arrangement has been previously approved in writing by DHCA. Adequate fiscal records must be maintained, clearly indicating the disposition of all program income, and these records must be maintained for the term described in section [7. Audit and Inspection](#).

4. REVIEW OF AGREEMENTS EXECUTED BY GRANTEE

To ensure compliance with all applicable HUD and other federal guidelines, the Grantee must submit all proposed contracts, agreements, subcontracts, and amendments for review and approval by DHCA prior to their execution. DHCA must conduct such reviews in a timely manner and provide written confirmation of its compliance or noncompliance with HUD requirements. Failure of the Grantee to obtain such advance approval will constitute grounds for DHCA's refusal to make payment under such agreements.

5. ATTENDANCE AT MEETINGS

The Grantee must take all reasonable and necessary steps to achieve the successful completion of the work under this Grant Agreement. Such responsibilities include, but are not limited to, arranging and/or attending any necessary meetings, and coordinating all other resources required to implement the Scope of Services under this grant agreement.

6. FINANCIAL MANAGEMENT SYSTEM

In accordance with [2 C.F.R. Part 200](#), the Grantee, whether a non-profit corporation or municipality, must maintain a financial management system that provides, at a minimum, for the following for all CDBG funds:

- (a) Accurate, current, and complete disclosure of all financial activities under this grant agreement;
- (b) Records that identify the amounts of funding authorized, obligations, unobligated balances, assets, outlays, and incomes;
- (c) Effective control and accountability for all funds and for capital items purchased under this grant;
- (d) A comparison of actual outlays with budgeted amounts and the relationship between performance and costs incurred;
- (e) Accounting records that are supported by source documentation.

7. AUDIT AND INSPECTION

In accordance with [2 C.F.R. Part 200](#), a Grantee that expends \$1,000,000 or more in a year in Federal awards must have a program-specific audit conducted for that year as specified in [2 C.F.R. §200.501](#). The Grantee must furnish DHCA with a copy of all audit reports within 30 days of receipt. If DHCA finds the audit report to contain findings or concerns, the Grantee must submit to DHCA, within 60 days of submitting the audit report, the Grantee’s plan of action for correcting all noted deficiencies. Should the Grantee fail to submit a plan or follow through on the necessary remedial actions in a timely manner, the Grant Agreement may be suspended or terminated under the provisions of section [20. Suspension and Termination](#).

For Grantees that spend less than \$1,000,000 in Federal awards, DHCA will make an individual determination based on a risk assessment of the entity if an audit is required.

The Grantee agrees that the County, the U.S. Department of Housing and Urban Development, the Controller General of the United States, and any of their duly authorized representatives must, until the expiration of 3 years after completion of the Grant Agreement, have access to and the right to examine any pertinent books, documents, papers, and records of the Grantee and all sub-awards involving transactions related to the Agreement. DHCA reserves the right to request a longer document retention period in the event it may be required on a case-by-case basis.

8. REPORTING REQUIREMENTS

In accordance with the requirements of [24 C.F.R. §570.201](#) and [24 C.F.R. §570.506](#), the Grantee must provide DHCA with the Federally Funded Loan Set Up Form at the beginning of the project, and must submit final narrative reports to DHCA. The Grantee must retain backup documentation consistent with section 7. (Audit and Inspection) has expired. Each report must

summarize the actual achievements of the project and relate these to its scope of services and stated goals. Each Loan Set Up Form report must include all the data indicated on the sample report shown in Attachment VII-B, and the Grantee must maintain backup documentation supporting the reported data. If the Grantee has negotiated and executed a sub-agreement, a fully executed Attachment VII-C, "Subcontract Activity Report," must also be included.

The Grantee recognizes that programmatic requirements undergo periodic revisions and specifically agrees to provide all other information and/or reports that may be requested by DHCA consistent with compliance with internal controls or HUD requirements and regulations.

9. EQUAL OPPORTUNITY

Note: Executive Order 14173 (2023) supersedes prior workforce nondiscrimination provisions under Executive Order 11246.

During the performance of this Grant Agreement, the Grantee agrees as follows:

- (1) The Grantee will not discriminate against any employee or applicant for employment . The Grantee will take action to ensure that applicants are employed, and that employees are treated equally . Such action shall include, but not be limited to the following: Employment, upgrading, demotion, or transfer, recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The Grantee agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the contracting officer setting forth the provisions of this nondiscrimination clause.
- (2) The Grantee will, in all solicitations or advertisements for employees placed by or on behalf of the Grantee, state that all qualified applicants will receive consideration for employment .
- (3) The Grantee will not discharge or in any other manner discriminate against any employee or applicant for employment because such employee or applicant has inquired about, discussed, or disclosed the compensation of the employee or applicant or another employee or applicant. This provision shall not apply to instances in which an employee who has access to the compensation information of other employees or applicants as a part of such employee's essential job functions discloses the compensation of such other employees or applicants to individuals who do not otherwise have access to such information, unless such disclosure is in response to a formal complaint or charge, in furtherance of an investigation, proceeding, hearing, or action, including an investigation conducted by the employer, or is consistent with the Grantee's legal duty to furnish information.

10. SUBCONTRACTING WITH MINORITY FIRMS

It is national policy to award a fair share of contracts and subcontracts to small and minority, female, and disability owned business firms. Accordingly, affirmative steps must be taken to ensure that such businesses are utilized when possible as sources of supplies, equipment, construction, and services. Affirmative steps must include the following:

- a) Including qualified small and minority businesses on solicitation lists;
- b) Assuring that small and minority businesses are solicited whenever they are potential

sources;

- c) When economically feasible, dividing total requirements into smaller tasks or quantities so as to permit maximum small and minority business participation;
- d) Where the requirements permit, establishing delivery schedules which will encourage participation by small and minority businesses;
- e) Using the services and assistance of the Small Business Administration, the Office of Minority Business Enterprise of the Department of Commerce, and the Community Services Administration as required.

If any subcontracts are to be let, the prime contractor or grantee must take the affirmative steps in (a) through (e), above.

Montgomery County's Department of Procurement has a Minority, Female and Disabled Persons Program which can be of assistance in identifying and accessing minority, female and disabled contractors. It can be reached at (240) 777-9912 / 9913 or <https://www.montgomerycountymd.gov/PRO/DBRC/mfd.html>.

11. AGREEMENTS WITH RELIGIOUS ORGANIZATIONS TO PROVIDE CDBG FUNDED PUBLIC SERVICES

In conjunction with, and not in substitution of, other requirements within this Agreement regarding the provision of public services with CDBG funds, pursuant to Title I of the Housing and Community Development Act of 1974, as amended, the Grantee:

- a. represents that if it is, or may be deemed to be, a religious or denominational institution or organization, or is an organization operated for religious purposes which is supervised or controlled by, or in connection with, a religious or denominational institution or organization; and
- b. it agrees that, in connection with such public services:
 - i. It will not discriminate against any employee or applicant for employment on the basis of religion and will not limit employment or give preference in employment to persons on the basis of religion;
 - ii. It will not discriminate for or against any person applying for such public services on the basis of religion and will not limit, nor determine the scope of such public services on the basis of religion;
 - iii. it will provide no religious instruction or counseling, conduct no religious worship or services, engage in no religious proselytizing, nor exert any other religious influence in the provision of such public services;
 - iv. the portion of a facility used to provide public services assisted in whole or in part under this Agreement shall contain no sectarian or religious symbols or decorations; and
 - v. if funds received under this Agreement are used to acquire, construct, rehabilitate, or restore any facility which is owned by the Grantee, that facility must be held in trust by the faith-based organization as trustees for the beneficiaries of the project or program under which the property was acquired or improved, The faith-based

organization must record liens or other appropriate notices of record to indicate that personal or real property has been acquired or improved with federal funds and that use and disposition conditions apply to the property. Acquisition or renovation of principal places of worship is prohibited.

12. COMPLIANCE WITH OTHER FEDERAL REGULATIONS

In accordance with [24 C.F.R. §570.502](#) (a) and (b), the Grantee must comply with all Federal laws, regulations, and requirements, including amendments thereto, applicable to CDBG grants and/or loans and any applicable HUD guidance issued after the date of this agreement.

13. SIGNS/ADVERTISEMENTS- The Grantee must ensure that all signs, posters, pamphlets, printed materials, advertisements, and written articles concerning this program/project must include a statement acknowledging full or partial CDBG funding as appropriate.

14. LOBBYING - The Grantee agrees and certifies, with respect to lobbying, to the following:

1. No federal appropriated funds have been paid or will be paid, by or on behalf of the Grantee, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, in connection with the awarding of any federal contract, the making of any federal grant or loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract, grant, loan or cooperative agreement.
2. If any funds other than federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress or an employee of a Member of Congress in connection with this federal contract, grant, loan or cooperative agreement, the Grantee must complete and submit an executed “Disclosure Form to Report Lobbying,” in accordance with instructions provided therein. Byrd Anti-Lobbying Amendment ([31 U.S.C. 1352](#))—Contractors that apply or bid for an award exceeding \$100,000 must file the required certification. Each tier certifies to the tier above that it will not and has not used Federal appropriated funds to pay any person or organization for influencing or attempting to influence an officer or employee of any agency, a member of Congress, officer or employee of Congress, or an employee of a member of Congress in connection with obtaining any Federal contract, grant or any other award covered by [31 U.S.C. 1352](#). Each tier must also disclose any lobbying with non-Federal funds that takes place in connection with obtaining any Federal award. Such disclosures are forwarded from tier to tier up to the non-Federal award.
3. The Grantee must require that the language of this certification be included in the award documents implementing all sub- awards at all tiers (including subcontracts, sub grants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients must certify and disclose accordingly.

15. INVENTIONS/INTELLECTUAL PROPERTY - The Grantee may copyright any work that is subject to copyright and was developed, or for which ownership was acquired, under a Federal award. The Federal awarding agency reserves a royalty-free, nonexclusive, and irrevocable right to reproduce, publish, or otherwise use the work for Federal purposes, and to authorize others to do so.

16. CAPITAL EQUIPMENT

In accordance with [2 C.F.R. §200.1](#), equipment is defined as tangible personal property (including information technology systems) having a useful life of more than one year and a per-unit acquisition cost that equals or exceeds the lesser of the capitalization level established by the recipient or subrecipient for financial statement purposes, or \$10,000. Generally, the purchase of equipment is prohibited. Prior to purchasing any equipment, the Grantee must obtain written permission from the County. The County reserves the right to purchase such equipment through its sources of supply if such a purchase will result in cost savings. Title to the equipment must remain with the County. Recipients must submit to the County annually, during the term of this agreement (and all extensions thereof), an inventory listing of all County-owned equipment in their custody. Attachment VI-D is provided for this purpose.

Upon completion of this agreement or at such time as the equipment is no longer needed by the Grantee, disposition of the equipment must be determined in accordance with [2 C.F.R. Section 200.313](#), and all dispositions must be approved in writing by the County prior to implementation.

17. PLANT INSPECTION

The County may inspect the place of business or location of service delivery of the Grantee under any grant or loan agreement awarded or to be awarded by the County or any subcontractor thereunder. The unreasonable failure of a bidder or offeror to supply information promptly in connection with such an inspection may be grounds for a determination of non-responsiveness by the County and will constitute a default under the terms of this agreement.

18. NON-PARTICIPATING CDBG JURISDICTIONS

Residents of, and facilities and programs located within municipalities that have chosen not to enter into CDBG Participation Agreements with the County are ineligible for receipt of all CDBG funded activities and benefits.

19. ENTIRE AGREEMENT

This grant agreement including all attachments constitutes the entire agreement between the parties. There are no other collateral grant agreements or agreements of any kind between the parties.

20. SUSPENSION AND TERMINATION

In accordance with [2 C.F.R. §200.208](#) suspension or termination may occur if the grantee materially fails to comply with any terms of this award. In addition, this award may be terminated for convenience in accordance with [2 C.F.R. §200.339](#).

21. CONTRACTOR DEBARMENT or INELIGIBILITY – No contract may be awarded to a party listed as debarred or ineligible on the governmentwide exclusions in the System for Award Management (SAM). All entities with whom a contract or subcontract will be executed must be checked against those listings and dated verification of its status printed out prior to contract execution and included in the project file.

22. DOCUMENT AND RECORD RETENTION REQUIREMENTS

All documents, records and files must be maintained, and available for inspection on request, for a minimum period of three (3) years following the closeout of the project.

23. CONFLICT OF INTEREST

No persons who exercise or have exercised any functions or responsibilities with respect to CDBG activities, or who are in a position to participate in a decision making process or gain inside information with regard to such activities, may obtain a financial interest or benefit from a CDBG-assisted activity, or have a financial interest in any contract, subcontract, or agreement with respect to a CDBG- assisted activity, or with respect to the proceeds of the CDBG-assisted activity, either for themselves or those with whom they have business or immediate family ties, during their tenure or for one year thereafter.

NOTE. PARAGRAPHS 24 THROUGH 32 ARE APPLICABLE TO ALL FEDERALLY ASSISTED CONSTRUCTION CONTRACTS

Note: Executive Order 14173 (2023) supersedes prior nondiscrimination and affirmative-action obligations under Executive Order 11246. The construction-related clauses below are retained for continuity of federal contract language; however, compliance and enforcement must follow EO 14173.

24. AFFIRMATIVE ACTION/EQUAL OPPORTUNITY FEDERALLY ASSISTED CONSTRUCTION CONTRACTS / GRANT AGREEMENTS

Except as otherwise provided, each administering agency shall require the inclusion of the following language as a condition of any grant, contract, loan, insurance, or guarantee involving federally assisted construction which is not exempt from the requirements of the equal opportunity clause:

The applicant hereby agrees that it will incorporate or cause to be incorporated into any contract for construction work, or modification thereof, as defined in the regulations of the Secretary of Labor at [41 C.F.R. Chapter 60](#), which is paid for in whole or in part with funds obtained from the Federal Government or borrowed on the credit of the Federal Government pursuant to a grant, contract, loan, insurance, or guarantee, or undertaken pursuant to any Federal program involving such grant, contract, loan, insurance, or guarantee, the following equal opportunity clause:

During the performance of this contract, the Grantee agrees as follows:

(1) The Grantee will not discriminate against any employee or applicant for employment because of race, color, religion, sex, sexual orientation, gender identity, or national origin. The Grantee will take affirmative action to ensure that applicants are employed, and that employees are treated during employment without regard to their race, color, religion, sex, sexual orientation, gender identity, or national origin. Such action shall include, but not be limited to the following:

Employment, upgrading, demotion, or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and selection for training, including apprenticeship. The Grantee agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided setting forth the provisions of this nondiscrimination clause.

(2) The Grantee will, in all solicitations or advertisements for employees placed by or on behalf of the Grantee, state that all qualified applicants will receive consideration for

employment without regard to race, color, religion, sex, sexual orientation, gender identity, or national origin.

(3) The Grantee will not discharge or in any other manner discriminate against any employee or applicant for employment because such employee or applicant has inquired about, discussed, or disclosed the compensation of the employee or applicant or another employee or applicant. This provision shall not apply to instances in which an employee who has access to the compensation information of other employees or applicants as a part of such employee's essential job functions discloses the compensation of such other employees or applicants to individuals who do not otherwise have access to such information, unless such disclosure is in response to a formal complaint or charge, in furtherance of an investigation, proceeding, hearing, or action, including an investigation conducted by the employer, or is consistent with the Grantee's legal duty to furnish information.

(4) The Grantee will send to each labor union or representative of workers with which he has a collective bargaining agreement or other contract or understanding, a notice to be provided advising the said labor union or workers' representatives of the Grantee's commitments under this section, and shall post copies of the notice in conspicuous places available to employees and applicants for employment.

(5) The following guidelines have been superseded by Executive Order 14173. The Grantee will comply with all provisions of Executive Order 11246 of September 24, 1965, and of the rules, regulations, and relevant orders of the Secretary of Labor.

(6) The following guidelines have been superseded by Executive Order 14173. The Grantee will furnish all information and reports required by Executive Order 11246 of September 24, 1965, and by rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to his books, records, and accounts by the administering agency and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.

(7) The following guidelines have been superseded by Executive Order 14173. In the event of the Grantee's noncompliance with the nondiscrimination clauses of this contract with any of the said rules, regulations, or orders, this contract may be canceled, terminated, or suspended in whole or in part and the Grantee may be declared ineligible for further Government contracts or federally assisted construction contracts in accordance with procedures authorized in Executive Order 11246 of September 24, 1965, and such other sanctions may be imposed and remedies invoked as provided in Executive Order 11246 of September 24, 1965, or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.

(8) The following guidelines have been superseded by Executive Order 14173. The Grantee will include the portion of the sentence immediately preceding paragraph (1) and the provisions of paragraphs (1) through (8) in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to section 204 of Executive Order 11246 of September 24, 1965, so that such provisions will be binding upon each sub awardee vendor. The Grantee will take such action with respect to any subcontract or purchase order as the administering agency may

direct as a means of enforcing such provisions, including sanctions for noncompliance:

Provided, however, that in the event a Grantee becomes involved in, or is threatened with, litigation with a sub awardee or vendor as a result of such direction by the administering agency, the Grantee may request the United States to enter into such litigation to protect the interests of the United States.

The applicant further agrees that it will be bound by the above equal opportunity clause with respect to its own employment practices when it participates in federally assisted construction work: *Provided*, That if the applicant so participating is a State or local government, the above equal opportunity clause is not applicable to any agency, instrumentality or subdivision of such government which does not participate in work on or under the contract.

The applicant agrees that it will assist and cooperate actively with the administering agency and the Secretary of Labor in obtaining the compliance of Grantees and sub awardees with the equal opportunity clause and the rules, regulations, and relevant orders of the Secretary of Labor, that it will furnish the administering agency and the Secretary of Labor such information as they may require for the supervision of such compliance, and that it will otherwise assist the administering agency in the discharge of the agency's primary responsibility for securing compliance.

The following guidelines have been superseded by Executive Order 14173:

The applicant further agrees that it will refrain from entering into any contract or contract modification subject to Executive Order 11246 of September 24, 1965, with a contractor debarred from, or who has not demonstrated eligibility for, Government contracts and federally assisted construction contracts pursuant to the Executive Order and will carry out such sanctions and penalties for violation of the equal opportunity clause as may be imposed upon Grantees and sub awardees the administering agency or the Secretary of Labor pursuant to Part II, Subpart D of the Executive Order. In addition, the applicant agrees that if it fails or refuses to comply with these undertakings, the administering agency may take any or all of the following actions: Cancel, terminate, or suspend in whole or in part this grant (contract, loan, insurance, guarantee); refrain from extending any further assistance to the applicant under the program with respect to which the failure or refund occurred until satisfactory assurance of future compliance has been received from such applicant; and refer the case to the Department of Justice for appropriate legal proceedings.

25. MISCELLANEOUS MANDATORY CONSTRUCTION CONTRACT INCLUSIONS FEDERALLY ASSISTED CONSTRUCTION CONTRACTS/GRANT AGREEMENTS

The following guidelines have been superseded by Executive Order 14173:

- (a) ***Subcontracts.*** Each nonexempt prime contractor or subcontractor shall include the equal opportunity clause in each of its nonexempt subcontracts.
- (b) ***Inclusion of the equal opportunity clause by reference.*** The equal opportunity clause may be included by reference in all Government contracts and subcontracts, including Government bills of lading, transportation requests, contracts for deposit of

Government funds, and related instruments.

(c) *Incorporation by operation of the order.* By operation of the order, the equal opportunity clause shall be considered to be a part of every contract and subcontract required by the order and the regulations in this part to include such a clause whether or not it is physically incorporated in such contracts and whether or not the contract between the agency and the contractor is written.

(d) *Adaptation of language.* Such necessary changes in language may be made in the equal opportunity clause as shall be appropriate to identify properly the parties and their undertakings.

26. KICKBACKS - FEDERALLY ASSISTED CONSTRUCTION CONTRACTS / GRANT AGREEMENTS

The Grantee is prohibited from inducing by any means any person employed in federally funded construction or repair work to give up any part of the compensation to which he is otherwise entitled, and must comply with all other provisions of the Copeland “Anti-Kick Back” Act, [18 U.S.C. 874](#), supplemented by Department of Labor Regulations [29 C.F.R. Part 3](#).

27. LEAD BASED PAINT - FEDERALLY ASSISTED CONSTRUCTION CONTRACTS/GRANT AGREEMENTS

The use of all lead-based paint is prohibited, and the disturbance of certain existing surfaces which may potentially contain lead paint is subject to HUD LEAD-BASED PAINT POISONING PREVENTION IN CERTAIN RESIDENTIAL STRUCTURES described at [24 C.F.R. Part 35](#). DHCA and HUD consider reduction of exposure to lead paint hazards a priority. Grantees that disturb, or cause to be disturbed, surfaces potentially containing lead paint products must follow the specific protocols mandated by the state of Maryland and by HUD. Testing, notification and/or abatement may be required. Results of lead testing for all pre-1978 properties must be provided to DHCA prior to issuing any proposal for renovation or starting any renovation work. Additional information may be obtained by calling 1-800-424-LEAD.

28. PROCUREMENT OF RECOVERED MATERIALS - FEDERALLY ASSISTED CONSTRUCTION CONTRACTS / GRANT AGREEMENTS

Procurement of recovered materials. Contractors must comply with section 6002 of the Solid Waste Disposal Act, as amended by the Resource Conservation and Recovery Act. The requirements of Section 6002 include procuring only items designated in guidelines of the Environmental Protection Agency (EPA) at [40 C.F.R. part 247](#) that contain the highest percentage of recovered materials practicable, consistent with maintaining a satisfactory level of competition.

29. SECTION 3 - FEDERALLY ASSISTED CONSTRUCTION CONTRACTS/GRANT AGREEMENTS

HUD’s Section 3 regulations were updated in 2020. Any reference to former 24 C.F.R. Part 135 shall be interpreted pursuant to the current HUD Section 3 rule at [24 C.F.R. Part 75](#).

The funding for the work to be performed under this grant agreement is assisted under a program providing direct Federal financial assistance from the Department of Housing and Urban Development and is subject to the requirements of Section 3 of the Housing and Urban

Development Act of 1968, as amended, and the implementing regulations at [24 C.F.R. Part 75](#) (which replaced former Part 135 effective November 30, 2020). Section 3 requires that to the greatest extent feasible, opportunities for training and employment be given to lower income residents of the project area, and opportunities for provision of supplies and materials in connection with the project be awarded to business concerns located in, or owned in substantial part by persons residing in, the area of the project. Because of the demographic makeup of Montgomery County, the “project area” may be defined in a manner most likely to result in the actual award of such Section 3 benefits. HUD’s updated Section 3 rule at [24 C.F.R. Part 75](#) now defines ‘Targeted Section 3 workers’ using service-area–based criteria; project area definitions in this Attachment remain valid and should be applied consistent with the updated rule.

The Grantee must certify and agree that it is under no contractual or other disability which would prevent it from complying with any of the requirements referenced or specified herein. The Grantee must include this Section 3 clause in every contract and subcontract for work in connection with the federally funded project and must, at the direction of the County, take appropriate action upon a finding that the subcontractor in violation of these regulations. For clarity, all references to former Section 3 regulations at 24 C.F.R. Part 135 shall be interpreted pursuant to the current Section 3 rule codified at [24 C.F.R. Part 75](#). The Grantee must not award or execute any subcontract unless the subcontractor first provides the Grantee with acceptable documentation regarding its ability to comply with the requirements of these regulations.

The Grantee must send to each representative of workers or labor organization, with whom it has a collective bargaining agreement or other grant agreement or understanding, if any, a notice advising the said labor organization or workers’ representative of its commitments under this Section 3 clause and must post copies of the notice in conspicuous places available to employees and applicants for employment or training.

Copies of the Section 3 regulations (now codified at [24 C.F.R. Part 75](#)) are available from the Department of Housing and Community Affairs, 1401 Rockville Pike, Fourth Floor, Rockville, Maryland 20852, telephone number 240-777-3600. Section 3 and other federal regulations are also available on the World Wide Web at <http://www.hud.gov>.

30. COMPLIANCE WITH CLEAN AIR AND WATER ACT (For contracts in Excess of \$100,000)

The Grantee must comply with all applicable standards, orders, and regulations issued pursuant to the Clean Air Act of 1970 (42 U.S.C. 7401 et seq.) and the Federal Water Pollution Control Act (33 U.S.C. 1251 et seq.), as amended, and subsequently related promulgated regulations and interpretations.

31. CONTRACTOR DEBARMENT/INELIGIBILITY- FEDERALLY ASSISTED CONSTRUCTION CONTRACTS/GRANT AGREEMENTS

No contract may be awarded to a party listed as debarred or ineligible on the governmentwide exclusions in the System for Award Management (SAM). All entities with whom a contract or subcontract will be executed must be checked against those listings and dated verification of its status printed out prior to contract execution and included in the project file.

32. FEDERAL LABOR STANDARDS AND OTHER FEDERAL REQUIREMENTS – FEDERALLY ASSISTED CONSTRUCTION CONTRACTS/ GRANT AGREEMENTS

For all contracts **involving construction activities exceeding \$2,000** ([29 C.F.R. §5.5](#)) the Federal Labor Standards Provisions, including but not limited to all Davis-Bacon related requirements, are applicable and are made a part of this contract. The Grantee, all contractors and all subcontractors must include these provisions in all agreements relative to covered work. The Grantee is responsible for compliance with the terms thereof on the part of all contractors and subcontractors funded under this Agreement. The Grantee must guarantee and provide payment of all restitution and/or penalties incurred as a result of failure to comply with these requirements, on behalf of all tiers of contractors performing work on a covered project:

- a. The “FEDERAL LABOR STANDARDS COMPLIANCE PACKET” and
- b. A current project-specific Davis-Bacon Wage Rate Determination (issued for this project only) which must be posted conspicuously at the job site during all phases of construction.

Current Davis-Bacon wage determinations are published on the SAM.gov Wage Determination website. The Grantee must ensure that the applicable, project-specific wage determination is posted at the job site and incorporated into all construction contracts.

IF YOUR PROJECT INVOLVES CONSTRUCTION, THIS ATTACHMENT SHOULD BE IMMEDIATELY FOLLOWED BY A COPY OF THE “FEDERAL LABOR STANDARDS PROVISIONS ATTACHMENTS PACKET” AS WELL AS PROJECT-SPECIFIC WAGE RATE DETERMINATION. IF THESE DOCUMENTS ARE MISSING, CONTACT THE CONTRACT MONITOR BEFORE EXECUTING THIS OR ANY OTHER CONSTRUCTION- RELATED INSTRUMENT.

ATTACHMENT VII-A
DOCUMENTATION FOR INVOICING

Federal and County regulations require appropriate documentation for all requests for CDBG funds. The Grantee must submit original documentation of all expenses claimed when submitting requests for payment. The County will not process any invoices unless the proper back-up documentation is included. DHCA reserves the right to request additional or supplemental documentation for any item for which reimbursement is requested under this agreement and to withhold payment if acceptable documentation is not provided.

GENERAL REQUIREMENTS: Invoices must:

- Be on the Grantee's letterhead
- Include the Grant Agreement
- Include the Purchase Order number
- Include a Grantee Generated Invoice Number that is UNIQUE and SEQUENTIAL (such as 1, 2, 3, etc.)
- Indicate the dates of the period covered by the billing
- Describe and list the attached documentation (copies of original invoices, timesheets, etc.) and
- Be signed by the person who signed the contract (or a designee approved by DHCA in writing)
- Time sheets must reflect number of Grant hours worked each day and be signed by the employee and a designated Signatory of the Grantee. Employees partially funded with other public funds must indicate those funded hours on time sheets.

WHEN PREPARING PAYMENTS PLEASE ENSURE THAT:

- Math is correct
- The invoiced expense was incurred within the contract period (does not precede the date of the Notice to Proceed and is not after the contract expiration date)
- All reporting requirements in the Grant Agreement are current, and deliverables are being provided consistent with the contract terms
- Adequate unexpended funds remain under the contract to cover the invoice
- The categories of the billed amounts are consistent with the budget categories in the contract
- The amounts are consistent with the budget categories allocated for each line item
- The quantities reflected on the cover sheet are consistent with those in the back up information
- All necessary backup documentation is attached (timesheets, copies of original vendor invoices, receipts, etc.)
- Signed time sheets for employees without duplication of hours from other publicly funded sources
- Copies of original invoices for reimbursement

ATTACHMENT VII-B
INSTRUCTIONS FOR SUBMITTING THE DHCA FEDERALLY FUNDED LOAN SET
UP FORM

The Department of Housing and Urban Development (HUD) requires all grantees to submit demographic information on clients served. Grantees must provide DHCA with the Federally Funded Loan Set up Form and final narrative reports to the City of Rockville for submission to the county.

Failure to submit complete, accurate, and timely reports may delay payments of Grantees’ invoices and result in early termination of the Grant Agreement, and the recapture by DHCA of any remaining unexpended Grant Agreement monies.

FY26 CDBG INCOME LIMITS (6/2025)

Section 8 Definition: CDBG Definition:	Extremely Low Very Low	Very Low Low	Low Moderate
Family Size	(30% of median)	(50% of median)	(80% of median*)
1	\$34,450	\$57,400	\$74,800
2	\$39,350	\$65,600	\$85,450
3	\$44,250	\$73,800	\$96,150
4	\$49,150	\$81,950	\$106,800
5	\$53,100	\$88,550	\$115,350
6	\$57,050	\$95,100	\$123,900
7	\$60,950	\$101,650	\$132,450
8	\$64,900	\$108,200	\$141,000

* The CDBG moderate-income limit for Montgomery County as periodically updated by HUD, with the understanding that the name of that category (CDBG 80%) is arithmetically less than 80% of Local Area Median Income, because it is capped by a HUD formula indexing it to the Section 8 income limits. This limit is approximately 64% of AMI.

The next page is a copy of the form on which you will enter your data so you can ensure that your information is gathered and documented appropriately for submitting in this format.

DO NOT USE THIS SAMPLE FORM – DOWNLOAD THE FILLABLE PDF FORM

DHCA Federally Funded Loan Set Up Request

(To be completed with input from developer's representative before loan closing)

Property Address: _____ _____ _____ <input type="text"/> Number of Bedrooms \$ <input type="text"/> Property Value Before Rehab \$ <input type="text"/> Property Value After Rehab	Head of Household <input type="checkbox"/> Elderly <input type="checkbox"/> Single (non-elderly) <input type="checkbox"/> Single Parent <input type="checkbox"/> Two Parents <input type="checkbox"/> Female Headed <input type="checkbox"/> Other <input type="checkbox"/> Vacant	Persons Benefiting (Enter No.) <input type="text"/> White (non-Hispanic) <input type="text"/> Black (non-Hispanic) <input type="text"/> Hispanic <input type="text"/> Asian <input type="text"/> American Indian/Alaskan Native <input type="text"/> Native Hawaiian/Pacific Islander <input type="text"/> American Indian/Alaskan & White
Income <input type="checkbox"/> Moderate <input type="checkbox"/> Low <input type="checkbox"/> Extremely Low <input type="text"/> Percent of Median (enter %)	Other Funds Used (amount) <input type="text"/> Private <input type="text"/> State/Local <input type="text"/> Other	<input type="text"/> Asian & White <input type="text"/> Black or Amer Indian & White <input type="text"/> Amer Indian/Alaskan & Black <input type="text"/> Other Multiracial Total
Lead Safety Improvement <input type="checkbox"/> Yes <input type="checkbox"/> No Code Violations <input type="checkbox"/> Yes <input type="checkbox"/> No	INFORMATION NEEDED FOR FEDERALLY FUNDED LOAN SET-UP	Used Energy Star Appliances - List Type _____ _____ _____ _____

1. Multifamily Staff: form completed. Signature _____ Date _____
2. Grants Management Staff: reviewed for completion. Signature _____ Date _____
3. Finance Staff: information uploaded. Signature _____ Date _____

ATTACHMENT VII-C
SUBCONTRACT ACTIVITY REPORT

Submit to DHCA by 15th of the month after the quarter ends

Name of Subcontract Number 1: _____

Date of Subcontract:		Dollar Amount:	
Name of Subcontractor:			
Mailing Address			
Federal ID Number			
Subcontract for: (check one)	<input type="checkbox"/> Construction <input type="checkbox"/> Education/Training <input type="checkbox"/> Other:		
Ethnic Code: (check one) 51% of Company Ownership is:			
<input type="checkbox"/> White <input type="checkbox"/> Black <input type="checkbox"/> American Indian/Alaskan <input type="checkbox"/> Hispanic <input type="checkbox"/> Asian/Pacific Islander			

Name of Subcontract Number 2: _____

Date of Subcontract:		Dollar Amount:	
Name of Subcontractor:			
Mailing Address			
Federal ID Number			
Subcontract for: (check one)	<input type="checkbox"/> Construction <input type="checkbox"/> Education/Training <input type="checkbox"/> Other:		
Ethnic Code: (check one) 51% of Company Ownership is:			
<input type="checkbox"/> White <input type="checkbox"/> Black <input type="checkbox"/> American Indian/Alaskan <input type="checkbox"/> Hispanic <input type="checkbox"/> Asian/Pacific Islander			

CDBG Project Name:			
Report filed by:			
Title:		Date:	

FOR DHCA USE ONLY:

Project Number:		Reviewed by:	
Date:		Included in HUD Report	

ATTACHMENT VII-D
CAPITAL EQUIPMENT INVENTORY

Submit to DHCA by July 15th of each year

Reporting period: July 1, _____ to June 30, _____

Equipment Purchased	Date Purchased	Cost*
1.		
2.		
3.		
4.		
5.		
6.		
7.		
8.		
9.		
10.		

* Report all Capital equipment valued over \$5,000.00 CDBG

Project Name:			
Report filed by:			
Title:		Date:	

FOR DHCA USE ONLY:

Project Number:		Reviewed by:	
Date:		Included in HUD Report	

ATTACHMENT VII-E

FY 26 (FFY 25) COMMUNITY DEVELOPMENT BLOCK GRANT (CDBG) SUBAWARD INFORMATION

Subrecipient name <i>Must match the name associated with UEI</i>	See attached grant agreement
Subrecipient unique entity identifier (UEI)	See attached grant agreement
Federal Award Identification Number (FAIN)	B25-UC-24-001
Federal Award Date	See Grant Agreement for B25-UC-24-001 on Montgomery County’s Website at: https://montgomerycountymd.gov/dhca/grants/conplan.html
Subaward period of performance START DATE	The Contract will become effective upon signature of the Director, Office of Procurement (“Director”).
Subaward period of performance END DATE	This Contract will expire when the work has been completed or 12 months from the effective date of this Contract
Amount of Federal Funds Obligated in the subaward	See attached grant agreement
Total Amount of Federal Funds Obligated to the subrecipient by the pass-through entity (MCG), including the current financial obligation	See attached grant agreement
Total Amount of the Federal Award committed to the subrecipient by the pass-through entity (MCG)	See attached grant agreement
Federal award project description, as required by the Federal Funding Accountability and Transparency Act (FFATA)	See Annual Action Plan posted on Montgomery County’s Website at: https://montgomerycountymd.gov/dhca/grants/conplan.html
Name of the Federal agency	14.001-14.999 HOUSING AND URBAN DEVELOPMENT
Name of Pass-Through Entity <i>(must match name associated with Pass through entity’s UEI)</i>	See attached grant agreement
Awarding Official Contract (Pass Through Entity)	Department of Housing and Urban Development, Montgomery County, Maryland Contact info: Katherine Canales 240-777-3631
Assistance Listings title and number; <i>The pass-through entity must</i>	Same as on attached Grant Agreement unless otherwise noted here

<i>identify the dollar amount made available under each Federal award and the Assistance Listings Number at the time of disbursement</i>	
Identification of whether the Federal award is for research and development	NO
Indirect cost rate for the Federal award (including if the de minimis rate is used in accordance with 2 C.F.R. §200.414)	NA

ATTACHMENT VIII
(SUBGRANTEE GRANT AGREEMENT)

PROJECT NAME: City of Rockville FY26 CDBG
GRANT AGREEMENT NUMBER: 1184965

FEDERAL LABOR STANDARDS PROVISIONS ATTACHMENTS (DAVIS BACON)

This packet of Attachments must be included with, applies to, and must be complied with for every federally funded construction related contract and subcontract (with the exceptions noted below). There are a number of requirements for all federally funded construction-based contracts which are listed or synopsised in this document. The full text of these documents is available via the internet from HUD at www.hud.gov. or through your Contract Monitor.

This packet is not intended to replace any of these applicable statutes, regulations or documents. Neither is it intended to provide a “legal interpretation” of them, some of which are fairly complex. It is, instead, designed to assist in identifying, and placing parties on notice of, these requirements in a “plain language” context.

If you are new to, and unfamiliar with, any of these requirements, there are additional step-by-step guides available. These include several Power Point presentations, an illustrated “Davis-Bacon How-To Guide” and other resources. Please contact your Contract Monitor or call 240-777-3685 to get or view these guides. You can also ask any specific questions or get individual training on these requirements by calling the same phone number.

A very brief overview of some of these requirements follows:

“DAVIS BACON WAGE REQUIREMENTS”

This is a term that broadly covers a number of federal requirements that apply to all federally funded construction related projects of 8 or more units or any PHA project whose total cost exceeds \$2,000. More detail is included below (please see HUD-4010), but basically you must:

- Submit a weekly certified payroll form (see **FORM A:** Form WH-347 and Form WH-347 Instructions);
- Pay workers on a weekly (not bi-weekly) schedule;
- Pay workers a minimum hourly rate based on their activities that may or not include benefits based on a job-specific Wage Determination included below;
- Pay workers time-and-a-half for all hours over 40 worked in a week;
- Post the Wage Determination and a poster describing workers rights on all job sites;
- Ensure compliance with, and include these requirements in agreements with all, subcontractors;
- Provide weekly signed certified payrolls for all workers on covered jobs; and
- Provide additional reporting information (see **FORM B:** Form 4710 and Form 4710 instructions).

MINORITY BUSINESS ENTERPRISE (MBE) REQUIREMENTS

This data is reported on the DHCA Contract and Subcontract form previously HUD-2516 (attached). You must gather and report data for the general contractor and all subcontractors on the project. This data includes:

- The dollar amount and date of the contract or subcontract;
- The type of trade for the contract or subcontract (based on one of ten specific category codes),
- The racial/ethnic data for the contractor or subcontractor (based on one of six specific category codes);
- Whether the contractor or subcontractor is a woman-owned business;
- Whether the listed contractor is a prime contractor or a subcontractor;
- The subcontractor ID number for each prime and subcontractor (usually the federal tax ID number of the business);
- The address of each contractor and subcontractor; and
- Whether the contractor or subcontractor is a Section 3 Business Entity (see immediately following section).

SECTION 3 REQUIREMENTS

“Section 3” (of the Housing and Urban Development Act of 1968) mandates that federally funded construction and related activities take action to provide employment, training and business opportunities for low-income project area residents and businesses. This data is also reported on the DHCA Contract and Subcontract form (attached). There are specific dollar thresholds that trigger Section 3 but you should assume your project is covered if it is federally funded unless your Contract Monitor advises you otherwise. You are required to report specific information for all covered contracts, and to take specific additional affirmative actions if the dollar value of your contract exceeds \$100,000. If the federal funding is a portion of the overall project cost, the ENTIRE project, regardless of funding sources, is covered.

Generally, the government entity awarding the covered funds, and you as the contractor if the contract value exceeds \$100,000, must:

COMPLIANCE THRESHOLDS:

- Award a minimum of 10% of the total dollar amount of construction contracts or subcontracts to Section 3 Businesses (defined below);
- Award a minimum of 3% of the total dollar amount of non-construction contracts or subcontracts to Section 3 Businesses (defined below); and
- Hire a minimum of 30% of NEW hires on the contract or subcontract that are Section 3 residents (defined below):

OTHER REQUIREMENTS:

- Notify Section 3 Businesses and Residents about business and training opportunities;
- Notify Section 3 Businesses about available contracting opportunities;
- Notify all contractors and subcontractors on covered project of their Section 3 responsibilities;
- Include the Section 3 clause and requirements in all contracts and subcontracts;
- Facilitate training of Section 3 Residents and awarding of contracts to Section 3 Businesses;
- Cooperate with local government and HUD to achieve compliance by contractors and subcontractors;

- Ensure all contractors and subcontractors you use are not in violation of Section 3 requirements [Make sure the contractors you are considering using are eligible – check on <https://www.epls.gov/>];
- Document compliance activities; and
- Provide data and documentation for reports.

DEFINITIONS:

- **Section 3 Business** (certified to have)
 - At least 51% owned by Sec 3 resident, or
 - At least 30% full time employees Sec 3 residents (or were within 3 years of date of first employment), or
 - Evidence of a commitment to subcontract at least 25% of the dollar award to Sec 3 Business Concerns.
- **Section 3 Resident** (certified to be)
 - Sec 3 Resident – a public housing resident or low or very-low income person within the covered assistance area
- **New Hire**
 - A full time employee for a new permanent, temporary or seasonal position created during the expenditure of Sec 3 covered assistance.

BUILD AMERICA, BUY AMERICA ACT (BABA)

Effective July 1, 2023, all iron, steel, manufactured products, and construction materials used in covered infrastructure projects must be produced in the United States. BABA may apply to some CDBG infrastructure and housing projects.

BABA applies to:

- Projects using FY23 and future CDBG funds
- Projects containing iron or steel
- Projects with total cost of \$250,000 or greater
- Applies to subrecipients as well

BABA will not apply to:

- Projects that do not contain iron or steel
- Projects with total cost less than \$250,000
- Projects that qualify for a HUD waiver
- https://www.hud.gov/program_offices/general_counsel/BABA for more information on requirements and available waivers

Many of the applicable regulations are referenced or described in a particular section of the Code of Federal Regulations (CFR) at 24 CFR 570: Community Development Block Grants. The following list is directly from the Table of Contents of that regulation. Items that appear in bold type are directly relevant to ALL federally funded construction contracts, unless otherwise noted.

§ Part 35 Lead-based paint. **[Do not disturb surfaces without following appropriate specific safety protocols. Test where required.]**

see [24 CFR 570 Subpart K Table of Contents] Subpart K — Other Program Requirements

- § 570.600 General
- § 570.601 Public Law 88-352 [Title VI of the Civil Rights Act of 1964]; Public Law 90-284 [the Fair Housing Act]; Executive Order 11063 [Equal Opportunity in Housing] **[there is an affirmative mandate to further the Fair Housing Act as amended]**
- § 570.602 Section 109 of the Act **["requiring that no person in the United States shall on the ground of race, color, religion, national origin, sex, age, or handicap be excluded from participation in, be denied the benefits of, or be subjected to discrimination under, any program or activity funded in whole or in part with community development funds made available pursuant to the Act."]**
- § 570.603 Labor standards. **[all workers on construction projects must be paid at least the hourly Wage Rate specified for the specific project, must be paid at least weekly, and must be paid overtime for hours worked above 40 per week -**
- § 570.604 Environmental standards.
- § 570.605 National Flood Insurance Program.
- § 570.606 Displacement, relocation, acquisition, and replacement of housing.
- § 570.607 Employment and contracting opportunities. **[contractors and subcontractors on federally funded construction contracts must take AFFIRMATIVE ACTION and avoid discrimination in "employment, upgrading, demotion or transfer, recruitment or recruitment advertising, layoff or termination, rate of pay, or other forms of compensation and selection for training and apprenticeship." You MUST ensure minority participation in the bidding process and actual contract AWARD phases; mere gestures are NOT ADEQUATE]**

[Section 3] For projects whose total cost exceeds \$100,000 - You are required to make opportunities available for jobs and small local businesses owned by low-moderate-income area residents to participate in the project. This can include such things as hiring and/or providing training to local residents or contractors to work on the project and purchasing materials from local merchants. If your project is located in an area in which this is infeasible, you can also fulfill this requirement by providing these opportunities to such individuals and businesses located elsewhere within Montgomery County. Please contact your contract manager for additional details. Included with this packet is a summary sheet on 24 CFR 135, Section 3 Clause which describes this general requirement.

§ 570.608 **LEAD BASE PAINT (For Construction or Rehabilitation)** The use of all lead-base paint is prohibited, and the disturbance of certain existing surfaces which may potentially contain lead paint is subject to HUD Lead-Base Paint Regulations described at 24 C.F.R. §570.608. DHCA and HUD consider reduction of exposure to lead paint hazards a priority. Appropriate certification of all contractors is required. Grantees that disturb, or cause to be disturbed, surfaces potentially containing lead paint products must follow the specific protocols mandated by the state of Maryland and by HUD. Testing, notification and/or abatement may be required. Additional information may be obtained by calling 1-800-424-LEAD.

§ 570.609 Use of debarred, suspended or ineligible contractors or subrecipients.

§ 570.610 Uniform administrative requirements and cost principles.
§ 570.611 Conflict of interest.
§ 570.612 Executive Order 12372. [Intergovernmental Review of Federal Programs]
§ 570.613 Eligibility restrictions for certain resident aliens.
§ 570.614 Architectural Barriers Act and the Americans with Disabilities Act.

Attached please also find:

“FEDERAL LABOR STANDARDS PROVISIONS” – [HUD-4010] - document prepared by HUD: overview of the contracting and employment requirements.

“SECTION 3 CLAUSE” - from [24 CFR 135] - Employment Opportunities for Businesses and Lower Income Persons In Connection With Assisted Projects.

The **SPECIFIC WAGE RATE** That Applies to ***THIS*** Project. **FORM A: WH-347**

FORM B: HUD 4710

FORM C: DHCA Contract and Subcontract Activity Form (formerly HUD 2516)

FORM D: HUD-60002

A. APPLICABILITY

The Project or Program to which the construction work covered by this Contract pertains is being assisted by the United States of America, and the following Federal Labor Standards Provisions are included in this Contract pursuant to the provisions applicable to such Federal assistance.

1. Minimum wages and fringe benefits

- i. All laborers and mechanics employed or working upon the site of the work (or otherwise working in construction or development of the project under a development statute), will be paid unconditionally and not less often than once a week, and without subsequent deduction or rebate on any account (except such payroll deductions as are permitted by regulations issued by the Secretary of Labor under the Copeland Act (29 CFR part 3)), the full amount of basic hourly wages and bona fide fringe benefits (or cash equivalents thereof) due at time of payment computed at rates not less than those contained in the wage determination of the Secretary of Labor which is attached hereto and made a part hereof, regardless of any contractual relationship which may be alleged to exist between the contractor and such laborers and mechanics. As provided in 29 CFR 5.5(d) and (e), the appropriate wage determinations are effective by operation of law even if they have not been attached to the contract. Contributions made or costs reasonably anticipated for bona fide fringe benefits under the Davis-Bacon Act (40 U.S.C. 3141(2)(B)) on behalf of laborers or mechanics are considered wages paid to such laborers or mechanics, subject to the provisions of paragraph (a)(1)(v) of these contract clauses; also, regular contributions made or costs incurred for more than a weekly period (but not less often than quarterly) under plans, funds, or programs which cover the particular weekly period, are deemed to be constructively made or incurred during such weekly period. Such laborers and mechanics must be paid the appropriate wage rate and fringe benefits on the wage determination for the classification(s) of work actually performed, without regard to skill, except as provided in 29 CFR 5.5(a)(4). Laborers or mechanics performing work in more than one classification may be compensated at the rate specified for each classification for the time actually worked therein: *Provided*, That the employer's payroll records accurately set forth the time spent in each classification in which work is performed. The wage determination (including any additional classifications and wage rates conformed under 29 CFR 5.5(a)(1)(iii)) and the Davis-Bacon poster (WH-1321) must be posted at all times by the contractor and its subcontractors at the site of the work in a prominent and accessible place where it can be easily seen by the workers.

ii. Frequently recurring classifications

- A.** In addition to wage and fringe benefit rates that have been determined to be prevailing under the procedures set forth in 29 CFR part 1, a wage determination may contain, pursuant to § 1.3(f), wage and fringe benefit rates for classifications of laborers and mechanics for which conformance requests are regularly submitted pursuant to 29 CFR 5.5(a)(1)(iii), provided that:
1. The work performed by the classification is not performed by a classification in the wage determination for which a prevailing wage rate has been determined;
 2. The classification is used in the area by the construction industry; and
 3. The wage rate for the classification bears a reasonable relationship to the prevailing wage rates contained in the wage determination.
- B.** The Administrator will establish wage rates for such classifications in accordance with 29 CFR 5.5(a)(1)(iii)(A)(3). Work performed in such a classification must be paid at no less than the wage and fringe benefit rate listed on the wage determination for such classification.

iii. Conformance

- A.** The contracting officer must require that any class of laborers or mechanics, including helpers, which is not listed in the wage determination and which is to be employed under the contract be

classified in conformance with the wage determination. Conformance of an additional classification and wage rate and fringe benefits is appropriate only when the following criteria have been met:

1. The work to be performed by the classification requested is not performed by a classification in the wage determination; and
 2. The classification is used in the area by the construction industry; and
 3. The proposed wage rate, including any bona fide fringe benefits, bears a reasonable relationship to the wage rates contained in the wage determination.
- B. The conformance process may not be used to split, subdivide, or otherwise avoid application of classifications listed in the wage determination.
- C. If the contractor and the laborers and mechanics to be employed in the classification (if known), or their representatives, and the contracting officer agree on the classification and wage rate (including the amount designated for fringe benefits where appropriate), a report of the action taken will be sent by the contracting officer by email to DBAconformance@dol.gov. The Administrator, or an authorized representative, will approve, modify, or disapprove every additional classification action within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
- D. In the event the contractor, the laborers or mechanics to be employed in the classification or their representatives, and the contracting officer do not agree on the proposed classification and wage rate (including the amount designated for fringe benefits, where appropriate), the contracting officer will, by email to DBAconformance@dol.gov, refer the questions, including the views of all interested parties and the recommendation of the contracting officer, to the Administrator for determination. The Administrator, or an authorized representative, will issue a determination within 30 days of receipt and so advise the contracting officer or will notify the contracting officer within the 30-day period that additional time is necessary.
- E. The contracting officer must promptly notify the contractor of the action taken by the Wage and Hour Division under 29 CFR 5.5 (a)(1)(iii)(C) and (D). The contractor must furnish a written copy of such determination to each affected worker or it must be posted as a part of the wage determination. The wage rate (including fringe benefits where appropriate) determined pursuant to 29 CFR 5.5 (a)(1)(iii)(C) or (D) must be paid to all workers performing work in the classification under this contract from the first day on which work is performed in the classification.

iv. Fringe benefits not expressed as an hourly rate

Whenever the minimum wage rate prescribed in the contract for a class of laborers or mechanics includes a fringe benefit which is not expressed as an hourly rate, the contractor may either pay the benefit as stated in the wage determination or may pay another bona fide fringe benefit or an hourly cash equivalent thereof.

v. Unfunded plans

If the contractor does not make payments to a trustee or other third person, the contractor may consider as part of the wages of any laborer or mechanic the amount of any costs reasonably anticipated in providing bona fide fringe benefits under a plan or program, *Provided*, That the Secretary of Labor has found, upon the written request of the contractor, in accordance with the criteria set forth in 29 CFR 5.28, that the applicable standards of the Davis-Bacon Act have been met. The Secretary of Labor may require the contractor to set aside in a separate account assets for the meeting of obligations under the plan or program.

- vi. Interest** In the event of a failure to pay all or part of the wages required by the contract, the contractor will be required to pay interest on any underpayment of wages.

2. Withholding

i. Withholding requirements

The U. S. Department of Housing and Urban Development may, upon its own action, or must, upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor so much of the accrued payments or advances as may be considered necessary to satisfy the liabilities of the prime contractor or any subcontractor for the full amount of wages and monetary relief, including interest, required by the clauses set forth in 29 CFR 5.5(a) for violations of this contract, or to satisfy any such liabilities required by any other Federal contract, or federally assisted contract subject to Davis-Bacon labor standards, that is held by the same prime contractor (as defined in 29 CFR 5.2). The necessary funds may be withheld from the contractor under this contract, any other Federal contract with the same prime contractor, or any other federally assisted contract that is subject to Davis-Bacon labor standards requirements and is held by the same prime contractor, regardless of whether the other contract was awarded or assisted by the same agency, and such funds may be used to satisfy the contractor liability for which the funds were withheld. In the event of a contractor's failure to pay any laborer or mechanic, including any apprentice or helper working on the site of the work (or otherwise working in construction or development of the project under a development statute) all or part of the wages required by the contract, or upon the contractor's failure to submit the required records as discussed in 29 CFR 5.5(a)(3)(iv), HUD may on its own initiative and after written notice to the contractor, sponsor, applicant, owner, or other entity, as the case may be, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds until such violations have ceased.

ii. Priority to withheld funds

The Department has priority to funds withheld or to be withheld in accordance with 29 CFR 5.5(a)(2)(i) or (b)(3)(i), or both, over claims to those funds by:

- A. A contractor's surety(ies), including without limitation performance bond sureties and payment bond sureties;
- B. A contracting agency for its procurement costs;
- C. A trustee(s) (either a court-appointed trustee or a U.S. trustee, or both) in bankruptcy of a contractor, or a contractor's bankruptcy estate;
- D. A contractor's assignee(s);
- E. A contractor's successor(s); or
- F. A claim asserted under the Prompt Payment Act, 31 U.S.C. 3901-3907.

3. Records and certified payrolls

i. Basic record requirements

- A. **Length of record retention.** All regular payrolls and other basic records must be maintained by the contractor and any subcontractor during the course of the work and preserved for all laborers and mechanics working at the site of the work (or otherwise working in construction or development of the project under a development statute) for a period of at least 3 years after all the work on the prime contract is completed.
- B. **Information required** Such records must contain the name; Social Security number; last known address, telephone number, and email address of each such worker; each worker's correct classification(s) of work actually performed; hourly rates of wages paid (including rates of contributions or costs anticipated for bona fide fringe benefits or cash equivalents thereof of the types described in 40 U.S.C. 3141(2)(B) of the Davis-Bacon Act); daily and weekly number of hours actually worked in total and on each covered contract; deductions made; and actual wages paid.
- C. **Additional records relating to fringe benefits.** Whenever the Secretary of Labor has found under 29 CFR 5.5(a)(1)(v) that the wages of any laborer or mechanic include the amount of any

costs reasonably anticipated in providing benefits under a plan or program described in 40 U.S.C. 3141(2)(B) of the Davis-Bacon Act, the contractor must maintain records which show that the commitment to provide such benefits is enforceable, that the plan or program is financially responsible, and that the plan or program has been communicated in writing to the laborers or mechanics affected, and records which show the costs anticipated or the actual cost incurred in providing such benefits.

D. Additional records relating to apprenticeship Contractors with apprentices working under approved programs must maintain written evidence of the registration of apprenticeship programs, the registration of the apprentices, and the ratios and wage rates prescribed in the applicable programs.

ii. Certified payroll requirements

A. Frequency and method of submission The contractor or subcontractor must submit weekly, for each week in which any DBA- or Related Acts-covered work is performed, certified payrolls to HUD if the agency is a party to the contract, but if the agency is not such a party, the contractor will submit the certified payrolls to the applicant, sponsor, owner, or other entity, as the case may be, that maintains such records, for transmission to HUD. The prime contractor is responsible for the submission of all certified payrolls by all subcontractors. A contracting agency or prime contractor may permit or require contractors to submit certified payrolls through an electronic system, as long as the electronic system requires a legally valid electronic signature; the system allows the contractor, the contracting agency, and the Department of Labor to access the certified payrolls upon request for at least 3 years after the work on the prime contract has been completed; and the contracting agency or prime contractor permits other methods of submission in situations where the contractor is unable or limited in its ability to use or access the electronic system

B. Information required The certified payrolls submitted must set out accurately and completely all of the information required to be maintained under 29 CFR 5.5(a)(3)(i)(B), except that full Social Security numbers and last known addresses, telephone numbers, and email addresses must not be included on weekly transmittals. Instead, the certified payrolls need only include an individually identifying number for each worker (*e.g.*, the last four digits of the worker's Social Security number). The required weekly certified payroll information may be submitted using Optional Form WH-347 or in any other format desired. Optional Form WH-347 is available for this purpose from the Wage and Hour Division Web site at <https://www.dol.gov/sites/dolgov/files/WHD/legacy/files/wh347.pdf> or its successor website. It is not a violation of this section for a prime contractor to require a subcontractor to provide full Social Security numbers and last known addresses, telephone numbers, and email addresses to the prime contractor for its own records, without weekly submission by the subcontractor to the sponsoring government agency (or the applicant, sponsor, owner, or other entity, as the case may be, that maintains such records).

C. Statement of Compliance Each certified payroll submitted must be accompanied by a "Statement of Compliance," signed by the contractor or subcontractor, or the contractor's or subcontractor's agent who pays or supervises the payment of the persons working on the contract, and must certify the following:

1. That the certified payroll for the payroll period contains the information required to be provided under 29 CFR 5.5(a)(3)(ii), the appropriate information and basic records are being maintained under 29 CFR 5.5 (a)(3)(i), and such information and records are correct and complete;
2. That each laborer or mechanic (including each helper and apprentice) working on the contract during the payroll period has been paid the full weekly wages earned, without rebate, either directly or indirectly, and that no deductions have been made either directly or indirectly

from the full wages earned, other than permissible deductions as set forth in 29 CFR part 3; and

3. That each laborer or mechanic has been paid not less than the applicable wage rates and fringe benefits or cash equivalents for the classification(s) of work actually performed, as specified in the applicable wage determination incorporated into the contract.
 - D. **Use of Optional Form WH-347** The weekly submission of a properly executed certification set forth on the reverse side of Optional Form WH-347 will satisfy the requirement for submission of the “Statement of Compliance” required by 29 CFR 5.5(a)(3)(ii)(C).
 - E. **Signature** The signature by the contractor, subcontractor, or the contractor’s or subcontractor’s agent must be an original handwritten signature or a legally valid electronic signature.
 - F. **Falsification** The falsification of any of the above certifications may subject the contractor or subcontractor to civil or criminal prosecution under 18 U.S.C. 1001 and 31 U.S.C. 3729.
 - G. **Length of certified payroll retention** The contractor or subcontractor must preserve all certified payrolls during the course of the work and for a period of 3 years after all the work on the prime contract is completed.
- iii. **Contracts, subcontracts, and related documents** The contractor or subcontractor must maintain this contract or subcontract and related documents including, without limitation, bids, proposals, amendments, modifications, and extensions. The contractor or subcontractor must preserve these contracts, subcontracts, and related documents during the course of the work and for a period of 3 years after all the work on the prime contract is completed.
- iv **Required disclosures and access**
 - A. **Required record disclosures and access to workers** The contractor or subcontractor must make the records required under 29 CFR 5.5(a)(3)(i)–(iii), and any other documents that HUD or the Department of Labor deems necessary to determine compliance with the labor standards provisions of any of the applicable statutes referenced by 29 CFR 5.1, available for inspection, copying, or transcription by authorized representatives of HUD or the Department of Labor, and must permit such representatives to interview workers during working hours on the job.
 - B. **Sanctions for non-compliance with records and worker access requirements** If the contractor or subcontractor fails to submit the required records or to make them available, or refuses to permit worker interviews during working hours on the job, the Federal agency may, after written notice to the contractor, sponsor, applicant, owner, or other entity, as the case may be, that maintains such records or that employs such workers, take such action as may be necessary to cause the suspension of any further payment, advance, or guarantee of funds. Furthermore, failure to submit the required records upon request or to make such records available, or to permit worker interviews during working hours on the job, may be grounds for debarment action pursuant to 29 CFR 5.12. In addition, any contractor or other person that fails to submit the required records or make those records available to WHD within the time WHD requests that the records be produced will be precluded from introducing as evidence in an administrative proceeding under 29 CFR part 6 any of the required records that were not provided or made available to WHD. WHD will take into consideration a reasonable request from the contractor or person for an extension of the time for submission of records. WHD will determine the reasonableness of the request and may consider, among other things, the location of the records and the volume of production.
 - C. **Required information disclosures** Contractors and subcontractors must maintain the full Social Security number and last known address, telephone number, and email address of each covered worker, and must provide them upon request to HUD if the agency is a party to

the contract, or to the Wage and Hour Division of the Department of Labor. If the Federal agency is not such a party to the contract, the contractor, subcontractor, or both, must, upon request, provide the full Social Security number and last known address, telephone number, and email address of each covered worker to the applicant, sponsor, owner, or other entity, as the case may be, that maintains such records, for transmission to HUD, the contractor, or the Wage and Hour Division of the Department of Labor for purposes of an investigation or other compliance action.

4. **Apprentices and equal employment opportunity**

i. **Apprentices**

- A. **Rate of pay** Apprentices will be permitted to work at less than the predetermined rate for the work they perform when they are employed pursuant to and individually registered in a bona fide apprenticeship program registered with the U.S. Department of Labor, Employment and Training Administration, Office of Apprenticeship (OA), or with a State Apprenticeship Agency recognized by the OA. A person who is not individually registered in the program, but who has been certified by the OA or a State Apprenticeship Agency (where appropriate) to be eligible for probationary employment as an apprentice, will be permitted to work at less than the predetermined rate for the work they perform in the first 90 days of probationary employment as an apprentice in such a program. In the event the OA or a State Apprenticeship Agency recognized by the OA withdraws approval of an apprenticeship program, the contractor will no longer be permitted to use apprentices at less than the applicable predetermined rate for the work performed until an acceptable program is approved.
- B. **Fringe benefits** Apprentices must be paid fringe benefits in accordance with the provisions of the apprenticeship program. If the apprenticeship program does not specify fringe benefits, apprentices must be paid the full amount of fringe benefits listed on the wage determination for the applicable classification. If the Administrator determines that a different practice prevails for the applicable apprentice classification, fringe benefits must be paid in accordance with that determination.
- C. **Apprenticeship ratio** The allowable ratio of apprentices to journeyworkers on the job site in any craft classification must not be greater than the ratio permitted to the contractor as to the entire work force under the registered program or the ratio applicable to the locality of the project pursuant to 29 CFR 5.5(a)(4)(i)(D). Any worker listed on a payroll at an apprentice wage rate, who is not registered or otherwise employed as stated in 29 CFR 5.5(a)(4)(i)(A), must be paid not less than the applicable wage rate on the wage determination for the classification of work actually performed. In addition, any apprentice performing work on the job site in excess of the ratio permitted under this section must be paid not less than the applicable wage rate on the wage determination for the work actually performed.
- D. **Reciprocity of ratios and wage rates** Where a contractor is performing construction on a project in a locality other than the locality in which its program is registered, the ratios and wage rates (expressed in percentages of the journeyworker's hourly rate) applicable within the locality in which the construction is being performed must be observed. If there is no applicable ratio or wage rate for the locality of the project, the ratio and wage rate specified in the contractor's registered program must be observed.

- ii **Equal employment opportunity** The use of apprentices and journeyworkers under this part must be in conformity with the equal employment opportunity requirements of Executive Order 11246, as amended, and 29 CFR part 30.

5 **Compliance with Copeland Act requirements.** The contractor shall comply with the requirements of 29 CFR part 3, which are incorporated by reference in this contract.

6 Subcontracts. The contractor or subcontractor must insert in any subcontracts the clauses contained in 29 CFR 5.5(a)(1) through (11), along with the applicable wage determination(s) and such other clauses or contract modifications as the U.S. Department of Housing and Urban Development may by appropriate instructions require, and a clause requiring the subcontractors to include these clauses and wage determination(s) in any lower tier subcontracts. The prime contractor is responsible for the compliance by any subcontractor or lower tier subcontractor with all the contract clauses in this section. In the event of any violations of these clauses, the prime contractor and any subcontractor(s) responsible will be liable for any unpaid wages and monetary relief, including interest from the date of the underpayment or loss, due to any workers of lower-tier subcontractors, and may be subject to debarment, as appropriate.

7 Contract termination: debarment. A breach of the contract clauses in 29 CFR 5.5 may be grounds for termination of the contract, and for debarment as a contractor and a subcontractor as provided in 29 CFR 5.12.

8 Compliance with Davis-Bacon and Related Act requirements. All rulings and interpretations of the Davis-Bacon and Related Acts contained in 29 CFR parts 1, 3, and 5 are herein incorporated by reference in this contract.

9 Disputes concerning labor standards. Disputes arising out of the labor standards provisions of this contract shall not be subject to the general disputes clause of this contract. Such disputes shall be resolved in accordance with the procedures of the Department of Labor set forth in 29 CFR parts 5, 6, and 7. Disputes within the meaning of this clause include disputes between the contractor (or any of its subcontractors) and the contracting agency, the U.S. Department of Labor, or the employees or their representatives.

10. Certification of eligibility.

i. By entering into this contract, the contractor certifies that neither it nor any person or firm who has an interest in the contractor's firm is a person or firm ineligible to be awarded Government contracts by virtue of 40 U.S.C. 3144(b) or 29 CFR 5.12(a).

ii. No part of this contract shall be subcontracted to any person or firm ineligible for award of a Government contract by virtue of 40 U.S.C. 3144(b) or 29 CFR 5.12(a).

iii. The penalty for making false statements is prescribed in the U.S. Code, Title 18 Crimes and Criminal Procedure, 18 U.S.C. 1001.

11 Anti-retaliation It is unlawful for any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, or to cause any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, any worker or job applicant for:

i. Notifying any contractor of any conduct which the worker reasonably believes constitutes a violation of the DBA, Related Acts, or 29 CFR parts 1, 3, or 5;

ii. Filing any complaint, initiating or causing to be initiated any proceeding, or otherwise asserting or seeking to assert on behalf of themselves or others any right or protection under the DBA, Related Acts, or 29 CFR parts 1, 3, or 5;

iii. Cooperating in any investigation or other compliance action, or testifying in any proceeding under the DBA, Related Acts, or 29 CFR parts 1, 3, or 5; or

iv. Informing any other person about their rights under the DBA, Related Acts, or 29 CFR parts 1, 3, or 5.

B. Contract Work Hours and Safety Standards Act (CWHSSA)

The Agency Head must cause or require the contracting officer to insert the following clauses set forth in 29 CFR 5.5(b)(1), (2), (3), (4), and (5) in full, or (for contracts covered by the Federal Acquisition Regulation) by reference, in any contract in an amount in excess of \$100,000 and subject to the overtime provisions of the Contract Work Hours and Safety Standards Act. These clauses must

be inserted in addition to the clauses required by 29 CFR 5.5(a) or 4.6. As used in this paragraph, the terms “laborers and mechanics” include watchpersons and guards.

- 1. Overtime requirements.** No contractor or subcontractor contracting for any part of the contract work which may require or involve the employment of laborers or mechanics shall require or permit any such laborer or mechanic in any workweek in which he or she is employed on such work to work in excess of forty hours in such workweek unless such laborer or mechanic receives compensation at a rate not less than one and one-half times the basic rate of pay for all hours worked in excess of forty hours in such workweek.
- 2. Violation; liability for unpaid wages; liquidated damages.** In the event of any violation of the clause set forth in 29 CFR 5.5(b)(1) the contractor and any subcontractor responsible therefor shall be liable for the unpaid wages and interest from the date of the underpayment. In addition, such contractor and subcontractor shall be liable to the United States (in the case of work done under contract for the District of Columbia or a territory, to such District or to such territory), for liquidated damages. Such liquidated damages shall be computed with respect to each individual laborer or mechanic, including watchpersons and guards, employed in violation of the clause set forth in 29 CFR 5.5(b)(1), in the sum of \$31 for each calendar day on which such individual was required or permitted to work in excess of the standard workweek of forty hours without payment of the overtime wages required by the clause set forth in 29 CFR 5.5(b)(1).
- 3. Withholding for unpaid wages and liquidated damages**
 - i. Withholding process** The U.S Department of Housing and Urban Development or the recipient of Federal assistance may, upon its own action, or must, upon written request of an authorized representative of the Department of Labor, withhold or cause to be withheld from the contractor so much of the accrued payments or advances as may be considered necessary to satisfy the liabilities of the prime contractor or any subcontractor for any unpaid wages; monetary relief, including interest; and liquidated damages required by the clauses set forth in 29 CFR 5.5(b) on this contract, any other Federal contract with the same prime contractor, or any other federally assisted contract subject to the Contract Work Hours and Safety Standards Act that is held by the same prime contractor (as defined in 29 CFR 5.2). The necessary funds may be withheld from the contractor under this contract, any other Federal contract with the same prime contractor, or any other federally assisted contract that is subject to the Contract Work Hours and Safety Standards Act and is held by the same prime contractor, regardless of whether the other contract was awarded or assisted by the same agency, and such funds may be used to satisfy the contractor liability for which the funds were withheld.
 - ii Priority to withheld funds** The Department has priority to funds withheld or to be withheld in accordance with 29 CFR 5.5(a)(2)(i) or (b)(3)(i), or both, over claims to those funds by:
 - A.** A contractor’s surety(ies), including without limitation performance bond sureties and payment bond sureties;
 - B.** A contracting agency for its procurement costs;
 - C.** A trustee(s) (either a court-appointed trustee or a U.S. trustee, or both) in bankruptcy of a contractor, or a contractor’s bankruptcy estate;
 - D.** A contractor’s assignee(s);
 - E.** A contractor’s successor(s); or
 - F.** A claim asserted under the Prompt Payment Act, 31 U.S.C. 3901-3907.
- 4. Subcontracts.** The contractor or subcontractor must insert in any subcontracts the clauses set forth in 29 CFR 5.5(b)(1) through (5) and a clause requiring the subcontractors to include these clauses in any lower tier subcontracts. The prime contractor is responsible for compliance by any subcontractor or lower tier subcontractor with the clauses set forth in 29 CFR 5.5(b)(1) through (5). In the event of any violations of these clauses, the prime contractor and any subcontractor(s) responsible will be liable for any unpaid wages and monetary relief, including interest from the date of the underpayment or loss,

due to any workers of lower-tier subcontractors, and associated liquidated damages and may be subject to debarment, as appropriate.

- 5 Anti-retaliation** It is unlawful for any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, or to cause any person to discharge, demote, intimidate, threaten, restrain, coerce, blacklist, harass, or in any other manner discriminate against, any worker or job applicant for:
- i. Notifying any contractor of any conduct which the worker reasonably believes constitutes a violation of the Contract Work Hours and Safety Standards Act (CWHSSA) or its implementing regulations in 29 CFR part 5;
 - ii. Filing any complaint, initiating or causing to be initiated any proceeding, or otherwise asserting or seeking to assert on behalf of themselves or others any right or protection under CWHSSA or 29 CFR part 5;
 - iii. Cooperating in any investigation or other compliance action, or testifying in any proceeding under CWHSSA or 29 CFR part 5; or
 - iv. Informing any other person about their rights under CWHSSA or 29 CFR part 5.
- C. CWHSSA required records clause** In addition to the clauses contained in 29 CFR 5.5(b), in any contract subject only to the Contract Work Hours and Safety Standards Act and not to any of the other laws referenced by 29 CFR 5.1, the Agency Head must cause or require the contracting officer to insert a clause requiring that the contractor or subcontractor must maintain regular payrolls and other basic records during the course of the work and must preserve them for a period of 3 years after all the work on the prime contract is completed for all laborers and mechanics, including guards and watchpersons, working on the contract. Such records must contain the name; last known address, telephone number, and email address; and social security number of each such worker; each worker's correct classification(s) of work actually performed; hourly rates of wages paid; daily and weekly number of hours actually worked; deductions made and actual wages paid. Further, the Agency Head must cause or require the contracting officer to insert in any such contract a clause providing that the records to be maintained under this paragraph must be made available by the contractor or subcontractor for inspection, copying, or transcription by authorized representatives of the (write the name of agency) and the Department of Labor, and the contractor or subcontractor will permit such representatives to interview workers during working hours on the job.
- D. Incorporation of contract clauses and wage determinations by reference** Although agencies are required to insert the contract clauses set forth in this section, along with appropriate wage determinations, in full into covered contracts, and contractors and subcontractors are required to insert them in any lower-tier subcontracts, the incorporation by reference of the required contract clauses and appropriate wage determinations will be given the same force and effect as if they were inserted in full text.
- E. Incorporation by operation of law** The contract clauses set forth in this section (or their equivalent under the Federal Acquisition Regulation), along with the correct wage determinations, will be considered to be a part of every prime contract required by the applicable statutes referenced by 29 CFR 5.1 to include such clauses, and will be effective by operation of law, whether or not they are included or incorporated by reference into such contract, unless the Administrator grants a variance, tolerance, or exemption from the application of this paragraph. Where the clauses and applicable wage determinations are effective by operation of law under this paragraph, the prime contractor must be compensated for any resulting increase in wages in accordance with applicable law.

F. HEALTH AND SAFETY

The provisions of this paragraph (F) are applicable where the amount of the prime contract exceeds **\$100,000**.

1. No laborer or mechanic shall be required to work in surroundings or under working conditions which are unsanitary, hazardous, or dangerous to his or her health and safety, as determined under construction safety and health standards promulgated by the Secretary of Labor by regulation.
2. The contractor shall comply with all regulations issued by the Secretary of Labor pursuant to 29 CFR Part 1926 and failure to comply may result in imposition of sanctions pursuant to the Contract Work Hours and Safety Standards Act, (Public Law 91-54, 83 Stat 96), 40 U.S.C. § 3701 et seq.
3. The contractor shall include the provisions of this paragraph in every subcontract, so that such provisions will be binding on each subcontractor. The contractor shall take such action with respect to any subcontractor as the Secretary of Housing and Urban Development or the Secretary of Labor shall direct as a means of enforcing such provisions.

SECTION 3 CLAUSE
(24 CFR Part 135-38)

All Section 3 covered contracts shall include the following clause (referred to as the Section 3 clause):

A. The work to be performed under this contract is subject to the requirements of Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701 u (Section 3). The purpose of section 3 is to ensure that employment and other economic opportunities generated by HUD assistance or HUD-assisted projects covered by section 3, shall to the greatest ex-tent feasible, be directed to low- and very low-income persons, particularly persons who are recipients of HUD assistance for housing.

B. The parties to this contract agree to comply with HUD's regulations in 24 CFR part 135, which implements section 3. As evidenced by their execution of this contract, the parties to this contract certify that they are under no contractual or other impediment that would prevent them from complying with the part 135 regulations.

C. The contractor agrees to send to each labor organization or representative of workers with which the contractor has a collective bargaining agreement or other understanding, if any, a notice advising the labor organization or workers' representative of the contractor's commitments under this section 3 clause, and will post copies of the notice in conspicuous places at the work site where both employees and applicants for training and employment positions can see the notice. The notice shall describe the section 3 preference, shall set forth minimum number and job titles subject to hire, availability of apprenticeship and training positions, the qualifications for each; and the name and location of the person(s) taking applications for each of the positions; and the anticipated date the work shall begin.

D. The contractor agrees to include this section 3 clause in every subcontract subject to compliance with regulations in 24 CFR part 135, and agrees to take appropriated action, as provided in an applicable provision of the subcontract or in this section 3 clause, upon a finding that the subcontractor is in violation of the regulations in 24 CFR part 135. The contractor will not subcontract with any subcontractor where the contractor has notice or knowledge that the subcontractor has been found in violation of the regulations in 24 CFR part 135.

E. The contractor will certify that any vacant employment positions, including training positions, that are filled (1) after the contractor is selected but before the contract is executed, and (2) with persons other than those to whom the regulations of 24 CFR part 135 require employment opportunities to be directed, were not filled to circumvent the contractor's obligations under 24 CFR part 135.

F. Noncompliance with HUD's regulations in 24 CFR part 135 may result in sanctions, termination of the contract for default, and debarment or suspension from future HUD assisted contracts.

G. With respect to work performed in connection with section 3 covered Indian housing assistance, section 7(b) of the Indian Self-Determination and Education Assistance Act (25 U.S.C. 450e) also applies to the work to be performed under this contract Section 7(b) requires that to the greatest extent feasible (i) preference and opportunities for training and employment shall be given to Indians, and (ii) preference in the award of contract and subcontracts shall be given to Indian organizations and Indian-owned Economic Enterprises. Parties to this contract that are subject to the provisions of section 3 and section 7(b) agree to comply with section 3 to the maximum extent feasible, but not in derogation of compliance with section 7(b).

BEFORE EXECUTING CONTRACT, INSERT THE:

GENERAL WAGE DECISION

(for **THIS** project) :

This is the specific listing of minimum wage rates applicable to **THIS** project. (It is not applicable to, and may not be used for, any other project). All pages of this Wage Decision must be prominently displayed in a location readily accessible to all workers on covered projects at all times, and accompanied by the posters previously referenced. **Please carefully review the work classifications listed to ensure there is a category for all persons who will be performing work at the site.** Contact the Contract Monitor administering this grant with any questions or to obtain missing classifications. **If additional classifications are required, it is important to notify the Contract Monitor IMMEDIATELY so that construction is not delayed.**

**THERE SHOULD BE A DATED PROJECT-SPECIFIC WAGE
RATE DETERMINATION FOR THIS PROJECT ATTACHED
IMMEDIATELY FOLLOWING THIS PAGE - IF NOT,
CONTACT THE CONTRACT MONITOR IMMEDIATELY**

Davis-Bacon and Related Acts Weekly Certified Payroll Form

(For Contractor's Optional Use; See Instructions at www.dol.gov/whd/forms/wh347instr.htm)



Unless otherwise noted, the information requested is specific to the named project below.
Persons are not required to respond to the collection of information unless it displays a currently valid OMB control number.

Rev. January 2025
OMB No.: 1235-0008
Expires: 01/31/2028

SUBMISSION OF FINAL DBRA CERTIFIED PAYROLL FORM

PRIME CONTRACTOR

SUBCONTRACTOR

PROJECT NAME		PROJECT NO. or CONTRACT NO.		CERTIFIED PAYROLL NO.		PRIME CONTRACTOR'S/SUBCONTRACTOR'S BUSINESS NAME																
PROJECT LOCATION		WAGE DETERMINATION NO.		WEEK ENDING DATE		PRIME CONTRACTOR'S/SUBCONTRACTOR'S BUSINESS ADDRESS																
(1A)	(1B)	(1C)	(1D)	(1E)	(2)	(3)	(4)				(5)	(6A)	(6B)	(6C)	(7A)	(7B)	(8)			(9)		
WORKER ENTRY NO.	WORKER LAST NAME	WORKER FIRST NAME	WORKER MIDDLE INITIAL	WORKER IDENTIFYING NO.	(J) JOURNEYWORKER (RA) REGISTERED APPRENTICE	LABOR CLASSIFICATION	ST = STRAIGHT TIME OT = OVERTIME	(TOP) DAYS OF WORK WEEK (BOTTOM) DATES				TOTAL HOURS WORKED FOR WEEK	HOURLY WAGE RATE PAID FOR ST AND OT	TOTAL FRINGE BENEFIT CREDIT	PAYMENT IN LIEU OF FRINGE BENEFITS	GROSS AMT EARNED	GROSS AMT EARNED FOR ALL WORK	DEDUCTIONS FOR ALL WORK			NET PAY TO WORKER FOR ALL WORK	
																		TAX WITH-HOLDINGS	FICA	OTHER (MUST SPECIFY, SEE INSTRUCTIONS)		TOTAL DEDUCTIONS
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While use of Form WH-347 itself is optional, covered contractors and subcontractors performing work on Federal or federally assisted construction contracts are required by the DBRA regulations and the contract clauses to submit payroll information on a weekly basis. The Copeland Act (40 U.S.C. § 3145) requires contractors and subcontractors performing work on Federal or federally financed construction contracts to, on a weekly basis, "furnish a statement on the wages paid each employee during the prior week." U.S. Department of Labor (DOL) Regulations at 29 C.F.R. § 5.5(a)(3)(ii) require contractors and subcontractors to submit weekly certified payrolls to the appropriate Federal agency if the agency is a party to the contract (or, if the agency is not such a party, to the applicant, sponsor, owner, or other entity, as the case may be, that maintains such records, for transmission to the Federal agency). Each certified payroll must be accompanied by a signed "Statement of Compliance" (e.g., page 2 of the WH-347 or another document with identical wording) indicating that the certified payrolls are accurate and complete, and that each laborer or mechanic has been paid not less than the required Davis-Bacon prevailing wage rate(s) (including any fringe benefits) for the work performed. DOL and contracting agencies receiving this information review the information to determine whether workers have received legally required wages and fringe benefits.

Public Burden Statement

We estimate that it will take an average of 55 minutes to complete this collection, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. If you have any comments regarding these estimates or any other aspect of this collection, including suggestions for reducing this burden, send them to the Administrator, Wage and Hour Division, U.S. Department of Labor, Room S3502, 200 Constitution Avenue, N.W. Washington, D.C. 20210 (over)

PROJECT NAME	PROJECT NO. or CONTRACT NO.	PAYROLL NO.	PRIME CONTRACTOR'S/SUBCONTRACTOR'S BUSINESS NAME
PROJECT LOCATION		WEEK ENDING DATE	CERTIFYING OFFICIAL'S NAME AND TITLE

I paid or supervised the payment of the laborers or mechanics working on the above project during the stated time period. I certify the following:

- The payroll information submitted with this statement is correct and complete for the above project during the above period, and the wage and fringe benefit rates paid to the workers, including credit taken for the reasonably anticipated costs of a bona fide fringe benefit plan, fund or program, are not less than the applicable wage and fringe benefits rates for the classification(s) of work actually performed, as specified in the wage determination(s) incorporated into the contract.
- All regular payrolls and all other basic records that the contractor is required to maintain for this payroll period are complete and accurate and will be made available upon request from the agency or the Department of Labor.
- The classifications reported for each laborer or mechanic are the classification(s) of work that each worker actually performed.
- Any workers paid as apprentices during the above period are duly registered in a bona fide apprenticeship program registered with the Office of Apprenticeship, Employment and Training Administration, United States Department of Labor ("OA"), or a State Apprenticeship Agency ("SAA") recognized by Department of Labor. I have verified the registered apprenticeship program information provided below as accurate and applicable to any apprentices identified on page 1 of this form.

APPRENTICESHIP PROGRAM NAME	REGISTERED	NAME OF LABOR CLASSIFICATION
	<input type="checkbox"/> OA <input type="checkbox"/> SAA	
	<input type="checkbox"/> OA <input type="checkbox"/> SAA	
	<input type="checkbox"/> OA <input type="checkbox"/> SAA	

- Fringe benefits have been paid in cash and/or to bona fide fringe benefit plans, funds, or programs. Where the contractor is claiming an hourly credit for their contributions to or reasonably anticipated costs of a bona fide fringe benefit plan, fund, or program, provide plan information and the hourly credit claimed for each worker listed on the previous page of this form.

HOURLY CREDIT FOR FRINGE BENEFITS

If an amount is listed in (6B) on the first page of this certified payroll form, enter the hourly credit claimed under each plan name, type and number for each worker and check whether the plan is funded or unfunded.

NAME OF WORKER	FB NAME		FB NAME		FB NAME		FB NAME		FB NAME		FB NAME		TOTAL HOURLY CREDIT
	FB TYPE		FB TYPE		FB TYPE		FB TYPE		FB TYPE		FB TYPE		
	PLAN NO.		PLAN NO.		PLAN NO.		PLAN NO.		PLAN NO.		PLAN NO.		
	<input type="checkbox"/> Funded	<input type="checkbox"/> Unfunded	<input type="checkbox"/> Funded	<input type="checkbox"/> Unfunded	<input type="checkbox"/> Funded	<input type="checkbox"/> Unfunded	<input type="checkbox"/> Funded	<input type="checkbox"/> Unfunded	<input type="checkbox"/> Funded	<input type="checkbox"/> Unfunded	<input type="checkbox"/> Funded	<input type="checkbox"/> Unfunded	
	Hourly Credit	\$	Hourly Credit	\$	Hourly Credit	\$	Hourly Credit	\$	Hourly Credit	\$	Hourly Credit	\$	\$
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	Hourly Credit	\$	Hourly Credit	\$	Hourly Credit	\$	Hourly Credit	\$	Hourly Credit	\$	Hourly Credit	\$	\$
	Hourly Credit	\$	Hourly Credit	\$	Hourly Credit	\$	Hourly Credit	\$	Hourly Credit	\$	Hourly Credit	\$	\$

- All workers on the project have been paid the full weekly wages earned, and no rebates or deductions have been or will be made either directly or indirectly, other than permissible deductions as defined in 29 CFR part 3.

ADDITIONAL REMARKS

SIGNATURE OF CERTIFYING OFFICIAL	DATE	TELEPHONE NUMBER	EMAIL ADDRESS
		(____) ____ - ____	

THE WILLFUL FALSIFICATION OF ANY OF THE ABOVE STATEMENTS MAY SUBJECT THE CONTRACTOR OR SUBCONTRACTOR TO CIVIL OR CRIMINAL PROSECUTION (SEE SECTION 1001 OF TITLE 18 AND SECTION 3729 OF TITLE 31 OF THE UNITED STATES CODE), AS WELL AS DEBARMENT FROM FUTURE FEDERAL AND FEDERALLY-ASSISTED CONTRACTS. INFORMATION REPORTED IN CERTIFIED PAYROLLS MAY BE SUBJECT TO DISCLOSURE IN RESPONSE TO A FREEDOM OF INFORMATION ACT REQUEST.

Enter the name of the project on which you are reporting

Enter the project number or the prime contract number associated with your contract

Starting with #1, payrolls must be numbered sequentially and should be based on the weeks worked under the contract

Enter the business' legal name

PROJECT NAME	PROJECT NO. or CONTRACT NO.	CERTIFIED PAYROLL NO.	PRIME CONTRACTOR'S or SUBCONTRACTOR'S BUSINESS NAME
PROJECT LOCATION		WEEK ENDING DATE	CERTIFYING OFFICIAL'S NAME and TITLE

Enter the complete address of the project, or, if there is no specific address, a description of the project location, including, at a minimum, the county or counties and state in which the project is located

Enter the workweek ending date for this pay period

Enter individual who paid or supervised the payment of the workers under the contract during the weekly period covered by the form

Beginning with the number "1", enter each worker's entry number. Note: If a worker is listed multiple times due to performing work under different labor classifications, the same worker entry number must be used for that worker during same workweek

Enter each worker's unique identifying number

Enter "J" for Journeyworker or "RA" for Registered Apprentice

Enter the labor classification for the work actually performed by each worker

Enter sum of hours listed in column 4

Indicate the days and dates of the pay period

Enter straight time and overtime hours worked

Straight Time Hourly Rate

Overtime Hourly Rate

Enter the total contributions or reasonably anticipated costs of bona fide fringe benefit plans, funds, or programs as listed on page 2

Self-Explanatory

(1A)	(1B)	(1C)	(1D)	(1E)	(2)	(3)	(4)			(5)	(6A)	(6B)	(6C)	(7A)	(7B)	(8)			(9)			
WORKER ENTRY NO.	WORKER LAST NAME	WORKER FIRST NAME	WORKER MIDDLE INITIAL	WORKER IDENTIFYING NO.	(J) JOURNEYWORKER (RA) REGISTERED APPRENTICE	LABOR CLASSIFICATION	ST - STRAIGHT TIME	OT - OVERTIME	(TOP) DAYS OF WORK WEEK (BOTTOM) DATES			TOTAL HOURS WORKED FOR WEEK	HOURLY WAGE RATE PAID FOR STAND OT	TOTAL FRINGE BENEFIT CREDIT	PAYMENT IN LIEU OF FRINGE BENEFIT	GROSS AMT EARNED FOR ALL WORKER	TAX WITH-HOLDINGS	FICA	OTHER (MGT SPECIFY SEE INSTRUCTIONS)	TOTAL DEDUCTIONS	NET PAY TO WORKER FOR ALL WORK	
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FORM A – FORM WH-347 Instructions

Instructions for Completing Payroll Form, WH-347

General: Form WH-347 has been made available for the convenience of contractors and subcontractors required by their Federal or Federally-aided construction-type contracts and subcontracts to submit weekly payrolls. Properly filled out, this form will satisfy the requirements of Regulations, Parts 3 and 5 (29 C.F.R., Subtitle A), as to payrolls submitted in connection with contracts subject to the Davis-Bacon and related Acts.

While completion of Form WH-347 is optional, it is mandatory for covered contractors and subcontractors performing work on Federally financed or assisted construction contracts to respond to the information collection contained in 29 C.F.R. §§ 3.3, 5.5(a). The Copeland Act (40 U.S.C. § 3145) requires contractors and subcontractors performing work on Federally financed or assisted construction contracts to "furnish weekly a statement with respect to the wages paid each employee during the preceding week." U.S. Department of Labor (DOL) Regulations at 29 C.F.R. § 5.5(a)(3)(ii) require contractors to submit weekly a copy of all payrolls to the Federal agency contracting for or financing the construction project, accompanied by a signed "Statement of Compliance" indicating that the payrolls are correct and complete and that each laborer or mechanic has been paid not less than the proper Davis-Bacon prevailing wage rate for the work performed. DOL and federal contracting agencies receiving this information review the information to determine that employees have received legally required wages and fringe benefits.

Under the Davis-Bacon and related Acts, the contractor is required to pay not less than prevailing wage, including fringe benefits, as predetermined by the Department of Labor. The contractor's obligation to pay fringe benefits may be met either by payment of the fringe benefits to bona fide benefit plans, funds or programs or by making payments to the covered workers (laborers and mechanics) as cash in lieu of fringe benefits.

This payroll provides for the contractor to show on the face of the payroll all monies to each worker, whether as basic rates or as cash in lieu of fringe benefits, and provides for the contractor's representation in the statement of compliance on the payroll (as shown on page 2) that he/she is paying for fringe benefits required by the contract and not paid as cash in lieu of fringe benefits. Detailed instructions concerning the preparation of the payroll follow:

Contractor or Subcontractor: Fill in your firm's name and check appropriate box.

Address: Fill in your firm's address.

Payroll No.: Beginning with the number "1", list the payroll number for the submission.

For Week Ending: List the workweek ending date.

Project and Location: Self-explanatory.

Project or Contract No.: Self-explanatory.

Column 1 - Name and Individual Identifying Number of Worker: Enter each worker's full name and an individual identifying number (e.g., last four digits of worker's social security number) on each weekly payroll submitted.

Column 2 - No. of Withholding Exemptions: This column is merely inserted for the employer's convenience and is not a requirement of Regulations, Part 3 and 5.

Column 3 - Work Classifications: List classification descriptive of work actually performed by each laborer or mechanic. Consult classification and minimum wage schedule set forth in contract specifications. If additional classifications are deemed necessary, see Contracting Officer or Agency representative. An individual may be shown as having worked in more than one classification provided an accurate breakdown of hours worked in each classification is maintained and shown on the submitted payroll by use of separate entries.

Column 4 - Hours worked: List the day and date and straight time and overtime hours worked in the applicable boxes. On all contracts subject to the Contract Work Hours Standard Act, enter hours worked in excess of 40 hours a week as "overtime".

Column 5 - Total: Self-explanatory

Column 6 - Rate of Pay (Including Fringe Benefits): In the "straight time" box for each worker, list the actual hourly rate paid for straight time worked, plus cash paid in lieu of fringe benefits paid. When recording the straight time hourly rate, any cash paid in lieu of fringe benefits may be shown separately from the basic rate. For example, "\$12.25/.40" would reflect a \$12.25 base hourly rate plus \$0.40 for fringe benefits. This is of assistance in correctly computing overtime. See "Fringe Benefits" below. When overtime is worked, show the overtime hourly rate paid plus any cash in lieu of fringe benefits paid in the "overtime" box for each worker; otherwise, you may skip this box. See "Fringe Benefits" below. Payment of not less than time and one-half the basic or regular rate paid is required for overtime under the Contract Work Hours Standard Act of 1962 if the prime contract exceeds \$100,000. In addition to paying no less than the predetermined rate for the classification which an individual works, the contractor must pay amounts predetermined as fringe benefits in the wage decision made part of the contract to approved fringe benefit plans, funds or programs or shall pay as cash in lieu of fringe benefits. See "FRINGE BENEFITS" below.

Column 7 - Gross Amount Earned: Enter gross amount earned on this project. If part of a worker's weekly wage was earned on projects other than the project described on this payroll, enter in column 7 first the amount earned on the Federal or Federally assisted project and then the gross amount earned during the week on all projects, thus "\$163.00/\$420.00" would reflect the earnings of a worker who earned \$163.00 on a Federally assisted construction project during a week in which \$420.00 was earned on all work.

Column 8 - Deductions: Five columns are provided for showing deductions made. If more than five deduction are involved, use the first four columns and show the balance deductions under "Other" column; show actual total under "Total Deductions" column; and in the attachment to the payroll describe the deduction(s) contained in the "Other" column. All deductions must be in accordance with the provisions of the Copeland Act Regulations, 29 C.F.R., Part 3. If an individual worked on other jobs in addition to this project, show actual deductions from his/her weekly gross wage, and indicate that deductions are based on his gross wages.

Column 9 - Net Wages Paid for Week: Self-explanatory.

Totals - Space has been left at the bottom of the columns so that totals may be shown if the contractor so desires.

Statement Required by Regulations, Parts 3 and 5: While the "statement of compliance" need not be notarized, the statement (on page 2 of the payroll form) is subject to the penalties provided by 18 U.S.C. § 1001, namely, a fine, possible imprisonment of not more than 5 years, or both. Accordingly, the party signing this statement should have knowledge of the facts represented as true.

Items 1 and 2: Space has been provided between items (1) and (2) of the statement for describing any deductions made. If all deductions made are adequately described in the "Deductions" column above, state "See Deductions column in this payroll." See "FRINGE BENEFITS" below for instructions concerning filling out paragraph 4 of the statement.

Item 4 FRINGE BENEFITS - Contractors who pay all required fringe benefits: If paying all fringe benefits to approved plans, funds, or programs in amounts not less than were determined in the applicable wage decision of the Secretary of Labor, show the basic cash hourly rate and overtime rate paid to each worker on the face of the payroll and check paragraph 4(a) of the statement on page 2 of the WH-347 payroll form to indicate the payment. Note any exceptions in section 4(c).

Contractors who pay no fringe benefits: If not paying all fringe benefits to approved plans, funds, or programs in amounts of at least those that were determined in the applicable wage decision of the Secretary of Labor, pay any remaining fringe benefit amount to each laborer and mechanic and insert in the "straight time" of the "Rate of Pay" column of the payroll an amount not less than the predetermined rate for each classification plus the amount of fringe benefits determined for each classification in the application wage decision. Inasmuch as it is not necessary to pay time and a half on cash paid in lieu of fringe benefits, the overtime rate shall be not less than the sum of the basic predetermined rate, plus the half time premium on basic or regular rate, plus the required cash in lieu of fringe benefits at the straight time rate. In addition, check paragraph 4(b) of the statement on page 2 the payroll form to indicate the payment of fringe benefits in cash directly to the workers. Note any exceptions in section 4(c).

Use of Section 4(c), Exceptions

Any contractor who is making payment to approved plans, funds, or programs in amounts less than the wage determination requires is obliged to pay the deficiency directly to the covered worker as cash in lieu of fringe benefits. Enter any exceptions to section 4(a) or 4(b) in section 4(c). Enter in the Exception column the craft, and enter in the Explanation column the hourly amount paid each worker as cash in lieu of fringe benefits and the hourly amount paid to plans, funds, or programs as fringe benefits. The contractor must pay an amount not less than the predetermined rate plus cash in lieu of fringe benefits as shown in section 4(c) to each such individual for all hours worked (unless otherwise provided by applicable wage determination) on the Federal or Federally assisted project. Enter the rate paid and amount of cash paid in lieu of fringe benefits per hour in column 6 on the payroll. See paragraph on "Contractors who pay no fringe benefits" for computation of overtime rate.

Semi-Annual Labor Standards Enforcement Report - Local Contracting Agencies (HUD Programs)	U.S. Department of Housing and Urban Development Office of Davis-Bacon and Labor Standards	HUD FORM 4710 OMB Approval Number 2501-0019 (Exp. 12-31-2027)
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Agency Name: _____	Agency Type: [e.g., CDBG, PHA, TDHE/IHA]	State: _____	DBLS Agency ID #: (HUD Use Only) _____
Period Covered: Check One and Enter Year			
<input type="checkbox"/> Period 1: October 1, _____ to March 31, _____	<input type="checkbox"/> Period 2: April 1, _____ to September 30, _____		
Agency Contact Person: _____	Agency Contact Phone/E-mail: _____		

PART I – NEW CONTRACTING ACTIVITY
Pertains ONLY to contracts awarded during the reporting period.

1. Number of prime contracts subject to the Davis-Bacon and Related Acts (DBRA) and/or the Contract Work Hours and Safety Standards Act (CWHSSA) awarded this period _____
Note: Do not include contracts included in previous semi-annual reports
2. Total dollar amount of prime contracts reported in item 1 above _____

PART II - ENFORCEMENT ACTIVITY

Identify all enforcement activity that occurred within this reporting period. Enforcement activity applies to newly awarded contracts listed in Part I and any existing contracts subject to DBRA and/or CWHSSA not previously reported.

1. Number of contractors against whom **complaints** were received: _____
2. Number of investigations completed: _____
3. Number of contractors found in violation: _____
4. Amount of back wages found due:

DBRA	_____
CWHSSA	_____
5. Amount of back wages paid:

DBRA	_____
CWHSSA	_____
6. Total number of employees paid wage restitution under the DBRA and/or CWHSSA: _____
7. Total amount of liquidated damages assessed: _____

Semi-Annual Labor Standards Enforcement Report - Local Contracting Agencies (HUD Programs) Instructions	U.S. Department of Housing and Urban Development Office of Davis-Bacon and Labor Standards	HUD FORM 4710i <small>OMB Approval Number 2501-0019 (Exp. 12-31-2027)</small>
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Public reporting burden for this collection of information is estimated to average 1 hour per response, including the time for reviewing instructions, searching existing data sources, gathering, and maintaining data needed, and completing and reviewing the collection of information. The information is considered non-sensitive and does not require special protection. The agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB control number.

All Federal agencies administering programs subject to Davis-Bacon wage provisions are required by U.S. Department of Labor (DOL) regulations (29 CFR 5.7(b)) to submit a report of all new covered contracts and all enforcement activities each six months. In order for HUD to comply with this requirement, it must collect contract and enforcement information from local agencies that administer HUD-assisted programs subject to Davis-Bacon requirements. HUD requests that local agencies complete and submit a Semi-annual Enforcement Report every six months.

Local agencies and HUD must retain a copy of the Semi-Annual Enforcement Report in its files.

Please follow these instructions while compiling the Semi-Annual Labor Standards Enforcement Report for Local Contracting Agencies (HUD Programs) (form HUD-4710).

Introduction

Department of Labor (DOL) Regulations 29 CFR §5.7(b) require Federal agencies administering programs subject to Davis-Bacon and Related Act (DBRA) and Contract Work Hours and Safety Standards Act (CWHSSA) labor standards to furnish a Semi-Annual Labor Standards Enforcement Report to the Administrator of the Wage and Hour Division. Some HUD programs are administered by state, tribal, or local agencies for labor standards compliance. HUD must collect information from such agencies in order to capture enforcement activities for all HUD programs in its reports to DOL.

Reporting Periods: **Period 1** **October 1 through March 31**
 Period 2 **April 1 through September 30**

Report Format: Each agency report consists of two parts:

Part I concerns contracting activity for work awarded during the reporting period;

Part II concerns enforcement activity for all contracts, regardless of the award date.

The HUD Davis-Bacon and Labor Standards (DBLS) staff for your area will send a courtesy reminder shortly before the due date about preparing the report and will remind you of the date

Semi-Annual Labor Standards Enforcement Report - Local Contracting Agencies (HUD Programs)	U.S. Department of Housing and Urban Development Office of Davis-Bacon and Labor Standards	HUD FORM 4710i <small>OMB Approval Number 2501-0019 (Exp. XX-XX-XXXX)</small>
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your report is due. However, you should maintain accurate records throughout the year of relevant contract information so that you can submit the report in a timely manner.

Definitions and Guidance

Part I - Contracting Activity - This part concerns only contracts that were awarded during this period. Do not include contracts that were awarded prior to this period even though the contracts may still be underway. Do include work subject to purchase orders or other forms of agreement, even if there is no formal contract award.

Item 1. Enter the total number of prime contracts subject to DBRA/CWHSSA awarded during this period. Track contracts by award date or by start of construction - do not track by bid opening date.

Public Housing Authorities (PHAs), Tribally designated Housing Entities (TDHEs)/Indian Housing Authorities (IHAs): Include force account work that is subject to DBRA/CWHSSA.

Item 2. Enter the total dollar amount of all the contracts and/or PHA/TDHE/IHA force account work reported in Item 1.

Part II - Enforcement Activity - *Identify all enforcement activity that occurred within this reporting period subject to DBRA and/or CWHSSA.*

Item 1. Enter the number of contractors (including subcontractors and lower-tier subcontractors) against whom complaints were received during the report period.

Item 2. Enter the number of contractors (including subcontractors and lower-tier subcontractors) that you completed an investigation of, either due to a complaint or due to contract monitoring that you conducted.

Item 3. Enter the number of contractors (including subcontractors and lower-tier subcontractors) that you found in violation during your investigation or during your contract monitoring.

Item 4. Enter the total amount of back wages you found due. Make separate entries for DBRA covered work and CWHSSA overtime work.

Item 5: Enter the total amount of back wages that were paid.* Make separate entries for DBRA covered work and for CWHSSA overtime work.

Item 6: Enter the total number of employees who were paid wage restitution. Make separate entries for DBRA covered work and for CWHSSA overtime work.

Semi-Annual Labor Standards Enforcement Report - Local Contracting Agencies (HUD Programs)	U.S. Department of Housing and Urban Development Office of Davis-Bacon and Labor Standards	HUD FORM 4710i <small>OMB Approval Number 2501-0019 (Exp. XX-XX-XXXX)</small>
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Item 7: Enter the total amount of liquidated damages assessed for CWHSSA overtime violations.

*Enter information relative to wage restitution that was collected and/or disbursed during the report period. This includes restitution disbursed by the agency, restitution reported on certified payroll correction reports, and/or amounts collected but not disbursed because workers could not be found. Report straight time wage restitution separately from Contract Work Hours and Safety Standards Act (CWHSSA) overtime wage restitution.

FORM C – DHCA Contract and Subcontract Activity Form (formerly HUD 2516, with instructions)

NOTE: The data and instructions for this form are provided below. The format has been modified to enhance readability on letter size paper. A copy of the form follows.

1. Grantee/Project Owner/Developer/Sponsor/Builder/Agency
2. Location (City, State, Zip Code)
3. Name of Contact Person & Phone Number (including Area Code)
4. Reporting Period [] Oct 1 – Sep 30 (Annual –FY).
5. Program Code (for Public and Indian Housing Only) (see “5. Program Codes” below)
6. Date Submitted to Field Office.
7. (Tabular Format)

- 7a. Grant/Project Number or HUD Case Number or other identification of property, subdivision dwelling unit, etc.
- 7b. Amount of Contract or Subcontract
- 7c. Type of Trade Code (see “7c. Type of Trade Codes: Housing/Public Housing below”)
- 7d. Contractor or Subcontractor Business Racial/Ethnic (See “7d: Racial/Ethnic Codes” below)
- 7e. Woman Owned Business (Yes or No)
- 7f. Prime Contractor Identification (ID) Number
- 7g. Section 3 (Yes or No) for Prime Contractor
- 7h. Subcontractor Identification (ID Number)
- 7i. Section 3 (Yes or No) for Subcontractor
- 7j. Contractor/Subcontractor Names and Address

5. Program Codes (Complete for Housing and Public and Indian Housing Programs only):

- 1= All insured, including Section 8
- 2= Flexible Subsidy
- 3= Section 8 Noninsured, Non-HFDA
- 4= Insured (Management)
- 5= Section 202
- 6= HUD-Held (Management)
- 7= Public/Indian Housing
- 8= Section 811

7c. Type of Trade Codes: Housing/Public Housing

- 1= New Construction
- 2= Substantial Rehab
- 3= Repair
- 4= Service
- 5= Project Management
- 6= Professional
- 7= Tenant Services
- 8= Education/Training
- 9= Arch/Engrg. Appraisal
- 0= Other

7d: Racial/Ethnic Codes

- 1= White Americans
- 2= Black Americans
- 3= Native Americans
- 4= Hispanic Americans
- 5= Asian/Pacific Americans
- 6= Hasidic Jews

This report is to be completed by grantees, developers, sponsors, builders, agencies, and/or project owners for reporting contract and subcontract activities of \$10,000 or more under the following programs: Community Development Block Grants (entitlement and

small cities); Urban Development Action Grants, Housing Development Grants; Multifamily Insured and Noninsured; Public and Indian Housing Authorities;; and contracts entered into by recipients of CDBG rehabilitation assistance.

Contracts/subcontracts of less than \$10,000 need be reported only if such contracts represent a significant portion of your total contracting activity. Include only contracts executed during this reporting period.

This form has been modified to capture Section 3 contract data in columns 7g and 7i. Section 3 requires that the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs shall, to the greatest extent feasible, be directed towards low- and very low-income persons, particularly those who are recipients of government assistance for housing. Recipients using this form to report Section 3 contract data must also use Part I of form HUD-60002 (see **Form D Attached**) to report employment and training opportunities data.

DHCA Contract and Subcontract Activity form is to be completed for public and Indian housing and most community development programs. Form HUD-60002 is to be completed by all other HUD programs including State administered community development programs covered under Section 3. A Section 3 Contractor/subcontractor is a business concern that provides economic opportunities to low and very low-income residents of the metropolitan area (or nonmetropolitan county), including a business concern that is 51 person or more owned by low-income residents; or provides subcontracting or business development opportunities to businesses owned by low or low-income residents. Low and very low-income residents; include participants in Youthbuild programs established under Subtitle D of Title IV of the Cranston-Gonzalez National Affordable Housing Act.

The terms “low-income persons” and “very-low-income persons” have the same meanings given the terms in section 3(b)(2) of the United States Housing Act of 1937. Low-income persons means families (including single persons) whose incomes do not exceed 80 percent of the median income for the area, as determined by the Secretary, with adjustments for smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 80 percent of the median for the area on the basis of the Secretary’s findings that such variations are necessary because of prevailing levels of construction costs or unusually high or low-income families. Very low-income families (including single persons) whose incomes do not exceed 50 percent of the median family income for the area, as determined by the Secretary with adjustments for smaller and larger families, except that the secretary may establish income ceilings higher or lower than 50 percent of the median for the area on the basis of the Secretary’s findings that such variations are necessary because of unusually high or low family incomes.

Complete item 7h. Only once for each contractor/subcontractor on each semi-annual report.

Enter the prime contractor’s ID in items 7f. for all contacts and subcontracts. Include only contracts expected during this reporting period. PHAs/IHAS are to report all contracts/subcontracts.

Community Development Programs Instructions:

1. **Grantee:** Enter the name of the unit of government submitting this report.

3. **Contact Person:** Enter name and phone of person responsible for maintaining and submitting contract/subcontract data.

7a **Grant Number:** Enter the HUD Community Development Block Grant Identification Number (with dashes) For example: B-32-MC-25-0034. For Entitlement Programs and Small City multi-year comprehensive programs, enter the latest approved grant number.

7b. **Amount of Contract/Subcontract:** Enter the dollars amount rounded to the nearest dollar. If subcontractor ID number is provided in 7f, the dollar figure would be for the subcontract only and not for the prime contract.

7c. **Type of Trade:** Enter the numeric codes which best indicates the contractor’s/subcontractor’s service. If subcontractor ID number is provided in 7f., the type of trade code would be for the subcontractor only and not for the prime contractor.

7d. **Business Racial/Ethnic/Gender Code:** Enter the numeric code which indicates the racial/ethnic/gender character of the owner(s) and controller(s) of 51% of the business. When 51% or more is not owned and controlled by any single racial/ethnic/gender category, enter the code which seems most appropriate. If the subcontractor ID number is provided, the code would apply to the subcontractor and not to the prime contractor.

7e. **Woman Owned Business:** Enter Yes or No.

7f. Contractor Identification (ID) Number: Enter the Employer (IRS) Number of the Prime Contractor as the unique identifier for prime recipient of HUD funds. Note that the Employer (IRS) Number must be provided for each contract/subcontract awarded.

7g. Section 3 Contractor: Enter Yes or No.

7h. Subcontractor Identification (ID) Number: Enter the Employer (IRS) Number of the subcontractor as the unique identifier for each subcontract awarded from HUD funds. When the subcontractor ID Number is provided, the respective Prime Contractor ID Number must also be provided.

7i. Section 3 Contractor: Enter Yes or No.

7j. Contractor/Subcontractor Name and Address: Enter this information for each firm receiving contract/subcontract activity only one time on each report for each firm

(FORM FOLLOWS)

Part II: Contracts Awarded

1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$
B. Total dollar amount of contracts awarded to Section 3 businesses	\$
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving contracts	

2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving non-construction contracts	

Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- Other; describe below.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Form HUD-60002, Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons.

Instructions: This form is to be used to report annual accomplishments regarding employment and other economic opportunities provided to low- and very low-income persons under Section 3 of the Housing and Urban Development Act of 1968. The Section 3 regulations apply to any public and Indian housing programs that receive: (1) development assistance pursuant to Section 5 of the U.S. Housing Act of 1937; (2) operating assistance pursuant to Section 9 of the U.S. Housing Act of 1937; or (3) modernization grants pursuant to Section 14 of the U.S. Housing Act of 1937 and to recipients of housing and community development assistance in excess of \$200,000 expended for: (1) housing rehabilitation (including reduction and abatement of lead-based paint hazards); (2) housing construction; or (3) other public construction projects; and to contracts and subcontracts in excess of \$100,000 awarded in connection with the Section-3-covered activity.

Form HUD-60002 has three parts, which are to be completed for all programs covered by Section 3. Part I relates to employment and training. The recipient has the option to determine numerical employment/training goals either on the basis of the number of hours worked by new hires (columns B, D, E and F). Part II of the form relates to contracting, and Part III summarizes recipients' efforts to comply with Section 3.

Recipients or contractors subject to Section 3 requirements must maintain appropriate documentation to establish that HUD financial assistance for housing and community development programs were directed toward low- and very low-income persons.* A recipient of Section 3 covered assistance shall submit one copy of this report to HUD Headquarters, Office of Fair Housing and Equal Opportunity. Where the program providing assistance requires an annual performance report, this Section 3 report is to be submitted at the same time the program performance report is submitted. Where an annual performance report is not required, this Section 3 report is to be submitted by January 10 and, if the project ends before December 31, within 10 days of project completion. Only Prime Recipients are required to report to HUD. The report must include accomplishments of all recipients and their Section 3 covered contractors and subcontractors.

HUD Field Office: Enter the Field Office name.

1. Recipient: Enter the name and address of the recipient submitting this report.
2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.
3. Dollar Amount of Award: Enter the dollar amount, rounded to the nearest dollar, received by the recipient.
- 4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the award and the recipient's implementation of Section 3.
6. Reporting Period: Indicate the time period (months and year) this report covers.
7. Date Report Submitted: Enter the appropriate date.

8. Program Code: Enter the appropriate program code as listed at the bottom of the page.
9. Program Name: Enter the name of HUD Program corresponding with the "Program Code" in number 8.

Part I: Employment and Training Opportunities

Column A: Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e. supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.

Column B: (Mandatory Field) Enter the number of new hires for each category of workers identified in Column A in connection with this award. New hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

Column C: (Mandatory Field) Enter the number of Section 3 new hires for each category of workers identified in Column A in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

Column D: Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.

Column E: Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.

Column F: (Mandatory Field) Enter the number of Section 3 residents that were trained in connection with this award.

Part II: Contract Opportunities
Block 1: Construction Contracts

Item A: Enter the total dollar amount of all contracts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

Block 2: Non-Construction Contracts

Item A: Enter the total dollar amount of all contracts awarded on the project/program.

Item B: Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.

Item C: Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

Item D: Enter the number of Section 3 businesses receiving awards.

Part III: Summary of Efforts – Self-explanatory

Submit one (1) copy of this report to the HUD Headquarters Office of Fair Housing and Equal Opportunity, at the same time the performance report is submitted to the program office. The Section 3 report is submitted by January 10. Include only contracts executed during the period specified in item 8. PHAs/IHAs are to report all contracts/subcontracts.

* The terms "low-income persons" and very low-income persons" have the same meanings given the terms in section 3 (b) (2) of the United States Housing Act of 1937. Low-income persons mean families (including single persons) whose incomes do not exceed 80 percent of the median income for the area, as determined by the Secretary, with adjustments for smaller and larger families, except that

The Secretary may establish income ceilings higher or lower than 80 percent of the median for the area on the basis of the Secretary's findings such that variations are necessary because of prevailing levels of construction costs or unusually high- or low-income families. Very low-income persons mean low-income families (including single persons) whose incomes do not exceed 50 percent of the median family income area, as determined by the Secretary with adjustments or smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 percent of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes.

**Reporting on
Section 3 Activities**

U.S. Department of Housing and
Urban Development
Office of Field Policy and
Management

**OMB Control Number: 2501-0042
(Exp. 06/30/2025)**

Public reporting for this collection of information is estimated to average 3 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB Number. Section 3 of the Housing and Urban Development Act of 1968, as amended, U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. The regulations are found at 24 CFR Part 75. The information will be used by the Department to monitor program recipient's compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as a self-monitoring tool. The data is entered into a database and will be analyzed and made available to the public upon request. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative: personal identifying information is not included.

Identifying Information Regarding the Section 3 Report

Agency Name:	
Address:	
Contact Name:	
Contact E-mail:	
Housing Authority Code:	

This is an agency-wide annual report Housing Authority Fiscal Year:

Check here if there are multiple authorities applying Section 3 to this project and provide identifying information below.
For public housing authorities, the ID number is the PIC Agency number.
For CDBG/HOME participating jurisdictions, the ID number is the IDIS number.
For RAD transactions, it is the PIC DDA number.

Name of Primary Authority:	
ID Number:	
Name of Primary Authority:	
ID Number:	

Are you a small PHA (under 250 units)

If agency identified as a small public housing authority (with fewer than 250 public housing units) please elect whether you would like to complete the Section 3 labor hours or qualitative reporting

Section 3 Labor Hours

Total Labor Hours:	<input type="text"/>	Public Housing Targeted Worker Hours:	<input type="text"/>
Section 3 Worker Hours:	<input type="text"/>	Other Funding Targeted Worker Hours:	<input type="text"/>

Formula: Did the reporting agency meet or exceed the safe harbor benchmarks? Yes/No

Nature of Agency Efforts

This section is not required if, based on the labor hours reporting above, the reporting agency met or exceeded the safe harbor benchmarks. Check all that apply. Maintain records available for HUD review to document any efforts checked.

- Engaged in outreach efforts to generate job applicants who are Targeted Section 3 workers.
- Provided training or apprenticeship opportunities.
- Provided technical assistance to help Section 3 workers compete for jobs (e.g., resume assistance, coaching).
- Provided or connected Section 3 workers with assistance in seeking employment including: drafting resumes, preparing for interviews, and finding job opportunities connecting residents to job placement services.
- Held one or more job fairs.
- Provided or referred Section 3 workers to services supporting work readiness and retention (e.g., work readiness activities, interview clothing, test fees, transportation, child care).
- Provided assistance to apply for/ or attend community college, a four-year educational institution, or vocational/technical training.
- Assisted Section 3 workers to obtain financial literacy training and/or coaching.
- Engaged in outreach efforts to identify and secure bids from Section 3 business concerns.
- Provided technical assistance to help Section 3 business concerns understand and bid on contracts.
- Divided contracts into smaller jobs to facilitate participation by Section 3 business concerns.
- Provided bonding assistance, guaranties, or other efforts to support viable bids from Section 3 business concerns.
- Promoted use of business registries designed to create opportunities for disadvantaged and small businesses.
- Other: (mandatory field if Other is selected)

DHCA Environmental Review Request

Attachment IX

(To be completed with input from developer's representative before loan closing)

Project Address: <hr/> <hr/> _____ Number of Bedrooms For multifamily rehab projects: \$ _____ Property Value Before Rehab** \$ _____ Property Value After Rehab** Estimated Total Rehabilitation Project Cost: \$ _____	Unit Type <input type="checkbox"/> Single Family <input type="checkbox"/> Multifamily <input type="checkbox"/> Condominium	Activity Type <input type="checkbox"/> Acquisition and Financing* <input type="checkbox"/> Rehabilitation* <input type="checkbox"/> Acquisition and Rehabilitation* <input type="checkbox"/> New Construction* <input type="checkbox"/> Demolition* <input type="checkbox"/> Project-Based Vouchers ("PBV") Year Property was Built: _____
Funding Source (amount) CDBG: \$ _____ HOME: \$ _____ Capital Fund Program (CPF): \$ _____ FHA Risk Sharing: \$ _____ Project-Based Vouchers (PBV): \$ _____ OTHER: \$ _____ Funding Year: _____ Estimated Total Project Cost (HUD and non-HUD funds): \$ _____	DHCA approval date for the project (for multifamily projects only): _____ _____	Current and future use of the project <input type="checkbox"/> Residential to Residential <input type="checkbox"/> Residential to Non-Residential <input type="checkbox"/> Non-Residential to Residential
Lead Based Paint testing <input type="checkbox"/> Yes <input type="checkbox"/> No Any notable existing condition <input type="checkbox"/> No <input type="checkbox"/> Yes, please specify _____	INFORMATION NEEDED FOR ENVIRONMENTAL REVIEW	Project Description/Scope of work* _____ _____ _____ _____ _____

Notes:

- *Attach photos of the property/site for submission to the Maryland Historic Trust for all requests.
- *Attach one page scope of work/project description for all requests.
- *Attach Phase 1 Site Assessment for **Multifamily Rehabilitation projects only**.
- *Multifamily staff will coordinate response from Project Developer/Owner or Manager.

1. _____ Project Developer/Owner or Manager: form completed, photos, scope/description, and Phase 1 (if needed) attached
 Signature _____ Date _____

2. _____ Grants Management Staff: reviewed for completion
 Signature _____ Date _____